

## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

### National Grid Plc

**Meeting Date:** 04/22/2021

**Country:** United Kingdom

**Primary Security ID:** G6S9A7120

**Record Date:** 03/29/2021

**Meeting Type:** Special

**Ticker:** NG

**Shares Voted:** 5,593

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Approve Acquisition of PPL WPD Investments Limited	Mgmt	For	For	For
2	Approve Increase in Borrowing Limit Under the Company's Articles of Association	Mgmt	For	For	For

### Anheuser-Busch InBev SA/NV

**Meeting Date:** 04/28/2021

**Country:** Belgium

**Primary Security ID:** B639CJ108

**Record Date:** 03/16/2021

**Meeting Type:** Annual/Special

**Ticker:** ABI

**Shares Voted:** 6,778

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Special Meeting Agenda	Mgmt			
A1	Authorize Repurchase of Up to 20 Percent of Issued Share Capital	Mgmt	For	Against	Against
	Annual Meeting Agenda	Mgmt			
B2	Receive Directors' Reports (Non-Voting)	Mgmt			
B3	Receive Auditors' Reports (Non-Voting)	Mgmt			
B4	Receive Consolidated Financial Statements and Statutory Reports (Non-Voting)	Mgmt			
B5	Approve Financial Statements, Allocation of Income, and Dividends of EUR 0.50 per Share	Mgmt	For	For	For
B6	Approve Discharge of Directors	Mgmt	For	For	For
B7	Approve Discharge of Auditors	Mgmt	For	For	For
B8.a	Reelect Martin J. Barrington as Director	Mgmt	For	Against	Against
B8.b	Reelect William F. Gifford, Jr. as Director	Mgmt	For	Against	Against
B8.c	Reelect Alejandro Santo Domingo Davila as Director	Mgmt	For	Against	Against

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Institution Account(s): Horizons Enhanced Income International Equity ETF

### Anheuser-Busch InBev SA/NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
B9	Approve Remuneration policy	Mgmt	For	Against	Against
B10	Approve Remuneration Report	Mgmt	For	Against	Against
B11	Approve Change-of-Control Clause Re: Revolving Credit and Swingline Facilities Agreement	Mgmt	For	For	For
C12	Authorize Implementation of Approved Resolutions and Filing of Required Documents/Formalities at Trade Registry	Mgmt	For	For	For

### British American Tobacco plc

Meeting Date: 04/28/2021

Country: United Kingdom

Primary Security ID: G1510J102

Record Date: 03/10/2021

Meeting Type: Annual

Ticker: BATS

Shares Voted: 9,927

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	Against
3	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
4	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
5	Re-elect Luc Jobin as Director	Mgmt	For	For	For
6	Re-elect Jack Bowles as Director	Mgmt	For	For	For
7	Re-elect Tadeu Marroco as Director	Mgmt	For	For	For
8	Re-elect Sue Farr as Director	Mgmt	For	For	For
9	Re-elect Jeremy Fowden as Director	Mgmt	For	Withhold	Withhold
10	Re-elect Dr Marion Helmes as Director	Mgmt	For	For	For
11	Re-elect Holly Keller Koepfel as Director	Mgmt	For	For	For
12	Re-elect Savio Kwan as Director	Mgmt	For	For	For
13	Re-elect Dimitri Panayotopoulos as Director	Mgmt	For	For	For
14	Elect Karen Guerra as Director	Mgmt	For	For	For
15	Elect Darrell Thomas as Director	Mgmt	For	For	For

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

### British American Tobacco plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorise Issue of Equity	Mgmt	For	For	For
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
19	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

### Sanofi

Meeting Date: 04/30/2021

Country: France

Primary Security ID: F5548N101

Record Date: 03/29/2021

Meeting Type: Annual/Special

Ticker: SAN

Shares Voted: 4,048

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Treatment of Losses and Dividends of EUR 3.20 per Share	Mgmt	For	For	For
4	Ratify Appointment of Gilles Schnepf as Director	Mgmt	For	For	For
5	Reelect Fabienne Lecorvaisier as Director	Mgmt	For	For	For
6	Reelect Melanie Lee as Director	Mgmt	For	For	For
7	Elect Barbara Lavernos as Director	Mgmt	For	For	For
8	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
9	Approve Compensation of Serge Weinberg, Chairman of the Board	Mgmt	For	For	For
10	Approve Compensation of Paul Hudson, CEO	Mgmt	For	For	For
11	Approve Remuneration Policy of Directors	Mgmt	For	For	For
12	Approve Remuneration Policy of Chairman of the Board	Mgmt	For	For	For

