

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

DuPont de Nemours, Inc.

Meeting Date: 04/28/2021

Country: USA

Primary Security ID: 26614N102

Record Date: 03/08/2021

Meeting Type: Annual

Ticker: DD

Shares Voted: 7,621

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Amy G. Brady	Mgmt	For	For	For
1b	Elect Director Edward D. Breen	Mgmt	For	For	For
1c	Elect Director Ruby R. Chandy	Mgmt	For	For	For
1d	Elect Director Franklin K. Clyburn, Jr.	Mgmt	For	For	For
1e	Elect Director Terrence R. Curtin	Mgmt	For	For	For
1f	Elect Director Alexander M. Cutler	Mgmt	For	For	For
1g	Elect Director Eleuthere I. du Pont	Mgmt	For	For	For
1h	Elect Director Luther C. Kissam	Mgmt	For	For	For
1i	Elect Director Frederick M. Lowery	Mgmt	For	For	For
1j	Elect Director Raymond J. Milchovich	Mgmt	For	For	For
1k	Elect Director Deanna M. Mulligan	Mgmt	For	For	For
1l	Elect Director Steven M. Sterin	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Mgmt	For	For	For
5	Provide Right to Act by Written Consent	SH	Against	For	For
6	Adopt Policy to Annually Disclose EEO-1 Data	SH	Against	For	For
7	Report on Plastic Pollution	SH	Against	For	For

Bristol-Myers Squibb Company

Meeting Date: 05/04/2021

Country: USA

Primary Security ID: 110122108

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: BMY

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Bristol-Myers Squibb Company

Shares Voted: 7,051

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Peter J. Arduini	Mgmt	For	For	For
1B	Elect Director Michael W. Bonney	Mgmt	For	For	For
1C	Elect Director Giovanni Caforio	Mgmt	For	For	For
1D	Elect Director Julia A. Haller	Mgmt	For	For	For
1E	Elect Director Paula A. Price	Mgmt	For	For	For
1F	Elect Director Derica W. Rice	Mgmt	For	For	For
1G	Elect Director Theodore R. Samuels	Mgmt	For	For	For
1H	Elect Director Gerald L. Storch	Mgmt	For	For	For
1I	Elect Director Karen H. Vousden	Mgmt	For	For	For
1J	Elect Director Phyllis R. Yale	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
5	Reduce Ownership Threshold for Special Shareholder Meetings to 15%	Mgmt	For	For	For
6	Require Independent Board Chair	SH	Against	For	For
7	Provide Right to Act by Written Consent	SH	Against	For	For
8	Reduce Ownership Threshold for Special Shareholder Meetings to 10%	SH	Against	For	For

Philip Morris International Inc.

Meeting Date: 05/05/2021

Country: USA

Primary Security ID: 718172109

Record Date: 03/12/2021

Meeting Type: Annual

Ticker: PM

Shares Voted: 5,564

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Brant Bonin Bough	Mgmt	For	For	For
1b	Elect Director Andre Calantzopoulos	Mgmt	For	For	For
1c	Elect Director Michel Combes	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Philip Morris International Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director Juan Jose Daboub	Mgmt	For	For	For
1e	Elect Director Werner Geissler	Mgmt	For	For	For
1f	Elect Director Lisa A. Hook	Mgmt	For	For	For
1g	Elect Director Jun Makihara	Mgmt	For	For	For
1h	Elect Director Kalpana Morparia	Mgmt	For	For	For
1i	Elect Director Lucio A. Noto	Mgmt	For	For	For
1j	Elect Director Jacek Olczak	Mgmt	For	For	For
1k	Elect Director Frederik Paulsen	Mgmt	For	For	For
1l	Elect Director Robert B. Polet	Mgmt	For	For	For
1m	Elect Director Shlomo Yanai	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers SA as Auditor	Mgmt	For	For	For

Duke Energy Corporation

Meeting Date: 05/06/2021

Country: USA

Primary Security ID: 26441C204

Record Date: 03/08/2021

Meeting Type: Annual

Ticker: DUK

Shares Voted: 5,085

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael G. Browning	Mgmt	For	For	For
1.2	Elect Director Annette K. Clayton	Mgmt	For	For	For
1.3	Elect Director Theodore F. Craver, Jr.	Mgmt	For	For	For
1.4	Elect Director Robert M. Davis	Mgmt	For	For	For
1.5	Elect Director Caroline Dorsa	Mgmt	For	For	For
1.6	Elect Director W. Roy Dunbar	Mgmt	For	For	For
1.7	Elect Director Nicholas C. Fanandakis	Mgmt	For	For	For
1.8	Elect Director Lynn J. Good	Mgmt	For	For	For
1.9	Elect Director John T. Herron	Mgmt	For	For	For
1.10	Elect Director E. Marie McKee	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Duke Energy Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.11	Elect Director Michael J. Pacilio	Mgmt	For	For	For
1.12	Elect Director Thomas E. Skains	Mgmt	For	For	For
1.13	Elect Director William E. Webster, Jr.	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For
5	Require Independent Board Chair	SH	Against	For	For
6	Report on Political Contributions and Expenditures	SH	Against	For	For

