

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Active A.I. Global Equity ETF

### Vistra Corp.

**Meeting Date:** 04/28/2021

**Country:** USA

**Primary Security ID:** 92840M102

**Record Date:** 03/16/2021

**Meeting Type:** Annual

**Ticker:** VST

**Shares Voted:** 650

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Scott B. Helm	Mgmt	For	For	For
1.2	Elect Director Hilary E. Ackermann	Mgmt	For	For	For
1.3	Elect Director Arcilia C. Acosta	Mgmt	For	For	For
1.4	Elect Director Gavin R. Baiera	Mgmt	For	For	For
1.5	Elect Director Paul M. Barbas	Mgmt	For	For	For
1.6	Elect Director Lisa Crutchfield	Mgmt	For	For	For
1.7	Elect Director Brian K. Ferraioli	Mgmt	For	For	For
1.8	Elect Director Jeff D. Hunter	Mgmt	For	For	For
1.9	Elect Director Curtis A. Morgan	Mgmt	For	For	For
1.10	Elect Director John R. Sult	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

### Baxter International Inc.

**Meeting Date:** 05/04/2021

**Country:** USA

**Primary Security ID:** 071813109

**Record Date:** 03/11/2021

**Meeting Type:** Annual

**Ticker:** BAX

**Shares Voted:** 818

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jose (Joe) E. Almeida	Mgmt	For	For	For
1b	Elect Director Thomas F. Chen	Mgmt	For	For	For
1c	Elect Director John D. Forsyth	Mgmt	For	For	For
1d	Elect Director Peter S. Hellman	Mgmt	For	For	For
1e	Elect Director Michael F. Mahoney	Mgmt	For	For	For
1f	Elect Director Patricia B. Morrison	Mgmt	For	For	For

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### Baxter International Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Elect Director Stephen N. Oesterle	Mgmt	For	For	For
1h	Elect Director Cathy R. Smith	Mgmt	For	For	For
1i	Elect Director Thomas T. Stalkamp	Mgmt	For	For	For
1j	Elect Director Albert P.L. Stroucken	Mgmt	For	For	For
1k	Elect Director Amy A. Wendell	Mgmt	For	For	For
1l	Elect Director David S. Wilkes	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	For	For
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
6	Provide Right to Act by Written Consent	SH	Against	For	For
7	Require Independent Board Chair	SH	Against	Against	Against

### QTS Realty Trust, Inc.

**Meeting Date:** 05/04/2021

**Country:** USA

**Primary Security ID:** 74736A103

**Record Date:** 03/08/2021

**Meeting Type:** Annual

**Ticker:** QTS

**Shares Voted:** 469

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Chad L. Williams	Mgmt	For	For	For
1.2	Elect Director John W. Barter	Mgmt	For	For	For
1.3	Elect Director Joan A. Dempsey	Mgmt	For	For	For
1.4	Elect Director Catherine R. Kinney	Mgmt	For	For	For
1.5	Elect Director Peter A. Marino	Mgmt	For	For	For
1.6	Elect Director Scott D. Miller	Mgmt	For	For	For
1.7	Elect Director Mazen Rawashdeh	Mgmt	For	For	For
1.8	Elect Director Wayne M. Rehberger	Mgmt	For	For	For
1.9	Elect Director Philip P. Trahanas	Mgmt	For	For	For

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### QTS Realty Trust, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.10	Elect Director Stephen E. Westhead	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against	Against
4	Ratify Ernst & Young as Auditors	Mgmt	For	For	For

### Aerojet Rocketdyne Holdings, Inc.

Meeting Date: 05/05/2021

Country: USA

Primary Security ID: 007800105

Record Date: 03/11/2021

Meeting Type: Annual

Ticker: AJRD

Shares Voted: 504

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kevin P. Chilton	Mgmt	For	For	For
1.2	Elect Director Thomas A. Corcoran	Mgmt	For	For	For
1.3	Elect Director Eileen P. Drake	Mgmt	For	For	For
1.4	Elect Director James R. Henderson	Mgmt	For	For	For
1.5	Elect Director Warren G. Lichtenstein	Mgmt	For	For	For
1.6	Elect Director Lance W. Lord	Mgmt	For	For	For
1.7	Elect Director Audrey A. McNiff	Mgmt	For	For	For
1.8	Elect Director Martin Turchin	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### Biohaven Pharmaceutical Holding Company Ltd.