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## Sanofi

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Remuneration Policy of CEO	Mgmt	For	For	For
14	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
15	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
16	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 997 Million	Mgmt	For	For	For
17	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 240 Million	Mgmt	For	For	For
18	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 240 Million	Mgmt	For	For	For
19	Approve Issuance of Debt Securities Giving Access to New Shares of Subsidiaries and/or Debt Securities, up to Aggregate Amount of EUR 7 Billion	Mgmt	For	For	For
20	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	For	For	For
21	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	For	For	For
22	Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
23	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
24	Authorize up to 5 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	For	For	For
25	Amend Article 13 of Bylaws Re: Written Consultation	Mgmt	For	For	For
26	Amend Articles 14 and 17 of Bylaws Re: Board Powers and Censors	Mgmt	For	For	For
27	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

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## Koninklijke Philips NV

**Meeting Date:** 05/06/2021

**Country:** Netherlands

**Primary Security ID:** N7637U112

**Record Date:** 04/08/2021

**Meeting Type:** Annual

**Ticker:** PHIA

**Shares Voted:** 7,639

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	President's Speech	Mgmt			
2.a	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt			
2.b	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2.c	Approve Dividends of EUR 0.85 Per Share	Mgmt	For	For	For
2.d	Approve Remuneration Report	Mgmt	For	For	For
2.e	Approve Discharge of Management Board	Mgmt	For	For	For
2.f	Approve Discharge of Supervisory Board	Mgmt	For	For	For
3	Reelect Marnix van Ginneken to Management Board	Mgmt	For	For	For
4.a	Elect Chua Sock Koong to Supervisory Board	Mgmt	For	For	For
4.b	Elect Indra Nooyi to Supervisory Board	Mgmt	For	For	For
5.a	Grant Board Authority to Issue Shares	Mgmt	For	For	For
5.b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
6	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
7	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For
8	Other Business (Non-Voting)	Mgmt			

## SAP SE

**Meeting Date:** 05/12/2021

**Country:** Germany

**Primary Security ID:** D66992104

**Record Date:** 03/29/2021

**Meeting Type:** Annual

**Ticker:** SAP

**Shares Voted:** 2,002

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			

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Institution Account(s): Horizons Enhanced Income International Equity ETF

## SAP SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 1.85 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
5	Ratify KPMG AG as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6.a	Elect Qi Lu to the Supervisory Board	Mgmt	For	For	For
6.b	Elect Rouven Westphal to the Supervisory Board	Mgmt	For	For	For
7	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 10 Billion; Approve Creation of EUR 100 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
8	Amend Corporate Purpose	Mgmt	For	For	For
9	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For

## Orange SA

**Meeting Date:** 05/18/2021

**Country:** France

**Primary Security ID:** F6866T100

**Record Date:** 04/08/2021

**Meeting Type:** Annual/Special

**Ticker:** ORA

**Shares Voted:** 31,545

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of EUR 0.90 per Share	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

## Orange SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Reelect Bpifrance Participations as Director	Mgmt	For	For	For
6	Renew Appointment of KPMG as Auditor	Mgmt	For	For	For
7	Renew Appointment of Salustro Reydel as Alternate Auditor	Mgmt	For	For	For
8	Appoint Deloitte as Auditor	Mgmt	For	For	For
9	Appoint Beas as Alternate Auditor	Mgmt	For	For	For
10	Ratify Change Location of Registered Office to 111 Quai du President Roosevelt, 92130 Issy-les-Moulineaux	Mgmt	For	For	For
11	Approve Compensation Report	Mgmt	For	For	For
12	Approve Compensation of Stephane Richard, Chairman and CEO	Mgmt	For	For	For
13	Approve Compensation of Ramon Fernandez, Vice-CEO	Mgmt	For	For	For
14	Approve Compensation of Gervais Pellissier, Vice-CEO	Mgmt	For	For	For
15	Approve Remuneration Policy of the Chairman and CEO	Mgmt	For	For	For
16	Approve Remuneration Policy of Vice-CEOs	Mgmt	For	For	For
17	Approve Remuneration Policy of Directors	Mgmt	For	For	For
18	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
19	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 2 Billion	Mgmt	For	For	For
20	Allow Board to Use Delegations under Item 19 Above in the Event of a Public Tender Offer	Mgmt	For	Against	Against
21	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 1 Billion	Mgmt	For	For	For
22	Allow Board to Use Delegations under Item 21 Above in the Event of a Public Tender Offer	Mgmt	For	Against	Against
23	Approve Issuance of Equity or Equity-Linked Securities for up to 20 Percent of Issued Capital Per Year for Private Placements, up to Aggregate Nominal Amount of EUR 1 Billion	Mgmt	For	For	For
24	Allow Board to Use Delegations under Item 23 Above in the Event of a Public Tender Offer	Mgmt	For	Against	Against

