

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### Plateau Energy Metals Inc.

**Meeting Date:** 05/03/2021

**Country:** Canada

**Primary Security ID:** 72764B100

**Record Date:** 03/26/2021

**Meeting Type:** Special

**Ticker:** PLU

**Shares Voted:** 35,849

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition by American Lithium Corp.	Mgmt	For	For	For

### Cameco Corporation

**Meeting Date:** 05/06/2021

**Country:** Canada

**Primary Security ID:** 13321L108

**Record Date:** 03/10/2021

**Meeting Type:** Annual

**Ticker:** CCO

**Shares Voted:** 10,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
A1	Elect Director Leontine Atkins	Mgmt	For	For	For
A2	Elect Director Ian Bruce	Mgmt	For	For	For
A3	Elect Director Daniel Camus	Mgmt	For	For	For
A4	Elect Director Donald Deranger	Mgmt	For	For	For
A5	Elect Director Catherine Gignac	Mgmt	For	For	For
A6	Elect Director Tim Gitzel	Mgmt	For	For	For
A7	Elect Director Jim Gowans	Mgmt	For	For	For
A8	Elect Director Kathryn (Kate) Jackson	Mgmt	For	For	For
A9	Elect Director Don Kayne	Mgmt	For	For	For
B	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
C	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
D	The Undersigned Hereby Certifies that the Shares Represented by this Proxy are Owned and Controlled by a Canadian. Vote FOR = Yes and ABSTAIN = No. A Vote Against will be treated as not voted.	Mgmt	None	Refer	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### Denison Mines Corp.

**Meeting Date:** 05/06/2021

**Country:** Canada

**Primary Security ID:** 248356107

**Record Date:** 03/17/2021

**Meeting Type:** Annual

**Ticker:** DML

**Shares Voted:** 77,195

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director David D. Cates	Mgmt	For	For	For
1.2	Elect Director W. Robert Dengler	Mgmt	For	For	For
1.3	Elect Director Brian D. Edgar	Mgmt	For	For	For
1.4	Elect Director Ron F. Hochstein	Mgmt	For	Withhold	Withhold
1.5	Elect Director Jun Gon Kim	Mgmt	For	For	For
1.6	Elect Director David Neuburger	Mgmt	For	For	For
1.7	Elect Director Jennifer Traub	Mgmt	For	For	For
1.8	Elect Director Patricia M. Volker	Mgmt	For	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For

### National Atomic Company Kazatomprom JSC

**Meeting Date:** 05/19/2021

**Country:** Kazakhstan

**Primary Security ID:** 63253R201

**Record Date:** 04/21/2021

**Meeting Type:** Annual

**Ticker:** KAP

**Shares Voted:** 164,557

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt			
1	Approve Standalone and Consolidated Financial Statements	Mgmt	For	For	For
2	Approve New Edition of Dividend Policy	Mgmt	For	Against	Against
3	Approve Allocation of Income and Dividends	Mgmt	For	For	For
4	Approve Results of Shareholders Appeals on Actions of Company and Its Officials	Mgmt	For	For	For
5	Approve Remuneration of Directors	Mgmt	For	Against	Against
6	Amend Charter	Mgmt	For	Against	Against

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### National Atomic Company Kazatomprom JSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Amend Regulations on Remuneration of Directors	Mgmt	For	Against	Against
8	Approve Decisions on Board on Directors	Mgmt	For	Against	Against

### Forsys Metals Corp.

Meeting Date: 05/20/2021

Country: Canada

Primary Security ID: 34660G104

Record Date: 04/19/2021

Meeting Type: Annual

Ticker: FSY

Shares Voted: 69,410

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Martin R. Rowley	Mgmt	For	For	For
1b	Elect Director Mark Frewin	Mgmt	For	Withhold	Withhold
1c	Elect Director Paul Matysek	Mgmt	For	Withhold	Withhold
1d	Elect Director Jorge Estepa	Mgmt	For	Withhold	Withhold
1e	Elect Director Richard Parkhouse	Mgmt	For	For	For
1f	Elect Director Jeremy Hangula	Mgmt	For	Withhold	Withhold
2	Approve BDO Audit (WA) Pty Ltd as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