Meeting Date: 05/05/2021

Country: Virgin Isl (UK)

Primary Security ID: G11196105

Record Date: 03/08/2021

Meeting Type: Annual

Ticker: BHVN

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### Biohaven Pharmaceutical Holding Company Ltd.

Shares Voted: 181

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Julia P. Gregory	Mgmt	For	Against	Against
1b	Elect Director Michael T. Heffernan	Mgmt	For	Against	Against
1c	Elect Director Robert J. Hugin	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Five9, Inc.

Meeting Date: 05/12/2021

Country: USA

Primary Security ID: 338307101

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: FIVN

Shares Voted: 169

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael Burdick	Mgmt	For	Withhold	Withhold
1.2	Elect Director David DeWalt	Mgmt	For	Withhold	Withhold
1.3	Elect Director Susan Barsamian	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

### Ryman Hospitality Properties, Inc.

Meeting Date: 05/13/2021

Country: USA

Primary Security ID: 78377T107

Record Date: 03/26/2021

Meeting Type: Annual

Ticker: RHP

Shares Voted: 209

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Rachna Bhasin	Mgmt	For	For	For
1b	Elect Director Alvin Bowles, Jr.	Mgmt	For	For	For

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### Ryman Hospitality Properties, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1c	Elect Director Christian A. Brickman	Mgmt	For	For	For
1d	Elect Director Fazal Merchant	Mgmt	For	For	For
1e	Elect Director Patrick Moore	Mgmt	For	For	For
1f	Elect Director Christine Pantoya	Mgmt	For	For	For
1g	Elect Director Robert Prather, Jr.	Mgmt	For	For	For
1h	Elect Director Colin Reed	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For

### CoreSite Realty Corporation

Meeting Date: 05/19/2021

Country: USA

Primary Security ID: 21870Q105

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: COR

Shares Voted: 129

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Robert G. Stuckey	Mgmt	For	For	For
1.2	Elect Director Paul E. Szurek	Mgmt	For	For	For
1.3	Elect Director Jean A. Bua	Mgmt	For	For	For
1.4	Elect Director Kelly C. Chambliss	Mgmt	For	For	For
1.5	Elect Director Patricia L. Higgins	Mgmt	For	For	For
1.6	Elect Director Michael R. Koehler	Mgmt	For	For	For
1.7	Elect Director Michael H. Millegan	Mgmt	For	For	For
1.8	Elect Director David A. Wilson	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Bandwidth Inc.

Meeting Date: 05/20/2021

Country: USA

Primary Security ID: 05988J103

Record Date: 03/24/2021

Meeting Type: Annual

Ticker: BAND

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### Bandwidth Inc.

Shares Voted: 820

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Brian D. Bailey	Mgmt	For	Withhold	Withhold
1.2	Elect Director Lukas M. Roush	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Cinemark Holdings, Inc.

Meeting Date: 05/20/2021

Country: USA

Primary Security ID: 17243V102

Record Date: 03/25/2021

Meeting Type: Annual

Ticker: CNK

Shares Voted: 2,559

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Darcy Antonellis	Mgmt	For	For	For
1.2	Elect Director Carlos Sepulveda	Mgmt	For	For	For
1.3	Elect Director Mark Zoradi	Mgmt	For	For	For
2	Ratify Deloitte and Touche, LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Investors Bancorp, Inc.