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

## Orange SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
25	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 19-24	Mgmt	For	Against	Against
26	Authorize Capital Increase of Up to EUR 1 Billion for Future Exchange Offers	Mgmt	For	For	For
27	Allow Board to Use Delegations under Item 26 Above in the Event of a Public Tender Offer	Mgmt	For	Against	Against
28	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	For	For	For
29	Allow Board to Use Delegations under Item 28 Above in the Event of a Public Tender Offer	Mgmt	For	Against	Against
30	Set Total Limit for Capital Increase to Result from All Issuance Requests at EUR 3 Billion	Mgmt	For	For	For
31	Authorize up to 0.07 Percent of Issued Capital for Use in Restricted Stock Plans Under Performance Conditions Reserved for Some Employees and Executive Corporate Officers	Mgmt	For	For	For
32	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
33	Authorize Capitalization of Reserves of Up to EUR 2 Billion for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
34	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
35	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For
	Shareholder Proposals Submitted by Fonds Commun de Placement d'Entreprise Orange Actions	Mgmt			
A	Amending Item 31 of Current Meeting to Align the Allocation of Free Shares to the Group Employees with that of LTIP Incentives for Executives	SH	Against	Against	Against
B	Amend Article 13 of Bylaws Re: Plurality of Directorships	SH	Against	Against	Against

## HSBC Holdings Plc

**Meeting Date:** 05/28/2021

**Country:** United Kingdom

**Primary Security ID:** G4634U169

**Record Date:** 03/17/2021

**Meeting Type:** Annual

**Ticker:** HSBA



## Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

## HSBC Holdings Plc

Shares Voted: 5,051

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Management Proposals	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3a	Elect James Forese as Director	Mgmt	For	For	For
3b	Elect Steven Guggenheimer as Director	Mgmt	For	For	For
3c	Elect Eileen Murray as Director	Mgmt	For	For	For
3d	Re-elect Irene Lee as Director	Mgmt	For	For	For
3e	Re-elect Jose Antonio Meade Kuribrena as Director	Mgmt	For	For	For
3f	Re-elect David Nish as Director	Mgmt	For	For	For
3g	Re-elect Noel Quinn as Director	Mgmt	For	For	For
3h	Re-elect Ewen Stevenson as Director	Mgmt	For	For	For
3i	Re-elect Jackson Tai as Director	Mgmt	For	For	For
3j	Re-elect Mark Tucker as Director	Mgmt	For	For	For
3k	Re-elect Pauline van der Meer Mohr as Director	Mgmt	For	For	For
4	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Authorise the Group Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Authorise EU Political Donations and Expenditure	Mgmt	For	For	For
7	Authorise Issue of Equity	Mgmt	For	For	For
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
10	Authorise Directors to Allot Any Repurchased Shares	Mgmt	For	For	For
11	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
12	Authorise Issue of Equity in Relation to Contingent Convertible Securities	Mgmt	For	For	For

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

## HSBC Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Authorise Issue of Equity without Pre-emptive Rights in Relation to Contingent Convertible Securities	Mgmt	For	For	For
14	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
15	Approve Climate Change Resolution	Mgmt	For	For	For
	Shareholder Proposal	Mgmt			
16	Find an Equitable Solution to the Unfair, Discriminatory but Legal Practice of Enforcing Clawback on Members of the Post 1974 Midland Section Defined Benefit Scheme	SH	Against	Against	Against

## Total SE

**Meeting Date:** 05/28/2021

**Country:** France

**Primary Security ID:** F92124100

**Record Date:** 04/16/2021

**Meeting Type:** Annual/Special

**Ticker:** FP

**Shares Voted:** 3,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of EUR 2.64 per Share	Mgmt	For	For	For
4	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
5	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
6	Reelect Patrick Pouyanne as Director	Mgmt	For	Against	Against
7	Reelect Anne-Marie Idrac as Director	Mgmt	For	For	For
8	Elect Jacques Aschenbroich as Director	Mgmt	For	For	For
9	Elect Glenn Hubbard as Director	Mgmt	For	For	For
10	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

## Total SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Approve Remuneration Policy of Directors	Mgmt	For	For	For
12	Approve Compensation of Patrick Pouyanne, Chairman and CEO	Mgmt	For	For	For
13	Approve Remuneration Policy of Chairman and CEO	Mgmt	For	Against	Against
14	Approve the Company's Sustainable Development and Energy Transition	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
15	Change Company Name to TotalEnergies SE and Amend Article 2 of Bylaws Accordingly	Mgmt	For	For	For
16	Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	For	For	For
17	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For