### Energy Fuels Inc.

Meeting Date: 05/26/2021

Country: Canada

Primary Security ID: 292671708

Record Date: 03/31/2021

Meeting Type: Annual/Special

Ticker: EFR

Shares Voted: 30,314

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director J. Birks Bovaird	Mgmt	For	For	For
1.2	Elect Director Mark S. Chalmers	Mgmt	For	For	For
1.3	Elect Director Benjamin Eshleman, III	Mgmt	For	For	For
1.4	Elect Director Barbara A. Filas	Mgmt	For	For	For
1.5	Elect Director Bruce D. Hansen	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### Energy Fuels Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.6	Elect Director Dennis L. Higgs	Mgmt	For	For	For
1.7	Elect Director Robert W. Kirkwood	Mgmt	For	For	For
1.8	Elect Director Alexander G. Morrison	Mgmt	For	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Amend Shareholder Rights Plan	Mgmt	For	For	For
5	Adopt By-Law No. 3	Mgmt	For	Against	Against

### Ur-Energy Inc.

**Meeting Date:** 06/03/2021

**Country:** Canada

**Primary Security ID:** 91688R108

**Record Date:** 04/13/2021

**Meeting Type:** Annual/Special

**Ticker:** URE

**Shares Voted:** 80,358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jeffrey T. Klenda	Mgmt	For	For	For
1.2	Elect Director James M. Franklin	Mgmt	For	For	For
1.3	Elect Director W. William (Bill) Boberg	Mgmt	For	Withhold	Withhold
1.4	Elect Director Thomas H. Parker	Mgmt	For	For	For
1.5	Elect Director Gary C. Huber	Mgmt	For	For	For
1.6	Elect Director Kathy E. Walker	Mgmt	For	For	For
1.7	Elect Director Rob Chang	Mgmt	For	For	For
2	Approve PricewaterhouseCoopers LLP Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Amend Restricted Stock Plan	Mgmt	For	For	For

### IsoEnergy Ltd.

**Meeting Date:** 06/08/2021

**Country:** Canada

**Primary Security ID:** 46500E107

**Record Date:** 05/04/2021

**Meeting Type:** Annual/Special

**Ticker:** ISO

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### IsoEnergy Ltd.

Shares Voted: 41,048

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Five	Mgmt	For	For	For
2.1	Elect Director Leigh Curyer	Mgmt	For	For	For
2.2	Elect Director Timothy Gabbruch	Mgmt	For	For	For
2.3	Elect Director Trevor Thiele	Mgmt	For	For	For
2.4	Elect Director Richard Patricio	Mgmt	For	For	For
2.5	Elect Director Christopher McFadden	Mgmt	For	For	For
3	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Re-approve Stock Option Plan	Mgmt	For	For	For

### CGN Mining Company Limited

Meeting Date: 06/10/2021

Country: Cayman Islands

Primary Security ID: G2029E105

Record Date: 06/04/2021

Meeting Type: Special

Ticker: 1164

Shares Voted: 6,985,327

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Sale and Purchase Agreement, Grant of Call Option and the Buy-back Right, Acceptance of Put Option and the Sell-back Right, Off-take Agreement, Cooperation Agreement, the Further Cooperation Agreement and the Mining Principles Agreement	Mgmt	For	For	For

### NexGen Energy Ltd.

Meeting Date: 06/10/2021

Country: Canada

Primary Security ID: 65340P106

Record Date: 04/30/2021

Meeting Type: Annual

Ticker: NXE

Shares Voted: 131,529

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Nine	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### NexGen Energy Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.1	Elect Director Leigh Curyer	Mgmt	For	For	For
2.2	Elect Director Christopher McFadden	Mgmt	For	For	For
2.3	Elect Director Richard Patricio	Mgmt	For	Withhold	Withhold
2.4	Elect Director Trevor Thiele	Mgmt	For	For	For
2.5	Elect Director Warren Gilman	Mgmt	For	Withhold	Withhold
2.6	Elect Director Sybil Veenman	Mgmt	For	For	For
2.7	Elect Director Karri Howlett	Mgmt	For	For	For
2.8	Elect Director Brad Wall	Mgmt	For	For	For
2.9	Elect Director Don J. Roberts	Mgmt	For	For	For
3	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