ConocoPhillips

Meeting Date: 05/11/2021

Country: USA

Primary Security ID: 20825C104

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: COP

Shares Voted: 12,445

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Charles E. Bunch	Mgmt	For	For	For
1b	Elect Director Caroline Maury Devine	Mgmt	For	For	For
1c	Elect Director John V. Faraci	Mgmt	For	For	For
1d	Elect Director Jody Freeman	Mgmt	For	For	For
1e	Elect Director Gay Huey Evans	Mgmt	For	For	For
1f	Elect Director Jeffrey A. Joerres	Mgmt	For	For	For
1g	Elect Director Ryan M. Lance	Mgmt	For	For	For
1h	Elect Director Timothy A. Leach	Mgmt	For	For	For
1i	Elect Director William H. McRaven	Mgmt	For	For	For
1j	Elect Director Sharmila Mulligan	Mgmt	For	For	For
1k	Elect Director Eric D. Mullins	Mgmt	For	For	For
1l	Elect Director Arjun N. Murti	Mgmt	For	For	For
1m	Elect Director Robert A. Niblock	Mgmt	For	For	For
1n	Elect Director David T. Seaton	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

ConocoPhillips

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1o	Elect Director R.A. Walker	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Adopt Simple Majority Vote	SH	For	For	For
5	Emission Reduction Targets	SH	Against	For	For

Gilead Sciences, Inc.

Meeting Date: 05/12/2021

Country: USA

Primary Security ID: 375558103

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: GILD

Shares Voted: 6,740

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jacqueline K. Barton	Mgmt	For	For	For
1b	Elect Director Jeffrey A. Bluestone	Mgmt	For	For	For
1c	Elect Director Sandra J. Horning	Mgmt	For	For	For
1d	Elect Director Kelly A. Kramer	Mgmt	For	For	For
1e	Elect Director Kevin E. Lofton	Mgmt	For	For	For
1f	Elect Director Harish Manwani	Mgmt	For	For	For
1g	Elect Director Daniel P. O'Day	Mgmt	For	For	For
1h	Elect Director Javier J. Rodriguez	Mgmt	For	For	For
1i	Elect Director Anthony Welters	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Require Independent Board Chair	SH	Against	Against	Against

Simon Property Group, Inc.

Meeting Date: 05/12/2021

Country: USA

Primary Security ID: 828806109

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: SPG

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Simon Property Group, Inc.

Shares Voted: 3,494

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Glyn F. Aeppel	Mgmt	For	For	For
1b	Elect Director Larry C. Glasscock	Mgmt	For	For	For
1c	Elect Director Karen N. Horn	Mgmt	For	For	For
1d	Elect Director Allan Hubbard	Mgmt	For	For	For
1e	Elect Director Reuben S. Leibowitz	Mgmt	For	For	For
1f	Elect Director Gary M. Rodkin	Mgmt	For	For	For
1g	Elect Director Stefan M. Selig	Mgmt	For	For	For
1h	Elect Director Daniel C. Smith	Mgmt	For	For	For
1i	Elect Director J. Albert Smith, Jr.	Mgmt	For	For	For
1j	Elect Director Marta R. Stewart	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Intel Corporation

Meeting Date: 05/13/2021

Country: USA

Primary Security ID: 458140100

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: INTC

Shares Voted: 8,399

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Patrick P. Gelsinger	Mgmt	For	For	For
1b	Elect Director James J. Goetz	Mgmt	For	For	For
1c	Elect Director Alyssa Henry	Mgmt	For	For	For
1d	Elect Director Omar Ishrak	Mgmt	For	For	For
1e	Elect Director Risa Lavizzo-Mourey	Mgmt	For	For	For
1f	Elect Director Tsu-Jae King Liu	Mgmt	For	For	For
1g	Elect Director Gregory D. Smith	Mgmt	For	For	For
1h	Elect Director Dion J. Weisler	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Intel Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1i	Elect Director Frank D. Yeary	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Provide Right to Act by Written Consent	SH	Against	For	For
5	Report on Global Median Gender/Racial Pay Gap	SH	Against	Against	Against
6	Report on Whether Written Policies or Unwritten Norms Reinforce Racism in Company Culture	SH	Against	Against	Against