Meeting Date: 05/25/2021

Country: USA

Primary Security ID: 46146L101

Record Date: 03/29/2021

Meeting Type: Annual

Ticker: ISBC

Shares Voted: 1,468

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kevin Cummings	Mgmt	For	For	For
1.2	Elect Director John E. Harmon, Sr.	Mgmt	For	For	For
1.3	Elect Director Michele N. Siekerka	Mgmt	For	For	For

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### Investors Bancorp, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.4	Elect Director Paul N. Stathoulopoulos	Mgmt	For	For	For
1.5	Elect Director Kim Wales	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

### FibroGen, Inc.

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 31572Q808

Record Date: 03/29/2021

Meeting Type: Annual

Ticker: FGEN

Shares Voted: 756

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director James A. Schoeneck	Mgmt	For	For	For
1b	Elect Director Jeffrey W. Henderson	Mgmt	For	For	For
1c	Elect Director Maykin Ho	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### PDC Energy, Inc.

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 69327R101

Record Date: 03/30/2021

Meeting Type: Annual

Ticker: PDCE

Shares Voted: 1,507

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Barton R. Brookman	Mgmt	For	For	For
1.2	Elect Director Mark E. Ellis	Mgmt	For	For	For
1.3	Elect Director Paul J. Korus	Mgmt	For	For	For
1.4	Elect Director David C. Parke	Mgmt	For	For	For

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### PDC Energy, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director Lynn A. Peterson	Mgmt	For	For	For
1.6	Elect Director Carlos A. Sabater	Mgmt	For	For	For
1.7	Elect Director Diana L. Sands	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### Turning Point Therapeutics, Inc.

Meeting Date: 06/02/2021

Country: USA

Primary Security ID: 90041T108

Record Date: 04/07/2021

Meeting Type: Annual

Ticker: TPTX

Shares Voted: 256

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Simeon J. George	Mgmt	For	Withhold	Withhold
1.2	Elect Director Carol Gallagher	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

### Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Meeting Date: 06/03/2021

Country: USA

Primary Security ID: 41068X100

Record Date: 04/08/2021

Meeting Type: Annual

Ticker: HASI

Shares Voted: 256

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jeffrey W. Eckel	Mgmt	For	For	For
1.2	Elect Director Clarence D. Armbrister	Mgmt	For	For	For
1.3	Elect Director Teresa M. Brenner	Mgmt	For	Against	Against
1.4	Elect Director Michael T. Eckhart	Mgmt	For	Against	Against



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### Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director Nancy C. Floyd	Mgmt	For	For	For
1.6	Elect Director Simone F. Lagomarsino	Mgmt	For	For	For
1.7	Elect Director Charles M. O'Neil	Mgmt	For	Against	Against
1.8	Elect Director Richard J. Osborne	Mgmt	For	For	For
1.9	Elect Director Steven G. Osgood	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### KAR Auction Services, Inc.

Meeting Date: 06/04/2021

Country: USA

Primary Security ID: 48238T109

Record Date: 04/09/2021

Meeting Type: Annual

Ticker: KAR

Shares Voted: 616

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2a	Elect Director Carmel Galvin	Mgmt	For	For	For
2b	Elect Director James P. Hallett	Mgmt	For	For	For
2c	Elect Director Mark E. Hill	Mgmt	For	For	For
2d	Elect Director J. Mark Howell	Mgmt	For	For	For
2e	Elect Director Stefan Jacoby	Mgmt	For	For	For
2f	Elect Director Peter Kelly	Mgmt	For	For	For
2g	Elect Director Michael T. Kestner	Mgmt	For	For	For
2h	Elect Director Mary Ellen Smith	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

### Matador Resources Company

Meeting Date: 06/04/2021

Country: USA

Primary Security ID: 576485205

Record Date: 04/08/2021

Meeting Type: Annual

Ticker: MTDR

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Location(s): All Locations

Institution Account(s): Horizons Active A.I. Global Equity ETF

## Matador Resources Company

Shares Voted: 2,451

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director William M. Byerley	Mgmt	For	For	For
1b	Elect Director Monika U. Ehrman	Mgmt	For	For	For
1c	Elect Director Julia P. Forrester Rogers	Mgmt	For	For	For
1d	Elect Director James M. Howard	Mgmt	For	For	For
1e	Elect Director Kenneth L. Stewart	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