### UEX Corporation

**Meeting Date:** 06/10/2021

**Country:** Canada

**Primary Security ID:** 902666106

**Record Date:** 04/28/2021

**Meeting Type:** Annual

**Ticker:** UEX

**Shares Voted:** 29,684

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Suraj P. Ahuja	Mgmt	For	For	For
1.2	Elect Director Roger Lemaitre	Mgmt	For	For	For
1.3	Elect Director Emmet McGrath	Mgmt	For	For	For
1.4	Elect Director Peter J. Netupsky	Mgmt	For	For	For
1.5	Elect Director Catherine Stretch	Mgmt	For	For	For
1.6	Elect Director Graham C. Thody	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

### Yellow Cake Plc

**Meeting Date:** 06/10/2021

**Country:** Jersey

**Primary Security ID:** G98334108

**Record Date:** 06/08/2021

**Meeting Type:** Special

**Ticker:** YCA

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### Yellow Cake Plc

Shares Voted: 411,925

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Purchase of Triuranium Octoxide (U3O8)	Mgmt	For	For	For
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Purchase of Triuranium Octoxide (U3O8)	Mgmt	For	For	For

### Centrus Energy Corp.

Meeting Date: 06/16/2021

Country: USA

Primary Security ID: 15643U104

Record Date: 04/19/2021

Meeting Type: Annual

Ticker: LEU

Shares Voted: 13,733

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Mikel H. Williams	Mgmt	For	For	For
1.2	Elect Director W. Thomas Jagodinski	Mgmt	For	For	For
1.3	Elect Director Tina W. Jonas	Mgmt	For	For	For
1.4	Elect Director William J. Madia	Mgmt	For	For	For
1.5	Elect Director Daniel B. Poneman	Mgmt	For	For	For
1.6	Elect Director Neil S. Subin	Mgmt	For	For	For
1.7	Elect Director Kirkland H. Donald	Mgmt	For	For	For
1.8	Elect Director Bradley J. Sawatzke	Mgmt	For	For	For
2	Adopt NOL Rights Plan (NOL Pill)	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### CGN Mining Company Limited

Meeting Date: 06/17/2021

Country: Cayman Islands

Primary Security ID: G2029E105

Record Date: 06/10/2021

Meeting Type: Annual

Ticker: 1164

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### CGN Mining Company Limited

Shares Voted: 7,193,843

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Yin Xiong as Director	Mgmt	For	For	For
3b	Elect Gao Pei Ji as Director	Mgmt	For	For	For
3c	Elect Lee Kwok Tung Louis as Director	Mgmt	For	For	For
4	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
5	Approve BDO Limited as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
7	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
8	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against

### National Atomic Company Kazatomprom JSC

Meeting Date: 06/22/2021

Country: Kazakhstan

Primary Security ID: 63253R201

Record Date: 05/10/2021

Meeting Type: Special

Ticker: KAP

Shares Voted: 173,068

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt			
1	Approve Changes to Composition of Board of Directors	SH	None	For	For

### Global Atomic Corporation

Meeting Date: 06/24/2021

Country: Canada

Primary Security ID: 37957M106

Record Date: 05/05/2021

Meeting Type: Annual

Ticker: GLO



## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

## Global Atomic Corporation

Shares Voted: 43,669

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Tracey J. Arlaud	Mgmt	For	For	For
1b	Elect Director Asier Zarranonandia Ayo	Mgmt	For	For	For
1c	Elect Director Dean R. Chambers	Mgmt	For	For	For
1d	Elect Director Richard R. Faucher	Mgmt	For	For	For
1e	Elect Director George A. Flach	Mgmt	For	For	For
1f	Elect Director Derek C. Rance	Mgmt	For	For	For
1g	Elect Director Stephen G. Roman	Mgmt	For	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