Union Pacific Corporation

Meeting Date: 05/13/2021

Country: USA

Primary Security ID: 907818108

Record Date: 03/16/2021

Meeting Type: Annual

Ticker: UNP

Shares Voted: 2,119

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Andrew H. Card, Jr.	Mgmt	For	For	For
1b	Elect Director William J. DeLaney	Mgmt	For	For	For
1c	Elect Director David B. Dillon	Mgmt	For	For	For
1d	Elect Director Lance M. Fritz	Mgmt	For	For	For
1e	Elect Director Deborah C. Hopkins	Mgmt	For	For	For
1f	Elect Director Jane H. Lute	Mgmt	For	For	For
1g	Elect Director Michael R. McCarthy	Mgmt	For	For	For
1h	Elect Director Thomas F. McLarty, III	Mgmt	For	For	For
1i	Elect Director Jose H. Villarreal	Mgmt	For	For	For
1j	Elect Director Christopher J. Williams	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	For	For
5	Approve Nonqualified Employee Stock Purchase Plan	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Union Pacific Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Report on EEO	SH	Against	For	For
7	Publish Annually a Report Assessing Diversity and Inclusion Efforts	SH	Against	For	For
8	Annual Vote and Report on Climate Change	SH	Against	Against	Against

Verizon Communications Inc.

Meeting Date: 05/13/2021

Country: USA

Primary Security ID: 92343V104

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: VZ

Shares Voted: 7,062

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Shellye L. Archambeau	Mgmt	For	For	For
1b	Elect Director Roxanne S. Austin	Mgmt	For	For	For
1c	Elect Director Mark T. Bertolini	Mgmt	For	For	For
1d	Elect Director Melanie L. Healey	Mgmt	For	For	For
1e	Elect Director Clarence Otis, Jr.	Mgmt	For	For	For
1f	Elect Director Daniel H. Schulman	Mgmt	For	For	For
1g	Elect Director Rodney E. Slater	Mgmt	For	For	For
1h	Elect Director Hans E. Vestberg	Mgmt	For	For	For
1i	Elect Director Gregory G. Weaver	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Lower Ownership Threshold for Action by Written Consent	SH	Against	For	For
5	Amend Senior Executive Compensation Clawback Policy	SH	Against	For	For
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	For	For

Amgen Inc.

Meeting Date: 05/18/2021

Country: USA

Primary Security ID: 031162100

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: AMGN

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Amgen Inc.

Shares Voted: 1,745

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Wanda M. Austin	Mgmt	For	For	For
1b	Elect Director Robert A. Bradway	Mgmt	For	For	For
1c	Elect Director Brian J. Druker	Mgmt	For	For	For
1d	Elect Director Robert A. Eckert	Mgmt	For	For	For
1e	Elect Director Greg C. Garland	Mgmt	For	For	For
1f	Elect Director Charles M. Holley, Jr.	Mgmt	For	For	For
1g	Elect Director Tyler Jacks	Mgmt	For	For	For
1h	Elect Director Ellen J. Kullman	Mgmt	For	For	For
1i	Elect Director Amy E. Miles	Mgmt	For	For	For
1j	Elect Director Ronald D. Sugar	Mgmt	For	For	For
1k	Elect Director R. Sanders Williams	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

JPMorgan Chase & Co.

Meeting Date: 05/18/2021

Country: USA

Primary Security ID: 46625H100

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: JPM

Shares Voted: 4,201

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Linda B. Bammann	Mgmt	For	For	For
1b	Elect Director Stephen B. Burke	Mgmt	For	For	For
1c	Elect Director Todd A. Combs	Mgmt	For	For	For
1d	Elect Director James S. Crown	Mgmt	For	For	For
1e	Elect Director James Dimon	Mgmt	For	For	For
1f	Elect Director Timothy P. Flynn	Mgmt	For	For	For
1g	Elect Director Mellody Hobson	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

JPMorgan Chase & Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1h	Elect Director Michael A. Neal	Mgmt	For	For	For
1i	Elect Director Phebe N. Novakovic	Mgmt	For	For	For
1j	Elect Director Virginia M. Rometty	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Reduce Ownership Threshold for Shareholders to Request Action by Written Consent	SH	Against	For	For
6	Report on Racial Equity Audit	SH	Against	Against	Against
7	Require Independent Board Chair	SH	Against	For	For
8	Report on Congruency Political Analysis and Electioneering Expenditures	SH	Against	For	For

McDonald's Corporation

Meeting Date: 05/20/2021

Country: USA

Primary Security ID: 580135101

Record Date: 03/22/2021

Meeting Type: Annual

Ticker: MCD

Shares Voted: 1,924

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Lloyd Dean	Mgmt	For	For	For
1b	Elect Director Robert Eckert	Mgmt	For	For	For
1c	Elect Director Catherine Engelbert	Mgmt	For	For	For
1d	Elect Director Margaret Georgiadis	Mgmt	For	For	For
1e	Elect Director Enrique Hernandez, Jr.	Mgmt	For	For	For
1f	Elect Director Christopher Kempczinski	Mgmt	For	For	For
1g	Elect Director Richard Lenny	Mgmt	For	For	For
1h	Elect Director John Mulligan	Mgmt	For	For	For
1i	Elect Director Sheila Penrose	Mgmt	For	For	For
1j	Elect Director John Rogers, Jr.	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

McDonald's Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1k	Elect Director Paul Walsh	Mgmt	For	For	For
1l	Elect Director Miles White	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Report on Sugar and Public Health	SH	Against	Against	Against
5	Report on Antibiotics and Public Health Costs	SH	Against	Against	Against
6	Provide Right to Act by Written Consent	SH	Against	For	For

The Home Depot, Inc.