## Pacira BioSciences, Inc.

Meeting Date: 06/08/2021

Country: USA

Primary Security ID: 695127100

Record Date: 04/15/2021

Meeting Type: Annual

Ticker: PCRX

Shares Voted: 151

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Laura Brege	Mgmt	For	For	For
1.2	Elect Director Mark I. Froimson	Mgmt	For	For	For
1.3	Elect Director Mark A. Kronenfeld	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	Against	Against

## PTC Therapeutics, Inc.

Meeting Date: 06/08/2021

Country: USA

Primary Security ID: 69366J200

Record Date: 04/16/2021

Meeting Type: Annual

Ticker: PTCT

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### PTC Therapeutics, Inc.

Shares Voted: 34

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Emma Reeve	Mgmt	For	For	For
1.2	Elect Director Michael Schmertzler	Mgmt	For	For	For
1.3	Elect Director Glenn D. Steele, Jr.	Mgmt	For	For	For
1.4	Elect Director Mary Smith	Mgmt	For	For	For
2	Increase Authorized Common Stock	Mgmt	For	For	For
3	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Urban Outfitters, Inc.

Meeting Date: 06/08/2021

Country: USA

Primary Security ID: 917047102

Record Date: 04/01/2021

Meeting Type: Annual

Ticker: URBN

Shares Voted: 709

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Edward N. Antoian	Mgmt	For	For	For
1.2	Elect Director Sukhinder Singh Cassidy	Mgmt	For	For	For
1.3	Elect Director Harry S. Cherken, Jr.	Mgmt	For	Against	Against
1.4	Elect Director Margaret A. Hayne	Mgmt	For	For	For
1.5	Elect Director Richard A. Hayne	Mgmt	For	For	For
1.6	Elect Director Elizabeth Ann Lambert	Mgmt	For	For	For
1.7	Elect Director Amin N. Maredia	Mgmt	For	For	For
1.8	Elect Director Wesley S. McDonald	Mgmt	For	For	For
1.9	Elect Director Todd R. Morgenfeld	Mgmt	For	For	For
1.10	Elect Director John C. Mulliken	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

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## LendingTree, Inc.

**Meeting Date:** 06/09/2021

**Country:** USA

**Primary Security ID:** 52603B107

**Record Date:** 04/12/2021

**Meeting Type:** Annual

**Ticker:** TREE

**Shares Voted:** 85

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Gabriel Dalporto	Mgmt	For	For	For
1b	Elect Director Thomas M. Davidson, Jr.	Mgmt	For	For	For
1c	Elect Director Robin Henderson	Mgmt	For	For	For
1d	Elect Director Douglas Lebda	Mgmt	For	For	For
1e	Elect Director Steve Ozonian	Mgmt	For	Against	Against
1f	Elect Director Saras Sarasvathy	Mgmt	For	For	For
1g	Elect Director G. Kennedy Thompson	Mgmt	For	Against	Against
1h	Elect Director Jennifer Witz	Mgmt	For	Against	Against
2	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against	Against
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

## Reata Pharmaceuticals, Inc.

**Meeting Date:** 06/10/2021

**Country:** USA

**Primary Security ID:** 75615P103

**Record Date:** 04/15/2021

**Meeting Type:** Annual

**Ticker:** RETA

**Shares Voted:** 723

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director William D. McClellan, Jr.	Mgmt	For	Withhold	Withhold
1.2	Elect Director William E. Rose	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

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### CareDx, Inc.