## Azarga Uranium Corp.

Meeting Date: 06/25/2021

Country: Canada

Primary Security ID: 05477Y108

Record Date: 05/12/2021

Meeting Type: Annual/Special

Ticker: AZZ

Shares Voted: 111,649

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Glenn Catchpole	Mgmt	For	Withhold	Withhold
1.2	Elect Director Matthew O'Kane	Mgmt	For	For	For
1.3	Elect Director Sandra MacKay	Mgmt	For	For	For
1.4	Elect Director Joseph Havlin	Mgmt	For	For	For
1.5	Elect Director Todd Hilditch	Mgmt	For	Withhold	Withhold
1.6	Elect Director Delos Cy Jamison	Mgmt	For	For	For
2	Approve BDO Canada, LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Re-approve Stock Option Plan	Mgmt	For	Against	Against
4	Amend Employee Share Purchase Plan	Mgmt	For	Against	Against
5	Approve Issuance of Bonus Shares	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### Fission Uranium Corp.

**Meeting Date:** 06/29/2021

**Country:** Canada

**Primary Security ID:** 33812R109

**Record Date:** 05/10/2021

**Meeting Type:** Annual

**Ticker:** FCU

**Shares Voted:** 61,742

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Seven	Mgmt	For	For	For
2.1	Elect Director Ross McElroy	Mgmt	For	For	For
2.2	Elect Director Frank Estergaard	Mgmt	For	Withhold	Withhold
2.3	Elect Director William Marsh	Mgmt	For	Withhold	Withhold
2.4	Elect Director Robby Chang	Mgmt	For	Withhold	Withhold
2.5	Elect Director Darian Yip	Mgmt	For	For	For
2.6	Elect Director Felix Wang	Mgmt	For	For	For
2.7	Elect Director Zhou Jun	Mgmt	For	For	For
3	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Withhold	Withhold

### GoviEx Uranium Inc.

**Meeting Date:** 06/30/2021

**Country:** Canada

**Primary Security ID:** 383798105

**Record Date:** 05/14/2021

**Meeting Type:** Annual/Special

**Ticker:** GXU

**Shares Voted:** 233,243

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Seven	Mgmt	For	For	For
2.1	Elect Director Govind Friedland	Mgmt	For	For	For
2.2	Elect Director Daniel Major	Mgmt	For	For	For
2.3	Elect Director Benoit La Salle	Mgmt	For	For	For
2.4	Elect Director Christopher Wallace	Mgmt	For	For	For
2.5	Elect Director David Cates	Mgmt	For	For	For
2.6	Elect Director Salma Seetaroo	Mgmt	For	For	For
2.7	Elect Director Eric Krafft	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Global Uranium Index ETF

### GoviEx Uranium Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Re-approve Share Purchase Option Plan	Mgmt	For	For	For

### Laramide Resources Ltd.

**Meeting Date:** 06/30/2021

**Country:** Canada

**Primary Security ID:** 51669T101

**Record Date:** 05/18/2021

**Meeting Type:** Annual/Special

**Ticker:** LAM

**Shares Voted:** 25,511

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Raffi Babikian	Mgmt	For	For	For
1.2	Elect Director John G. Booth	Mgmt	For	For	For
1.3	Elect Director Marc C. Henderson	Mgmt	For	For	For
1.4	Elect Director D. Scott Patterson	Mgmt	For	For	For
2	Approve RSM Canada LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