Meeting Date: 05/20/2021

Country: USA

Primary Security ID: 437076102

Record Date: 03/22/2021

Meeting Type: Annual

Ticker: HD

Shares Voted: 1,585

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Gerard J. Arpey	Mgmt	For	For	For
1b	Elect Director Ari Bousbib	Mgmt	For	For	For
1c	Elect Director Jeffery H. Boyd	Mgmt	For	For	For
1d	Elect Director Gregory D. Brenneman	Mgmt	For	For	For
1e	Elect Director J. Frank Brown	Mgmt	For	For	For
1f	Elect Director Albert P. Carey	Mgmt	For	For	For
1g	Elect Director Helena B. Foulkes	Mgmt	For	For	For
1h	Elect Director Linda R. Gooden	Mgmt	For	For	For
1i	Elect Director Wayne M. Hewett	Mgmt	For	For	For
1j	Elect Director Manuel Kadre	Mgmt	For	For	For
1k	Elect Director Stephanie C. Linnartz	Mgmt	For	For	For
1l	Elect Director Craig A. Menear	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

The Home Depot, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Amend Shareholder Written Consent Provisions	SH	Against	For	For
5	Report on Political Contributions Congruency Analysis	SH	Against	For	For
6	Report on Prison Labor in the Supply Chain	SH	Against	Against	Against

Merck & Co., Inc.

Meeting Date: 05/25/2021

Country: USA

Primary Security ID: 58933Y105

Record Date: 03/26/2021

Meeting Type: Annual

Ticker: MRK

Shares Voted: 5,060

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Leslie A. Brun	Mgmt	For	For	For
1b	Elect Director Mary Ellen Coe	Mgmt	For	For	For
1c	Elect Director Pamela J. Craig	Mgmt	For	For	For
1d	Elect Director Kenneth C. Frazier	Mgmt	For	For	For
1e	Elect Director Thomas H. Glocer	Mgmt	For	For	For
1f	Elect Director Risa J. Lavizzo-Mourey	Mgmt	For	For	For
1g	Elect Director Stephen L. Mayo	Mgmt	For	For	For
1h	Elect Director Paul B. Rothman	Mgmt	For	For	For
1i	Elect Director Patricia F. Russo	Mgmt	For	For	For
1j	Elect Director Christine E. Seidman	Mgmt	For	For	For
1k	Elect Director Inge G. Thulin	Mgmt	For	For	For
1l	Elect Director Kathy J. Warden	Mgmt	For	For	For
1m	Elect Director Peter C. Wendell	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Provide Right to Act by Written Consent	SH	Against	For	For
5	Report on Access to COVID-19 Products	SH	Against	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Amazon.com, Inc.

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 023135106

Record Date: 04/01/2021

Meeting Type: Annual

Ticker: AMZN

Shares Voted: 141

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jeffrey P. Bezos	Mgmt	For	For	For
1b	Elect Director Keith B. Alexander	Mgmt	For	For	For
1c	Elect Director Jamie S. Gorelick	Mgmt	For	For	For
1d	Elect Director Daniel P. Huttenlocher	Mgmt	For	For	For
1e	Elect Director Judith A. McGrath	Mgmt	For	For	For
1f	Elect Director Indra K. Nooyi	Mgmt	For	For	For
1g	Elect Director Jonathan J. Rubinstein	Mgmt	For	For	For
1h	Elect Director Thomas O. Ryder	Mgmt	For	For	For
1i	Elect Director Patricia Q. Stonesifer	Mgmt	For	For	For
1j	Elect Director Wendell P. Weeks	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Report on Customers' Use of its Surveillance and Computer Vision Products Capabilities or Cloud Products Contribute to Human Rights Violations	SH	Against	For	For
5	Require Independent Board Chair	SH	Against	Against	Against
6	Report on Gender/Racial Pay Gap	SH	Against	For	For
7	Report on Promotion Data	SH	Against	Against	Against
8	Report on the Impacts of Plastic Packaging	SH	Against	For	For
9	Oversee and Report on a Civil Rights, Equity, Diversity and Inclusion Audit	SH	Against	For	For
10	Adopt a Policy to Include Hourly Employees as Director Candidates	SH	Against	For	For
11	Report on Board Oversight of Risks Related to Anti-Competitive Practices	SH	Against	For	For
12	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
13	Report on Lobbying Payments and Policy	SH	Against	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Amazon.com, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Report on Potential Human Rights Impacts of Customers' Use of Rekognition	SH	Against	For	For