## Toyota Motor Corp.

**Meeting Date:** 06/16/2021

**Country:** Japan

**Primary Security ID:** J92676113

**Record Date:** 03/31/2021

**Meeting Type:** Annual

**Ticker:** 7203

**Shares Voted:** 2,632

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1.1	Elect Director Uchiyamada, Takeshi	Mgmt	For	For	For
1.2	Elect Director Hayakawa, Shigeru	Mgmt	For	For	For
1.3	Elect Director Toyoda, Akio	Mgmt	For	For	For
1.4	Elect Director Kobayashi, Koji	Mgmt	For	For	For
1.5	Elect Director James Kuffner	Mgmt	For	For	For
1.6	Elect Director Kon, Kenta	Mgmt	For	For	For
1.7	Elect Director Sugawara, Ikuro	Mgmt	For	For	For
1.8	Elect Director Sir Philip Craven	Mgmt	For	For	For
1.9	Elect Director Kudo, Teiko	Mgmt	For	For	For
2	Appoint Alternate Statutory Auditor Sakai, Ryuji	Mgmt	For	For	For

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### Toyota Motor Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Amend Articles to Delete Provisions on Class AA Shares	Mgmt	For	For	For

### Sony Group Corp.

**Meeting Date:** 06/22/2021      **Country:** Japan      **Primary Security ID:** J76379106  
**Record Date:** 03/30/2021      **Meeting Type:** Annual      **Ticker:** 6758

**Shares Voted:** 1,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1.1	Elect Director Yoshida, Kenichiro	Mgmt	For	For	For
1.2	Elect Director Totoki, Hiroki	Mgmt	For	For	For
1.3	Elect Director Sumi, Shuzo	Mgmt	For	For	For
1.4	Elect Director Tim Schaaff	Mgmt	For	For	For
1.5	Elect Director Oka, Toshiko	Mgmt	For	For	For
1.6	Elect Director Akiyama, Sakie	Mgmt	For	For	For
1.7	Elect Director Wendy Becker	Mgmt	For	For	For
1.8	Elect Director Hatanaka, Yoshihiko	Mgmt	For	For	For
1.9	Elect Director Adam Crozier	Mgmt	For	For	For
1.10	Elect Director Kishigami, Keiko	Mgmt	For	For	For
1.11	Elect Director Joseph A. Kraft Jr	Mgmt	For	For	For
2	Approve Stock Option Plan	Mgmt	For	For	For

### Mitsubishi UFJ Financial Group, Inc.

**Meeting Date:** 06/29/2021      **Country:** Japan      **Primary Security ID:** J44497105  
**Record Date:** 03/31/2021      **Meeting Type:** Annual      **Ticker:** 8306

**Shares Voted:** 3,254

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			

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Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

### Mitsubishi UFJ Financial Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 12.5	Mgmt	For	For	For
2.1	Elect Director Fujii, Mariko	Mgmt	For	For	For
2.2	Elect Director Honda, Keiko	Mgmt	For	For	For
2.3	Elect Director Kato, Kaoru	Mgmt	For	For	For
2.4	Elect Director Kuwabara, Satoko	Mgmt	For	For	For
2.5	Elect Director Toby S. Myerson	Mgmt	For	For	For
2.6	Elect Director Nomoto, Hirofumi	Mgmt	For	Against	Against
2.7	Elect Director Shingai, Yasushi	Mgmt	For	For	For
2.8	Elect Director Tsuji, Koichi	Mgmt	For	For	For
2.9	Elect Director Tarisa Watanagase	Mgmt	For	For	For
2.10	Elect Director Ogura, Ritsuo	Mgmt	For	For	For
2.11	Elect Director Miyanaga, Kenichi	Mgmt	For	For	For
2.12	Elect Director Mike, Kanetsugu	Mgmt	For	For	For
2.13	Elect Director Araki, Saburo	Mgmt	For	For	For
2.14	Elect Director Nagashima, Iwao	Mgmt	For	For	For
2.15	Elect Director Hanzawa, Junichi	Mgmt	For	For	For
2.16	Elect Director Kamezawa, Hironori	Mgmt	For	For	For
3	Amend Articles to Disclose Plan Outlining Company's Business Strategy to Align Investments with Goals of Paris Agreement	SH	Against	Against	Against
4	Amend Articles to Add Provision on Early Submission of Annual Yuho Securities Report	SH	Against	For	For
5	Amend Articles to Prohibit Officers and Employees of the Company from Committing Parental Child Abduction for Gaining Advantage in Custody Disputes	SH	Against	Against	Against
6	Amend Articles to Prohibit Provision of Financing and Other Inappropriate Transactions to Anti-Social Forces	SH	Against	Against	Against
7	Amend Articles to Establish Helpline for Whistle-Blowers	SH	Against	Against	Against
8	Appoint Shareholder Director Nominee Ino, Tatsuki	SH	Against	Against	Against