# Proxy Voting Record

Meeting Date Range: 01-Jul-2020 To 06-Dec-2021

Selected Accounts

## YELLOW CAKE PLC

Security:	G98334108	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	02-Sep-2020
ISIN	JE00BF50RG45	Vote Deadline Date:	26-Aug-2020
Agenda	713016752	Management	Total Ballot Shares: 66601
Last Vote Date:	08-Aug-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	TO RECEIVE THE COMPANY'S ANNUAL REPORT AND ACCOUNTS FOR THE FINANCIAL YEAR ENDED 31 MARCH 2020, TOGETHER WITH THE REPORTS OF THE DIRECTORS AND THE AUDITOR	For	None	66601	0	0	0
2	TO RE-APPOINT ANTHONY TUDOR ST JOHN, THE LORD ST JOHN OF BLETSO AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
3	TO RE-APPOINT ANDRE LIEBENBERG AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
4	TO RE-APPOINT CAROLE WHITTALL AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
5	TO RE-APPOINT SOFIA BIANCHI AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
6	TO RE-APPOINT ALEXANDER DOWNER AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
7	TO RE-APPOINT ALAN RULE AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
8	TO RE-APPOINT ALEXANDRA NETHERCOTT-PARKES AS A DIRECTOR OF THE COMPANY	For	None	66601	0	0	0
9	TO RE-APPOINT RSM UK AUDIT LLP AS AUDITOR OF THE COMPANY	For	None	66601	0	0	0
10	TO AUTHORISE THE AUDIT COMMITTEE, ON BEHALF OF THE DIRECTORS, TO FIX THE REMUNERATION OF THE AUDITORS	For	None	66601	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
11	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES IN CONNECTION WITH THE PURCHASE OF U308 (INCLUDING RELATED COMMISSIONS, INCIDENTAL EXPENSES, AND ONGOING NECESSARY RUNNING COSTS) AND GENERALLY	For	None	66601	0	0	0
12	TO DISAPPLY PRE-EMPTION RIGHTS IN RESPECT OF THE ALLOTMENT OF EQUITY SECURITIES OR SALE OF TREASURY SHARES IN CONNECTION WITH THE PURCHASE OF U308 (INCLUDING RELATED COMMISSIONS, INCIDENTAL EXPENSES, AND ONGOING NECESSARY RUNNING COSTS) AND GENERALLY	For	None	66601	0	0	0
13	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	For	None	66601	0	0	0

## Proxy Voting Record

### PALADIN ENERGY LTD

Security:	Q7264T104	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	17-Nov-2020
ISIN	AU000000PDN8	Vote Deadline Date:	12-Nov-2020
Agenda	713240149	Management	Total Ballot Shares: 1016017
Last Vote Date:	20-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	RE-ELECTION OF DIRECTOR - MR CLIFF LAWRENSEN	For	None	1016017	0	0	0
2	REPLACEMENT OF CONSTITUTION	For	None	1016017	0	0	0
3	APPROVAL OF PROPORTIONAL TAKEOVER PROVISIONS	For	None	1016017	0	0	0
4	ADOPTION OF PERFORMANCE SHARE RIGHTS PLAN	For	None	1016017	0	0	0