Chevron Corporation

Meeting Date: 05/26/2021 **Country:** USA **Primary Security ID:** 166764100
Record Date: 03/29/2021 **Meeting Type:** Annual **Ticker:** CVX

Shares Voted: 5,840

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Wanda M. Austin	Mgmt	For	For	For
1b	Elect Director John B. Frank	Mgmt	For	For	For
1c	Elect Director Alice P. Gast	Mgmt	For	For	For
1d	Elect Director Enrique Hernandez, Jr.	Mgmt	For	For	For
1e	Elect Director Marillyn A. Hewson	Mgmt	For	For	For
1f	Elect Director Jon M. Huntsman, Jr.	Mgmt	For	For	For
1g	Elect Director Charles W. Moorman, IV	Mgmt	For	For	For
1h	Elect Director Dambisa F. Moyo	Mgmt	For	For	For
1i	Elect Director Debra Reed-Klages	Mgmt	For	For	For
1j	Elect Director Ronald D. Sugar	Mgmt	For	For	For
1k	Elect Director D. James Umpleby, III	Mgmt	For	For	For
1l	Elect Director Michael K. Wirth	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Reduce Scope 3 Emissions	SH	Against	For	For
5	Report on Impacts of Net Zero 2050 Scenario	SH	Against	For	For
6	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against	Against
7	Report on Lobbying Payments and Policy	SH	Against	For	For
8	Require Independent Board Chair	SH	Against	Against	Against
9	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Exxon Mobil Corporation

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 30231G102

Record Date: 03/29/2021

Meeting Type: Proxy Contest

Ticker: XOM

Shares Voted: 12,109

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proxy (Blue Proxy Card)	Mgmt			
1.1	Elect Director Michael J. Angelakis	Mgmt	For	Do Not Vote	Do Not Vote
1.2	Elect Director Susan K. Avery	Mgmt	For	Do Not Vote	Do Not Vote
1.3	Elect Director Angela F. Braly	Mgmt	For	Do Not Vote	Do Not Vote
1.4	Elect Director Ursula M. Burns	Mgmt	For	Do Not Vote	Do Not Vote
1.5	Elect Director Kenneth C. Frazier	Mgmt	For	Do Not Vote	Do Not Vote
1.6	Elect Director Joseph L. Hooley	Mgmt	For	Do Not Vote	Do Not Vote
1.7	Elect Director Steven A. Kandarian	Mgmt	For	Do Not Vote	Do Not Vote
1.8	Elect Director Douglas R. Oberhelman	Mgmt	For	Do Not Vote	Do Not Vote
1.9	Elect Director Samuel J. Palmisano	Mgmt	For	Do Not Vote	Do Not Vote
1.10	Elect Director Jeffrey W. Ubben	Mgmt	For	Do Not Vote	Do Not Vote
1.11	Elect Director Darren W. Woods	Mgmt	For	Do Not Vote	Do Not Vote
1.12	Elect Director Wan Zulkiflee	Mgmt	For	Do Not Vote	Do Not Vote
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	Do Not Vote	Do Not Vote
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Do Not Vote	Do Not Vote
4	Require Independent Board Chair	SH	Against	Do Not Vote	Do Not Vote
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	Do Not Vote	Do Not Vote
6	Issue Audited Report on Financial Impacts of IEA's Net Zero 2050 Scenario	SH	Against	Do Not Vote	Do Not Vote
7	Report on Costs and Benefits of Environmental-Related Expenditures	SH	Against	Do Not Vote	Do Not Vote

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Exxon Mobil Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Report on Political Contributions	SH	Against	Do Not Vote	Do Not Vote
9	Report on Lobbying Payments and Policy	SH	Against	Do Not Vote	Do Not Vote
10	Report on Corporate Climate Lobbying Aligned with Paris Agreement	SH	Against	Do Not Vote	Do Not Vote
	Dissident Proxy (White Proxy Card)	Mgmt			
1.1	Elect Director Gregory J. Goff	SH	For	For	For
1.2	Elect Director Kaisa Hietala	SH	For	For	For
1.3	Elect Director Alexander A. Karsner	SH	For	For	For
1.4	Elect Director Anders Runevad	SH	For	Withhold	Withhold
1.5	Management Nominee Michael J. Angelakis	SH	For	For	For
1.6	Management Nominee Susan K. Avery	SH	For	For	For
1.7	Management Nominee Angela F. Braly	SH	For	For	For
1.8	Management Nominee Ursula M. Burns	SH	For	For	For
1.9	Management Nominee Kenneth C. Frazier	SH	For	For	For
1.10	Management Nominee Joseph L. Hooley	SH	For	For	For
1.11	Management Nominee Jeffrey W. Ubben	SH	For	For	For
1.12	Management Nominee Darren W. Woods	SH	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Against	For	For
4	Require Independent Board Chair	SH	None	Against	Against
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	None	For	For
6	Issue Audited Report on Financial Impacts of IEA's Net Zero 2050 Scenario	SH	None	For	For
7	Report on Costs and Benefits of Environmental-Related Expenditures	SH	None	Against	Against
8	Report on Political Contributions	SH	None	For	For
9	Report on Lobbying Payments and Policy	SH	None	For	For
10	Report on Corporate Climate Lobbying Aligned with Paris Agreement	SH	None	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Facebook, Inc.