**Meeting Date:** 06/15/2021

**Country:** USA

**Primary Security ID:** 14167L103

**Record Date:** 04/16/2021

**Meeting Type:** Annual

**Ticker:** CDNA

**Shares Voted:** 684

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director George W. Bickerstaff, III	Mgmt	For	For	For
1.2	Elect Director Grace E. Colon	Mgmt	For	For	For
1.3	Elect Director Ralph Snyderman	Mgmt	For	Withhold	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Reduce Supermajority Vote Requirement	Mgmt	For	For	For

### Karuna Therapeutics, Inc.

**Meeting Date:** 06/16/2021

**Country:** USA

**Primary Security ID:** 48576A100

**Record Date:** 04/19/2021

**Meeting Type:** Annual

**Ticker:** KRTX

**Shares Voted:** 724

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Christopher J. Coughlin	Mgmt	For	Withhold	Withhold
1b	Elect Director James Healy	Mgmt	For	Withhold	Withhold
1c	Elect Director Jeffrey Jonas	Mgmt	For	Withhold	Withhold
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

### NanoString Technologies, Inc.

**Meeting Date:** 06/16/2021

**Country:** USA

**Primary Security ID:** 63009R109

**Record Date:** 04/20/2021

**Meeting Type:** Annual

**Ticker:** NSTG

**Shares Voted:** 515

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Elisha W. Finney	Mgmt	For	For	For

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### NanoString Technologies, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1b	Elect Director Gregory Norden	Mgmt	For	For	For
1c	Elect Director Janet George	Mgmt	For	For	For
1d	Elect Director Charles P. Waite	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Sabra Health Care REIT, Inc.

Meeting Date: 06/16/2021

Country: USA

Primary Security ID: 78573L106

Record Date: 04/19/2021

Meeting Type: Annual

Ticker: SBRA

Shares Voted: 595

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Craig A. Barbarosh	Mgmt	For	For	For
1b	Elect Director Katie Cusack	Mgmt	For	For	For
1c	Elect Director Michael J. Foster	Mgmt	For	For	For
1d	Elect Director Ronald G. Geary	Mgmt	For	For	For
1e	Elect Director Lynne S. Katzmann	Mgmt	For	For	For
1f	Elect Director Ann Kono	Mgmt	For	For	For
1g	Elect Director Raymond J. Lewis	Mgmt	For	For	For
1h	Elect Director Jeffrey A. Malehorn	Mgmt	For	For	For
1i	Elect Director Richard K. Matros	Mgmt	For	For	For
1j	Elect Director Clifton J. Porter, II	Mgmt	For	For	For
1k	Elect Director Milton J. Walters	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Active A.I. Global Equity ETF

### iRhythm Technologies, Inc.

**Meeting Date:** 06/18/2021

**Country:** USA

**Primary Security ID:** 450056106

**Record Date:** 04/29/2021

**Meeting Type:** Annual

**Ticker:** IRTC

**Shares Voted:** 165

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Cathleen Noel Bairey Merz	Mgmt	For	For	For
1.2	Elect Director Mark J. Rubash	Mgmt	For	Withhold	Withhold
1.3	Elect Director Renee Budig	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Axonics, Inc.

**Meeting Date:** 06/23/2021

**Country:** USA

**Primary Security ID:** 05465P101

**Record Date:** 04/27/2021

**Meeting Type:** Annual

**Ticker:** AXNX

**Shares Voted:** 1,012

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Raymond W. Cohen	Mgmt	For	For	For
1b	Elect Director Robert E. McNamara	Mgmt	For	Against	Against
1c	Elect Director Michael H. Carrel	Mgmt	For	Against	Against
1d	Elect Director Nancy Snyderman	Mgmt	For	Against	Against
1e	Elect Director Jane E. Kiernan	Mgmt	For	For	For
1f	Elect Director David M. Demski	Mgmt	For	For	For
2	Ratify BDO USA, LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

### Shockwave Medical, Inc.

**Meeting Date:** 06/25/2021

**Country:** USA

**Primary Security ID:** 82489T104

**Record Date:** 04/28/2021

**Meeting Type:** Annual

**Ticker:** SWAV

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Active A.I. Global Equity ETF

## Shockwave Medical, Inc.

Shares Voted: 175

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Antoine Papiernik	Mgmt	For	Withhold	Withhold
1.2	Elect Director Sara Toyloy	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year



# Proxy Voting Record

Meeting Date Range: 01-Jul-2020 To 30-Jun-2021

Selected Accounts

MODERNA, INC.