**Vote Summary Report**

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income International Equity ETF

# Proxy Voting Record

Meeting Date Range: 01-Jul-2020 To 30-Jun-2021

Selected Accounts

## NATIONAL GRID PLC

Security:	636274409	Meeting Type:	Annual
Ticker:	NGG	Meeting Date:	27-Jul-2020
ISIN	US6362744095	Vote Deadline Date:	17-Jul-2020
Agenda	935243523	Management	Total Ballot Shares: 6551
Last Vote Date:	27-Jun-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To receive the Annual Report and Accounts	For	None	6551	0	0	0
2	To declare a final dividend	For	None	6551	0	0	0
3	To re-elect Sir Peter Gershon	For	None	6551	0	0	0
4	To re-elect John Pettigrew	For	None	6551	0	0	0
5	To re-elect Andy Agg	For	None	6551	0	0	0
6	To re-elect Nicola Shaw	For	None	6551	0	0	0
7	To re-elect Mark Williamson	For	None	6551	0	0	0
8	To re-elect Jonathan Dawson	For	None	6551	0	0	0
9	To re-elect Therese Esperdy	For	None	6551	0	0	0
10	To re-elect Paul Golby	For	None	6551	0	0	0
11	To elect Liz Hewitt	For	None	6551	0	0	0
12	To re-elect Amanda Mesler	For	None	6551	0	0	0
13	To re-elect Earl Shipp	For	None	6551	0	0	0
14	To re-elect Jonathan Silver	For	None	6551	0	0	0
15	To re-appoint the auditors Deloitte LLP	For	None	6551	0	0	0
16	To authorise the Audit Committee of the Board to set the auditors' remuneration	For	None	6551	0	0	0
17	To approve the Directors' Remuneration Report excluding excerpts from the Directors' remuneration policy	For	None	6551	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
18	To authorise the Company to make political donations	For	None	6551	0	0	0
19	To authorise the Directors to allot Ordinary Shares	For	None	6551	0	0	0
20	To reapprove the National Grid Share Incentive Plan (the 'SIP')	For	None	6551	0	0	0
21	To reapprove the National Grid Sharesave Plan ('Sharesave')	For	None	6551	0	0	0
22	To approve an increased borrowing limit	For	None	6551	0	0	0
23	To disapply pre-emption rights (Special Resolution)	For	None	6551	0	0	0
24	To disapply pre-emption rights for acquisitions (Special Resolution)	For	None	6551	0	0	0
25	To authorise the Company to purchase its own Ordinary Shares (Special Resolution)	For	None	6551	0	0	0
26	To authorise the Directors to hold general meetings on 14 clear days' notice (Special Resolution)	For	None	6551	0	0	0



## Proxy Voting Record

### DIAGEO PLC

Security:	25243Q205		Meeting Type:	Annual
Ticker:	DEO		Meeting Date:	28-Sep-2020
ISIN	US25243Q2057		Vote Deadline Date:	22-Sep-2020
Agenda	935266292	Management	Total Ballot Shares:	2795
Last Vote Date:	28-Aug-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Report and accounts 2020.	For	None	2795	0	0	0
2	Directors' remuneration report 2020.	For	None	2795	0	0	0
3	Directors' remuneration policy 2020.	For	None	2795	0	0	0
4	Declaration of final dividend.	For	None	2795	0	0	0
5	Election of Melissa Bethell (1,3,4) as a director.	For	None	2795	0	0	0
6	Re-election of Javier Ferrán (3*) as a director.	For	None	2795	0	0	0
7	Re-election of Susan Kilsby (1,3,4*) as a director.	For	None	2795	0	0	0
8	Re-election of Lady Mendelsohn (1,3,4) as a director.	For	None	2795	0	0	0
9	Re-election of Ivan Menezes (2*) as a director.	For	None	2795	0	0	0
10	Re-election of Kathryn Mikells (2) as a director.	For	None	2795	0	0	0
11	Re-election of Alan Stewart (1*,3,4) as a director.	For	None	2795	0	0	0
12	Re-appointment of auditor.	For	None	2795	0	0	0
13	Remuneration of auditor.	For	None	2795	0	0	0
14	Authority to make political donations and/or to incur political expenditure.	For	None	2795	0	0	0
15	Authority to allot shares.	For	None	2795	0	0	0
16	Amendment of the Diageo 2001 Share Incentive Plan.	For	None	2795	0	0	0
17	Adoption of the Diageo 2020 Sharesave Plan.	For	None	2795	0	0	0
18	Adoption of the Diageo Deferred Bonus Share Plan.	For	None	2795	0	0	0
19	Authority to establish international share plans.	For	None	2795	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
20	Disapplication of pre-emption rights.	For	None	2795	0	0	0
21	Authority to purchase own shares.	For	None	2795	0	0	0
22	Reduced notice of a general meeting other than an AGM.	For	None	2795	0	0	0
23	Approval and adoption of new articles of association.	For	None	2795	0	0	0
24	2019 Share buy-backs and employee benefit and share ownership trust transactions.	None	None	2795	0	0	0