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 30303M102

Record Date: 04/01/2021

Meeting Type: Annual

Ticker: FB

Shares Voted: 1,671

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Peggy Alford	Mgmt	For	For	For
1.2	Elect Director Marc L. Andreessen	Mgmt	For	Withhold	Withhold
1.3	Elect Director Andrew W. Houston	Mgmt	For	Withhold	Withhold
1.4	Elect Director Nancy Killefer	Mgmt	For	For	For
1.5	Elect Director Robert M. Kimmitt	Mgmt	For	For	For
1.6	Elect Director Sheryl K. Sandberg	Mgmt	For	For	For
1.7	Elect Director Peter A. Thiel	Mgmt	For	Withhold	Withhold
1.8	Elect Director Tracey T. Travis	Mgmt	For	For	For
1.9	Elect Director Mark Zuckerberg	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Amend Non-Employee Director Compensation Policy	Mgmt	For	Against	Against
4	Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Against	For	For
5	Require Independent Board Chair	SH	Against	For	For
6	Report on Online Child Sexual Exploitation	SH	Against	For	For
7	Require Independent Director Nominee with Human and/or Civil Rights Experience	SH	Against	Against	Against
8	Report on Platform Misuse	SH	Against	For	For
9	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against	Against

PayPal Holdings, Inc.

Meeting Date: 05/26/2021

Country: USA

Primary Security ID: 70450Y103

Record Date: 03/30/2021

Meeting Type: Annual

Ticker: PYPL

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

PayPal Holdings, Inc.

Shares Voted: 2,244

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Rodney C. Adkins	Mgmt	For	For	For
1b	Elect Director Jonathan Christodoro	Mgmt	For	For	For
1c	Elect Director John J. Donahoe	Mgmt	For	For	For
1d	Elect Director David W. Dorman	Mgmt	For	For	For
1e	Elect Director Belinda J. Johnson	Mgmt	For	For	For
1f	Elect Director Gail J. McGovern	Mgmt	For	For	For
1g	Elect Director Deborah M. Messemer	Mgmt	For	For	For
1h	Elect Director David M. Moffett	Mgmt	For	For	For
1i	Elect Director Ann M. Sarnoff	Mgmt	For	For	For
1j	Elect Director Daniel H. Schulman	Mgmt	For	For	For
1k	Elect Director Frank D. Yeary	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Provide Right to Act by Written Consent	SH	Against	For	For
5	Report on Whether Written Policies or Unwritten Norms Reinforce Racism in Company Culture	SH	Against	Against	Against

Alphabet Inc.

Meeting Date: 06/02/2021

Country: USA

Primary Security ID: 02079K305

Record Date: 04/06/2021

Meeting Type: Annual

Ticker: GOOGL

Shares Voted: 295

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Larry Page	Mgmt	For	For	For
1b	Elect Director Sergey Brin	Mgmt	For	For	For
1c	Elect Director Sundar Pichai	Mgmt	For	For	For
1d	Elect Director John L. Hennessy	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Alphabet Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director Frances H. Arnold	Mgmt	For	For	For
1f	Elect Director L. John Doerr	Mgmt	For	Against	Against
1g	Elect Director Roger W. Ferguson, Jr.	Mgmt	For	For	For
1h	Elect Director Ann Mather	Mgmt	For	Against	Against
1i	Elect Director Alan R. Mulally	Mgmt	For	For	For
1j	Elect Director K. Ram Shriram	Mgmt	For	Against	Against
1k	Elect Director Robin L. Washington	Mgmt	For	Against	Against
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	Against	Against
4	Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Against	For	For
5	Require Independent Director Nominee with Human and/or Civil Rights Experience	SH	Against	For	For
6	Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation	SH	Against	For	For
7	Report on Takedown Requests	SH	Against	For	For
8	Report on Whistleblower Policies and Practices	SH	Against	Against	Against
9	Report on Charitable Contributions	SH	Against	Against	Against
10	Report on Risks Related to Anticompetitive Practices	SH	Against	For	For
11	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against	Against

Comcast Corporation

Meeting Date: 06/02/2021

Country: USA

Primary Security ID: 20030N101

Record Date: 04/05/2021

Meeting Type: Annual

Ticker: CMCSA

Shares Voted: 9,089

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kenneth J. Bacon	Mgmt	For	For	For
1.2	Elect Director Madeline S. Bell	Mgmt	For	For	For
1.3	Elect Director Naomi M. Bergman	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Comcast Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.4	Elect Director Edward D. Breen	Mgmt	For	For	For
1.5	Elect Director Gerald L. Hassell	Mgmt	For	For	For
1.6	Elect Director Jeffrey A. Honickman	Mgmt	For	For	For
1.7	Elect Director Maritza G. Montiel	Mgmt	For	For	For
1.8	Elect Director Asuka Nakahara	Mgmt	For	For	For
1.9	Elect Director David C. Novak	Mgmt	For	For	For
1.10	Elect Director Brian L. Roberts	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Report on Risks Posed by the Failing to Prevent Workplace Sexual Harassment	SH	Against	Against	Against

Walmart Inc.