5	ADOPTION OF REMUNERATION REPORT	For	None	1016017	0	0	0
6	IF YOU INTEND TO VOTE FOR THE REMUNERATION REPORT, THEN YOU SHOULD VOTE AGAINST THE SPILL RESOLUTION	None	None		Non Voting		
7	SPILL MEETING RESOLUTION: THE CORPORATIONS ACT WAS AMENDED IN JUNE 2011 TO INTRODUCE THE "TWO-STRIKES" RULE. THE TWO STRIKES RULE PROVIDES THAT IF AT LEAST 25% OF THE VOTES CAST ON THE ADOPTION OF THE REMUNERATION REPORT AT TWO CONSECUTIVE AGMS ARE AGAINST ADOPTING THE REMUNERATION REPORT, MEMBERS WILL HAVE THE OPPORTUNITY TO VOTE ON A "SPILL RESOLUTION" (AS DESCRIBED BELOW). AT LAST YEAR'S AGM, MORE THAN 25% OF THE VOTES CAST ON THE RESOLUTION TO ADOPT THE REMUNERATION REPORT WERE AGAINST ADOPTING THE REPORT. THIS CONSTITUTES A "FIRST STRIKE". IF AT LEAST 25% OF THE VOTES CAST ON RESOLUTION 5 ARE AGAINST ADOPTING THE REMUNERATION REPORT AT THE MEETING, THIS WILL CONSTITUTE A "SECOND STRIKE" AND RESOLUTION 6 WILL BE PUT TO THE	Against	None	1016017	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	<p>MEETING AND VOTED ON AS REQUIRED BY SECTION 250V OF THE CORPORATIONS ACT (THE SPILL RESOLUTION). IF PUT, THE SPILL RESOLUTION WILL BE CONSIDERED AS AN ORDINARY RESOLUTION. IF LESS THAN 25% OF THE VOTES CAST ON RESOLUTION 5 ARE AGAINST ADOPTING THE REMUNERATION REPORT AT THE MEETING, THEN THERE WILL BE NO "SECOND STRIKE" AND RESOLUTION 6 WILL NOT BE PUT TO THE MEETING. IF THE SPILL RESOLUTION IS PUT TO THE MEETING AND PASSED, A FURTHER SPECIAL MEETING OF SHAREHOLDERS MUST BE HELD WITHIN 90 DAYS (THE SPILL MEETING) OF THE SPILL RESOLUTION BEING PASSED. IMMEDIATELY BEFORE THE END OF THE SPILL MEETING, WITH THE EXCEPTION OF A MANAGING DIRECTOR OF THE COMPANY, ALL OF THE DIRECTORS WHO WERE DIRECTORS WHEN THE RESOLUTION TO APPROVE THE DIRECTORS' REPORT FOR THE YEAR ENDED 30 JUNE 2020 WAS PASSED, INCLUDING MR LAWRENSON IF HE IS RE-ELECTED UNDER RESOLUTION 1, (THE RELEVANT DIRECTORS) WILL CEASE TO HOLD OFFICE IMMEDIATELY BEFORE THE END OF THE SPILL MEETING. EACH RELEVANT DIRECTOR IS ELIGIBLE TO SEEK RE-ELECTION AS A DIRECTOR OF THE COMPANY AT THE SPILL MEETING. IF THE SPILL RESOLUTION IS PASSED, MEMBERS SHOULD NOTE THAT EACH OF THE RELEVANT DIRECTORS INTENDS TO STAND FOR RE-ELECTION AT THE SPILL MEETING. IF THE COMPANY DOES NOT RECEIVE A "SECOND STRIKE" OR THE SPILL RESOLUTION FAILS, THEN THE COMPANY HAS A "CLEAN SLATE" AND WILL ENTER THE 2021 ANNUAL GENERAL MEETING WITH NO "STRIKES". NOTING THAT EACH DIRECTOR HAS A PERSONAL INTEREST IN THEIR OWN REMUNERATION FROM THE COMPANY AS SET OUT IN THE REMUNERATION REPORT, IF RESOLUTION 6 IS PUT TO THE MEETING, THE BOARD RECOMMENDS THAT</p>						