## Proxy Voting Record

### BHP GROUP LTD

Security:	088606108	Meeting Type:	Annual	
Ticker:	BHP	Meeting Date:	14-Oct-2020	
ISIN	US0886061086	Vote Deadline Date:	06-Oct-2020	
Agenda	935274213	Management	Total Ballot Shares:	9855
Last Vote Date:	18-Sep-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To receive the 2020 Financial Statements and Reports for BHP.	For	None	9855	0	0	0
2	To reappoint Ernst & Young LLP as the auditor of BHP Group Plc.	For	None	9855	0	0	0
3	To authorise the Risk and Audit Committee to agree the remuneration of the auditor of BHP Group Plc.	For	None	9855	0	0	0
4	To approve the general authority to issue shares in BHP Group Plc.	For	None	9855	0	0	0
5	To approve the authority to allot equity securities in BHP Group Plc for cash.	For	None	9855	0	0	0
6	To authorise the repurchase of shares in BHP Group Plc.	For	None	9855	0	0	0
7	To approve the 2020 Remuneration Report other than the part containing the Directors' remuneration policy.	For	None	9855	0	0	0
8	To approve the 2020 Remuneration Report.	For	None	9855	0	0	0
9	To approve the grant to the Executive Director.	For	None	9855	0	0	0
10	To approve leaving entitlements.	For	None	9855	0	0	0
11	To elect Xiaoqun Clever as a Director of BHP.	For	None	9855	0	0	0
12	To elect Gary Goldberg as a Director of BHP.	For	None	9855	0	0	0
13	To elect Mike Henry as a Director of BHP.	For	None	9855	0	0	0
14	To elect Christine O'Reilly as a Director of BHP.	For	None	9855	0	0	0
15	To elect Dion Weisler as a Director of BHP.	For	None	9855	0	0	0
16	To re-elect Terry Bowen as a Director of BHP.	For	None	9855	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
17	To re-elect Malcolm Broomhead as a Director of BHP.	For	None	9855	0	0	0
18	To re-elect Ian Cockerill as a Director of BHP.	For	None	9855	0	0	0
19	To re-elect Anita Frew as a Director of BHP.	For	None	9855	0	0	0
20	To re-elect Susan Kilsby as a Director of BHP.	For	None	9855	0	0	0
21	To re-elect John Mogford as a Director of BHP.	For	None	9855	0	0	0
22	To re-elect Ken MacKenzie as a Director of BHP.	For	None	9855	0	0	0
23	To amend the constitution of BHP Group Limited.	Against	None	9855	0	0	0
24	To adopt interim cultural heritage protection measures.	Against	None	9855	0	0	0
25	To suspend memberships of Industry Associations where COVID-19 related advocacy is inconsistent with Paris Agreement goals.	Against	None	9855	0	0	0

## Proxy Voting Record

**BANCO SANTANDER, S.A.**

Security:	05964H105	Meeting Type:	Special
Ticker:	SAN	Meeting Date:	27-Oct-2020
ISIN	US05964H1059	Vote Deadline Date:	21-Oct-2020
Agenda	935278792	Total Ballot Shares:	6670
Last Vote Date:	29-Sep-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Resolution 1	For	None	6670	0	0	0
2	Resolution 2A	For	None	6670	0	0	0
3	Resolution 2B	For	None	6670	0	0	0
4	Resolution 3A	For	None	6670	0	0	0
5	Resolution 3B	For	None	6670	0	0	0
6	Resolution 4	For	None	6670	0	0	0
7	Resolution 5	For	None	6670	0	0	0

## Proxy Voting Record

**BANCO SANTANDER, S.A.**

Security:	05964H105	Meeting Type:	Special
Ticker:	SAN	Meeting Date:	27-Oct-2020
ISIN	US05964H1059	Vote Deadline Date:	21-Oct-2020
Agenda	935287234	Management	Total Ballot Shares: 33085
Last Vote Date:	21-Oct-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Resolution 1	For	None	33085	0	0	0
2	Resolution 2A	For	None	33085	0	0	0
3	Resolution 2B	For	None	33085	0	0	0
4	Resolution 3A	For	None	33085	0	0	0
5	Resolution 3B	For	None	33085	0	0	0
6	Resolution 4	For	None	33085	0	0	0
7	Resolution 5	For	None	33085	0	0	0