Meeting Date: 06/02/2021

Country: USA

Primary Security ID: 931142103

Record Date: 04/09/2021

Meeting Type: Annual

Ticker: WMT

Shares Voted: 3,056

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Cesar Conde	Mgmt	For	For	For
1b	Elect Director Timothy P. Flynn	Mgmt	For	For	For
1c	Elect Director Sarah J. Friar	Mgmt	For	For	For
1d	Elect Director Carla A. Harris	Mgmt	For	For	For
1e	Elect Director Thomas W. Horton	Mgmt	For	For	For
1f	Elect Director Marissa A. Mayer	Mgmt	For	For	For
1g	Elect Director C. Douglas McMillon	Mgmt	For	For	For
1h	Elect Director Gregory B. Penner	Mgmt	For	For	For
1i	Elect Director Steven S Reinemund	Mgmt	For	For	For
1j	Elect Director Randall L. Stephenson	Mgmt	For	For	For
1k	Elect Director S. Robson Walton	Mgmt	For	For	For
1l	Elect Director Steuart L. Walton	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Walmart Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Report on Refrigerants Released from Operations	SH	Against	Against	Against
5	Report on Lobbying Payments and Policy	SH	Against	For	For
6	Report on Alignment of Racial Justice Goals and Starting Wages	SH	Against	For	For
7	Approve Creation of a Pandemic Workforce Advisory Council	SH	Against	For	For
8	Review of Statement on the Purpose of a Corporation	SH	Against	Against	Against

NVIDIA Corporation

Meeting Date: 06/03/2021

Country: USA

Primary Security ID: 67066G104

Record Date: 04/05/2021

Meeting Type: Annual

Ticker: NVDA

Shares Voted: 827

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Robert K. Burgess	Mgmt	For	For	For
1b	Elect Director Tench Coxé	Mgmt	For	For	For
1c	Elect Director John O. Dabiri	Mgmt	For	For	For
1d	Elect Director Persis S. Drell	Mgmt	For	For	For
1e	Elect Director Jen-Hsun Huang	Mgmt	For	For	For
1f	Elect Director Dawn Hudson	Mgmt	For	For	For
1g	Elect Director Harvey C. Jones	Mgmt	For	For	For
1h	Elect Director Michael G. McCaffery	Mgmt	For	For	For
1i	Elect Director Stephen C. Neal	Mgmt	For	For	For
1j	Elect Director Mark L. Perry	Mgmt	For	For	For
1k	Elect Director A. Brooke Seawell	Mgmt	For	For	For
1l	Elect Director Aarti Shah	Mgmt	For	For	For
1m	Elect Director Mark A. Stevens	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

NVIDIA Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Increase Authorized Common Stock	Mgmt	For	For	For

UnitedHealth Group Incorporated

Meeting Date: 06/07/2021

Country: USA

Primary Security ID: 91324P102

Record Date: 04/09/2021

Meeting Type: Annual

Ticker: UNH

Shares Voted: 1,405

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Richard T. Burke	Mgmt	For	For	For
1b	Elect Director Timothy P. Flynn	Mgmt	For	For	For
1c	Elect Director Stephen J. Hemsley	Mgmt	For	For	For
1d	Elect Director Michele J. Hooper	Mgmt	For	For	For
1e	Elect Director F. William McNabb, III	Mgmt	For	For	For
1f	Elect Director Valerie C. Montgomery Rice	Mgmt	For	For	For
1g	Elect Director John H. Noseworthy	Mgmt	For	For	For
1h	Elect Director Gail R. Wilensky	Mgmt	For	For	For
1i	Elect Director Andrew Witty	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For

Freeport-McMoRan Inc.

Meeting Date: 06/08/2021

Country: USA

Primary Security ID: 35671D857

Record Date: 04/12/2021

Meeting Type: Annual

Ticker: FCX

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Freeport-McMoRan Inc.

Shares Voted: 26,908

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director David P. Abney	Mgmt	For	For	For
1.2	Elect Director Richard C. Adkerson	Mgmt	For	For	For
1.3	Elect Director Robert W. Dudley	Mgmt	For	For	For
1.4	Elect Director Lydia H. Kennard	Mgmt	For	For	For
1.5	Elect Director Dustan E. McCoy	Mgmt	For	For	For
1.6	Elect Director John J. Stephens	Mgmt	For	For	For
1.7	Elect Director Frances Fragos Townsend	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Caterpillar Inc.