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	SHAREHOLDERS VOTE AGAINST RESOLUTION 6 ON THE BASIS THAT A SPILL MEETING WOULD BE DISRUPTIVE AND COSTLY AND IN THE BOARD'S VIEW IT WOULD BE INAPPROPRIATE TO REMOVE ALL OF THE RELEVANT DIRECTORS IN THE CIRCUMSTANCES						
8	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 4, 5, 6 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None			Non Voting	
9	19 OCT 2020: IF A PROPORTIONAL TAKEOVER BID IS MADE FOR THE COMPANY, A SHARE TRANSFER TO THE OFFEROR CANNOT BE REGISTERED UNTIL THE BID IS APPROVED BY MEMBERS NOT ASSOCIATED WITH THE BIDDER. THE RESOLUTION 3 MUST BE CONSIDERED AT A MEETING HELD MORE THAN 14 DAYS BEFORE THE BID CLOSES. EACH MEMBER HAS ONE VOTE FOR EACH FULLY PAID SHARE HELD. THE VOTE IS DECIDED ON A SIMPLE MAJORITY. THE BIDDER AND ITS ASSOCIATES ARE NOT ALLOWED TO VOTE	None	None			Non Voting	
10	19 OCT 2020: PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None			Non Voting	



## Proxy Voting Record

### BOSS RESOURCES LTD

Security:	Q1705G144	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	18-Nov-2020
ISIN	AU000000BOE4	Vote Deadline Date:	12-Nov-2020
Agenda	713251041	Total Ballot Shares:	636260
Last Vote Date:	21-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 1, 6 TO 18 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		
2	APPROVAL OF REMUNERATION REPORT	For	None	636260	0	0	0
3	ELECTION OF DIRECTOR - MR PETER O'CONNOR	For	None	636260	0	0	0
4	ELECTION OF DIRECTOR - MR DUDLEY KINGSNORTH	For	None	636260	0	0	0
5	ELECTION OF DIRECTOR - MR WYATT BUCK	For	None	636260	0	0	0
6	APPROVAL OF CHANGE OF COMPANY NAME: BOSS ENERGY LIMITED	For	None	636260	0	0	0
7	RENEWED APPROVAL OF EMPLOYEE SECURITIES INCENTIVE PLAN	For	None	636260	0	0	0
8	APPROVAL TO ISSUE SHARES TO MR DUNCAN CRAIB UNDER THE EMPLOYEE SECURITIES INCENTIVE PLAN	For	None	636260	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
9	APPROVAL TO ISSUE SHARES TO MR BRYN JONES UNDER THE EMPLOYEE SECURITIES INCENTIVE PLAN	For	None	636260	0	0	0
10	APPROVAL TO ISSUE OPTIONS TO MR DUDLEY KINGSNORTH UNDER THE EMPLOYEE SECURITIES INCENTIVE PLAN	For	None	636260	0	0	0
11	APPROVAL TO ISSUE OPTIONS TO MR WYATT BUCK UNDER THE EMPLOYEE SECURITIES INCENTIVE PLAN	For	None	636260	0	0	0
12	APPROVAL OF 10% PLACEMENT FACILITY	For	None	636260	0	0	0
13	RATIFICATION OF 7.1 PLACEMENT	For	None	636260	0	0	0
14	RATIFICATION OF 7.1A PLACEMENT	For	None	636260	0	0	0
15	APPROVAL OF ISSUE OF PLACEMENT SHARES TO DIRECTOR, MR PETER O'CONNOR	For	None	636260	0	0	0
16	APPROVAL OF ISSUE OF PLACEMENT SHARES TO DIRECTOR, MR DUNCAN CRAIB	For	None	636260	0	0	0
17	APPROVAL OF ISSUE OF PLACEMENT SHARES TO DIRECTOR, MR BRYN JONES	For	None	636260	0	0	0
18	APPROVAL OF ISSUE OF PLACEMENT SHARES TO DIRECTOR, MR DUDLEY KINGSNORTH	For	None	636260	0	0	0
19	APPROVAL OF ISSUE OF PLACEMENT SHARES TO DIRECTOR, MR WYATT BUCK	For	None	636260	0	0	0

## Proxy Voting Record

### BANNERMAN RESOURCES LTD

Security: Q1291U101	Meeting Type: Annual General Meeting
Ticker:	Meeting Date: 20-Nov-2020
ISIN AU000000BMN9	Vote Deadline Date: 16-Nov-2020
Agenda 713249577 Management	Total Ballot Shares: 483669
Last Vote Date: 21-Oct-2020	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 2, 4.A TO 4.D AND 5 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		
2	REMUNERATION REPORT	For	None	483669	0	0	0
3	THAT MR CLIVE JONES BE RE-ELECTED AS A DIRECTOR	For	None	483669	0	0	0
4	THAT MR IAN BURVILL BE RE-ELECTED AS A DIRECTOR	For	None	483669	0	0	0
5	THAT, FOR THE PURPOSES OF ASX LISTING RULE 10.14, SECTION 195(4) OF THE CORPORATIONS ACT AND FOR ALL OTHER PURPOSES, APPROVAL IS GIVEN FOR THE COMPANY TO ISSUE INCENTIVES TO MR BEEVOR UNDER THE NEDSIP IN SATISFACTION OF THE FOLLOWING AMOUNTS OF HIS DIRECTORS' FEES	For	None	483669	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	THAT, FOR THE PURPOSES OF ASX LISTING RULE 10.14, SECTION 195(4) OF THE CORPORATIONS ACT AND FOR ALL OTHER PURPOSES, APPROVAL IS GIVEN FOR THE COMPANY TO ISSUE INCENTIVES TO MR BURVILL UNDER THE NEDSIP IN SATISFACTION OF THE FOLLOWING AMOUNTS OF HIS DIRECTORS' FEES	For	None	483669	0	0	0
7	THAT, FOR THE PURPOSES OF ASX LISTING RULE 10.14, SECTION 195(4) OF THE CORPORATIONS ACT AND FOR ALL OTHER PURPOSES, APPROVAL IS GIVEN FOR THE COMPANY TO ISSUE INCENTIVES TO MR JONES UNDER THE NEDSIP IN SATISFACTION OF THE FOLLOWING AMOUNTS OF HIS DIRECTORS' FEES	For	None	483669	0	0	0
8	THAT, FOR THE PURPOSES OF ASX LISTING RULE 10.14, SECTION 195(4) OF THE CORPORATIONS ACT AND FOR ALL OTHER PURPOSES, APPROVAL IS GIVEN FOR THE COMPANY TO ISSUE INCENTIVES TO MR LEECH UNDER THE NEDSIP IN SATISFACTION OF THE FOLLOWING AMOUNTS OF HIS DIRECTORS' FEES	For	None	483669	0	0	0
9	ISSUE OF SECURITIES TO MR MUNRO	For	None	483669	0	0	0
10	RENEWAL OF CAPACITY TO ISSUE SECURITIES	For	None	483669	0	0	0