## Proxy Voting Record

### NOVARTIS AG

Security:	66987V109		Meeting Type:	Annual
Ticker:	NVS		Meeting Date:	02-Mar-2021
ISIN	US66987V1098		Vote Deadline Date:	19-Feb-2021
Agenda	935332584	Management	Total Ballot Shares:	3303
Last Vote Date:	04-Feb-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Approval of the Operating and Financial Review of Novartis AG, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the 2020 Financial Year.	For	None	3303	0	0	0
2	Discharge from Liability of the Members of the Board of Directors and the Executive Committee.	For	None	3303	0	0	0
3	Appropriation of Available Earnings of Novartis AG as per Balance Sheet and Declaration of Dividend for 2020.	For	None	3303	0	0	0
4	Reduction of Share Capital.	For	None	3303	0	0	0
5	Further Share Repurchases.	For	None	3303	0	0	0
6	Binding Vote on the Maximum Aggregate Amount of Compensation for the Board of Directors from the 2021 Annual General Meeting to the 2022 Annual General Meeting.	For	None	3303	0	0	0
7	Binding Vote on the Maximum Aggregate Amount of Compensation for the Executive Committee for the Financial Year 2022.	For	None	3303	0	0	0
8	Advisory Vote on the 2020 Compensation Report.	For	None	3303	0	0	0
9	Re-election of Joerg Reinhardt as Member and Chairman.	For	None	3303	0	0	0
10	Re-election of Nancy C. Andrews	For	None	3303	0	0	0
11	Re-election of Ton Buechner	For	None	3303	0	0	0
12	Re-election of Patrice Bula	For	None	3303	0	0	0
13	Re-election of Elizabeth Doherty	For	None	3303	0	0	0
14	Re-election of Ann Fudge	For	None	3303	0	0	0
15	Re-election of Bridgette Heller	For	None	3303	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
16	Re-election of Frans van Houten	For	None	3303	0	0	0
17	Re-election of Simon Moroney	For	None	3303	0	0	0
18	Re-election of Andreas von Planta	For	None	3303	0	0	0
19	Re-election of Charles L. Sawyers	For	None	3303	0	0	0
20	Re-election of Enrico Vanni	For	None	3303	0	0	0
21	Re-election of William T. Winters	For	None	3303	0	0	0
22	Re-election of Patrice Bula to the Compensation Committee.	For	None	3303	0	0	0
23	Re-election of Bridgette Heller to the Compensation Committee.	For	None	3303	0	0	0
24	Re-election of Enrico Vanni to the Compensation Committee.	For	None	3303	0	0	0
25	Re-Election of William T. Winters to the Compensation Committee.	For	None	3303	0	0	0
26	Election of Simon Moroney to the Compensation Committee.	For	None	3303	0	0	0
27	Re-election of the Statutory Auditor.	For	None	3303	0	0	0
28	Re-election of the Independent Proxy.	For	None	3303	0	0	0
29	Amendment to Article 20 Paragraph 3 of the Articles of Incorporation.	For	None	3303	0	0	0
30	General instructions in case of alternative motions under the agenda items published in the Notice of Annual General Meeting, and/or of motions relating to additional agenda items according to Article 700 paragraph 3 of the Swiss Code of Obligations.	For	None	3303	0	0	0