Security:	60770K107	Meeting Type:	Annual	
Ticker:	MRNA	Meeting Date:	28-Apr-2021	
ISIN	US60770K1079	Vote Deadline Date:	27-Apr-2021	
Agenda	935347206	Management	Total Ballot Shares:	8064
Last Vote Date:	12-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Robert Langer, Sc.D.			90	0	0	0
	2 Elizabeth Nabel, M.D.			90	0	0	0
	3 Elizabeth Tallett			90	0	0	0
2	To cast a non-binding, advisory vote to approve the compensation of our named executive officers.	For	None	90	0	0	0
3	To ratify the appointment of Ernst & Young LLP as our registered independent public accounting firm for the year ending December 31, 2021.	For	None	90	0	0	0

## Proxy Voting Record

### THE TIMKEN COMPANY

Security:	887389104	Meeting Type:	Annual
Ticker:	TKR	Meeting Date:	07-May-2021
ISIN	US8873891043	Vote Deadline Date:	06-May-2021
Agenda	935346379	Management	Total Ballot Shares:
Last Vote Date:	18-Mar-2021		140

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Maria A. Crowe			140	0	0	0
	2 Elizabeth A. Harrell			140	0	0	0
	3 Richard G. Kyle			140	0	0	0
	4 Sarah C. Lauber			140	0	0	0
	5 John A. Luke, Jr.			140	0	0	0
	6 Christopher L. Mapes			140	0	0	0
	7 James F. Palmer			140	0	0	0
	8 Ajita G. Rajendra			140	0	0	0
	9 Frank C. Sullivan			140	0	0	0
	10 John M. Timken, Jr.			140	0	0	0
	11 Ward J. Timken, Jr.			140	0	0	0
	12 Jacqueline F. Woods			140	0	0	0
2	Approval, on an advisory basis, of our named executive officer compensation.	For	None	140	0	0	0
3	Ratification of the appointment of Ernst & Young LLP as our independent auditor for the fiscal year ending December 31, 2021.	For	None	140	0	0	0
4	Consideration of a shareholder proposal asking our Board of Directors to take the steps necessary to give holders in the aggregate of 10% of our outstanding common shares the power to call a special meeting of shareholders, if properly presented.	Against	None	0	140	0	0

## Proxy Voting Record

### FTI CONSULTING, INC.

Security:	302941109	Meeting Type:	Annual
Ticker:	FCN	Meeting Date:	02-Jun-2021
ISIN	US3029411093	Vote Deadline Date:	01-Jun-2021
Agenda	935380345	Total Ballot Shares:	181
	Management		
Last Vote Date:	20-Apr-2021		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Brenda J. Bacon	For	None	181	0	0	0
2	Election of Director: Mark S. Bartlett	For	None	181	0	0	0
3	Election of Director: Claudio Costamagna	For	None	181	0	0	0
4	Election of Director: Vernon Ellis	For	None	181	0	0	0
5	Election of Director: Nicholas C. Fanandakis	For	None	181	0	0	0
6	Election of Director: Steven H. Gunby	For	None	181	0	0	0
7	Election of Director: Gerard E. Holthaus	For	None	181	0	0	0
8	Election of Director: Laureen E. Seeger	For	None	181	0	0	0
9	Ratify the appointment of KPMG LLP as FTI Consulting, Inc.'s independent registered public accounting firm for the year ending December 31, 2021.	For	None	181	0	0	0
10	Vote on an advisory (non-binding) resolution to approve the compensation of the named executive officers for the year ended December 31, 2020 at the 2021 Annual Meeting of Shareholders.	For	None	181	0	0	0