## Proxy Voting Record

### BERKELEY ENERGIA LTD

Security:	Q1482M108	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	25-Nov-2020
ISIN	AU000000BKY0	Vote Deadline Date:	19-Nov-2020
Agenda	713180242	Management	Total Ballot Shares: 27960
Last Vote Date:	20-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 1, 3 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		
2	REMUNERATION REPORT	For	None	27960	0	0	0
3	RE-ELECTION OF MR ADAM PARKER AS DIRECTOR	For	None	27960	0	0	0
4	APPROVAL OF 10% PLACEMENT FACILITY	For	None	27960	0	0	0

## Proxy Voting Record

### DEEP YELLOW LTD

Security:	Q3288V217	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	26-Nov-2020
ISIN	AU000000DYL4	Vote Deadline Date:	20-Nov-2020
Agenda	713286018	Total Ballot Shares:	112473
Last Vote Date:	28-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 1, 3 TO 8 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		
2	REMUNERATION REPORT	For	None	112473	0	0	0
3	RE-ELECTION OF MS G SWABY	For	None	112473	0	0	0
4	APPROVAL OF ISSUE OF SHARES AND LOAN TO MR J BORSHOFF	For	None	112473	0	0	0
5	APPROVAL OF ISSUE OF SHARES AND LOAN TO MS G SWABY	For	None	112473	0	0	0
6	GRANT OF OPTIONS TO MR RUDOLF BRUNOV	For	None	112473	0	0	0
7	GRANT OF OPTIONS TO MR MERVYN GREENE	For	None	112473	0	0	0
8	GRANT OF OPTIONS TO MR JUSTIN REID	For	None	112473	0	0	0
9	GRANT OF OPTIONS TO MR CHRISTOPHE URTEL	For	None	112473	0	0	0
10	APPROVAL OF 7.1A MANDATE	For	None	112473	0	0	0

## Proxy Voting Record

### PENINSULA ENERGY LTD

Security:	Q7419E358	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	30-Nov-2020
ISIN	AU000000PEN6	Vote Deadline Date:	26-Nov-2020
Agenda	713300135	Total Ballot Shares:	509893
Last Vote Date:	30-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 1, 4 TO 7 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		
2	ADOPTION OF REMUNERATION REPORT	For	None	509893	0	0	0
3	RE-ELECTION OF MR MARK WHEATLEY AS A DIRECTOR	For	None	509893	0	0	0
4	RE-ELECTION OF MR JOHN HARRISON AS A DIRECTOR	For	None	509893	0	0	0
5	APPROVAL OF 10% PLACEMENT CAPACITY	For	None	509893	0	0	0
6	APPROVAL FOR THE ISSUE OF SHARES TO WAYNE HEILI	For	None	509893	0	0	0
7	APPROVAL FOR THE ISSUE OF SHARES TO DAVID COYNE	For	None	509893	0	0	0
8	APPROVAL FOR THE ISSUE OF RSUS TO WAYNE HEILI	For	None	509893	0	0	0