## Proxy Voting Record

### ABB LTD

Security:	000375204		Meeting Type:	Annual
Ticker:	ABB		Meeting Date:	25-Mar-2021
ISIN	US0003752047		Vote Deadline Date:	17-Mar-2021
Agenda	935341735	Management	Total Ballot Shares:	4390
Last Vote Date:	03-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Approval of the management report, the consolidated financial statements and the annual financial statements for 2020.	For	None	4390	0	0	0
2	Consultative vote on the 2020 Compensation Report.	For	None	4390	0	0	0
3	Discharge of the Board of Directors and the persons entrusted with management.	For	None	4390	0	0	0
4	Appropriation of earnings.	For	None	4390	0	0	0
5	Capital reduction through cancellation of shares repurchased under the share buyback program.	For	None	4390	0	0	0
6	Renewal of authorized share capital.	For	None	4390	0	0	0
7	Binding vote on the maximum aggregate amount of compensation of the Board of Directors for the next term of office, i.e. from the 2021 Annual General Meeting to the 2022 Annual General Meeting.	For	None	4390	0	0	0
8	Binding vote on the maximum aggregate amount of compensation of the Executive Committee for the following financial year, i.e. 2022.	For	None	4390	0	0	0
9	Election of Gunnar Brock as Director	For	None	4390	0	0	0
10	Election of David Constable as Director	For	None	4390	0	0	0
11	Election of Frederico Fleury Curado as Director	For	None	4390	0	0	0
12	Election of Lars Förberg as Director	For	None	4390	0	0	0
13	Election of Jennifer Xin-Zhe Li as Director	For	None	4390	0	0	0
14	Election of Geraldine Matchett as Director	For	None	4390	0	0	0
15	Election of David Meline as Director	For	None	4390	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
16	Election of Satish Pai as Director	For	None	4390	0	0	0
17	Election of Jacob Wallenberg as Director	For	None	4390	0	0	0
18	Election of Peter Voser as Director and Chairman	For	None	4390	0	0	0
19	Election of member to the Compensation Committee: David Constable	For	None	4390	0	0	0
20	Election of member to the Compensation Committee: Frederico Fleury Curado	For	None	4390	0	0	0
21	Election of member to the Compensation Committee: Jennifer Xin-Zhe Li	For	None	4390	0	0	0
22	Election of the independent proxy, Dr. Hans Zehnder.	For	None	4390	0	0	0
23	Election of the auditors, KPMG AG.	For	None	4390	0	0	0
24	In case of additional or alternative proposals to the published agenda items during the Annual General Meeting or of new agenda items, I authorize the independent proxy to act.	For	None	4390	0	0	0

## Proxy Voting Record

**BANCO SANTANDER, S.A.**

Security:	05964H105		Meeting Type:	Annual
Ticker:	SAN		Meeting Date:	26-Mar-2021
ISIN	US05964H1059		Vote Deadline Date:	18-Mar-2021
Agenda	935341797	Management	Total Ballot Shares:	204013
Last Vote Date:	03-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Resolution 1A.	For	None	204013	0	0	0
2	Resolution 1B.	For	None	204013	0	0	0
3	Resolution 1C.	For	None	204013	0	0	0
4	Resolution 2.	For	None	204013	0	0	0
5	Resolution 3A.	For	None	204013	0	0	0
6	Resolution 3B.	For	None	204013	0	0	0
7	Resolution 3C.	For	None	204013	0	0	0
8	Resolution 3D.	For	None	204013	0	0	0
9	Resolution 3E.	For	None	204013	0	0	0
10	Resolution 3F.	For	None	204013	0	0	0
11	Resolution 3G.	For	None	204013	0	0	0
12	Resolution 4.	For	None	204013	0	0	0
13	Resolution 5A.	For	None	204013	0	0	0
14	Resolution 5B.	For	None	204013	0	0	0
15	Resolution 5C.	For	None	204013	0	0	0
16	Resolution 5D.	For	None	204013	0	0	0
17	Resolution 6A.	For	None	204013	0	0	0
18	Resolution 6B.	For	None	204013	0	0	0
19	Resolution 6C.	For	None	204013	0	0	0
20	Resolution 6D.	For	None	204013	0	0	0
21	Resolution 6E.	For	None	204013	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
22	Resolution 7.	For	None	204013	0	0	0
23	Resolution 8.	For	None	204013	0	0	0
24	Resolution 9.	For	None	204013	0	0	0
25	Resolution 10.	For	None	204013	0	0	0
26	Resolution 11A.	For	None	204013	0	0	0
27	Resolution 11B.	For	None	204013	0	0	0
28	Resolution 11C.	For	None	204013	0	0	0
29	Resolution 11D.	For	None	204013	0	0	0
30	Resolution 11E.	For	None	204013	0	0	0
31	Resolution 12.	For	None	204013	0	0	0
32	Resolution 13.	For	None	204013	0	0	0

## Proxy Voting Record

**CANON INC.**

Security:	138006309		Meeting Type:	Annual
Ticker:	CAJ		Meeting Date:	30-Mar-2021
ISIN	US1380063099		Vote Deadline Date:	23-Mar-2021
Agenda	935340860	Management	Total Ballot Shares:	447
Last Vote Date:	02-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Dividend from Surplus.	For	None	447	0	0	0
2	Election of Director: Fujio Mitarai	For	None	447	0	0	0
3	Election of Director: Toshizo Tanaka	For	None	447	0	0	0
4	Election of Director: Toshio Homma	For	None	447	0	0	0
5	Election of Director: Kunitaro Saida	For	None	447	0	0	0
6	Election of Director: Yusuke Kawamura	For	None	447	0	0	0
7	Election of One Audit & Supervisory Board Member: Hiroshi Yoshida	For	None	447	0	0	0
8	Grant of Stock Acquisition Rights to Directors.	For	None	447	0	0	0