Meeting Date: 06/09/2021

Country: USA

Primary Security ID: 149123101

Record Date: 04/12/2021

Meeting Type: Annual

Ticker: CAT

Shares Voted: 2,877

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kelly A. Ayotte	Mgmt	For	For	For
1.2	Elect Director David L. Calhoun	Mgmt	For	For	For
1.3	Elect Director Daniel M. Dickinson	Mgmt	For	For	For
1.4	Elect Director Gerald Johnson	Mgmt	For	For	For
1.5	Elect Director David W. MacLennan	Mgmt	For	For	For
1.6	Elect Director Debra L. Reed-Klages	Mgmt	For	For	For
1.7	Elect Director Edward B. Rust, Jr.	Mgmt	For	For	For
1.8	Elect Director Susan C. Schwab	Mgmt	For	For	For
1.9	Elect Director D. James Umpleby, III	Mgmt	For	For	For
1.10	Elect Director Miles D. White	Mgmt	For	For	For
1.11	Elect Director Rayford Wilkins, Jr.	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

Caterpillar Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Report on Climate Policy	SH	Against	For	For
5	Report on Diversity and Inclusion Efforts	SH	Against	Against	Against
6	Amend Certificate of Incorporate to become Public Benefit Corporation	SH	Against	Against	Against
7	Provide Right to Act by Written Consent	SH	Against	For	For

salesforce.com, inc.

Meeting Date: 06/10/2021

Country: USA

Primary Security ID: 79466L302

Record Date: 04/15/2021

Meeting Type: Annual

Ticker: CRM

Shares Voted: 1,731

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Marc Benioff	Mgmt	For	For	For
1b	Elect Director Craig Conway	Mgmt	For	For	For
1c	Elect Director Parker Harris	Mgmt	For	For	For
1d	Elect Director Alan Hassenfeld	Mgmt	For	For	For
1e	Elect Director Neelie Kroes	Mgmt	For	For	For
1f	Elect Director Colin Powell	Mgmt	For	For	For
1g	Elect Director Sanford Robertson	Mgmt	For	For	For
1h	Elect Director John V. Roos	Mgmt	For	For	For
1i	Elect Director Robin Washington	Mgmt	For	For	For
1j	Elect Director Maynard Webb	Mgmt	For	For	For
1k	Elect Director Susan Wojcicki	Mgmt	For	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against	Against

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): All Locations

Institution Account(s): Horizons Enhanced Income US Equity (USD) ETF

General Motors Company

Meeting Date: 06/14/2021

Country: USA

Primary Security ID: 37045V100

Record Date: 04/15/2021

Meeting Type: Annual

Ticker: GM

Shares Voted: 14,463

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Mary T. Barra	Mgmt	For	For	For
1b	Elect Director Wesley G. Bush	Mgmt	For	For	For
1c	Elect Director Linda R. Gooden	Mgmt	For	For	For
1d	Elect Director Joseph Jimenez	Mgmt	For	For	For
1e	Elect Director Jane L. Mendillo	Mgmt	For	For	For
1f	Elect Director Judith A. Miscik	Mgmt	For	For	For
1g	Elect Director Patricia F. Russo	Mgmt	For	For	For
1h	Elect Director Thomas M. Schoewe	Mgmt	For	For	For
1i	Elect Director Carol M. Stephenson	Mgmt	For	For	For
1j	Elect Director Mark A. Tatum	Mgmt	For	For	For
1k	Elect Director Devin N. Wenig	Mgmt	For	For	For
1l	Elect Director Margaret C. Whitman	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Provide Right to Act by Written Consent	SH	Against	For	For
5	Link Executive Pay to Social Criteria	SH	Against	Against	Against

Proxy Voting Record

Meeting Date Range: 01-Jul-2020 To 30-Jun-2021

Selected Accounts

THE PROCTER & GAMBLE COMPANY

Security:	742718109	Meeting Type:	Annual
Ticker:	PG	Meeting Date:	13-Oct-2020
ISIN	US7427181091	Vote Deadline Date:	12-Oct-2020
Agenda	935264969	Management	Total Ballot Shares: 27205
Last Vote Date:	29-Aug-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ELECTION OF DIRECTOR: Francis S. Blake	For	None	3300	0	0	0
2	ELECTION OF DIRECTOR: Angela F. Braly	For	None	3300	0	0	0
3	ELECTION OF DIRECTOR: Amy L. Chang	For	None	3300	0	0	0
4	ELECTION OF DIRECTOR: Joseph Jimenez	For	None	3300	0	0	0
5	ELECTION OF DIRECTOR: Debra L. Lee	For	None	3300	0	0	0
6	ELECTION OF DIRECTOR: Terry J. Lundgren	For	None	3300	0	0	0
7	ELECTION OF DIRECTOR: Christine M. McCarthy	For	None	3300	0	0	0
8	ELECTION OF DIRECTOR: W. James McNerney, Jr.	For	None	3300	0	0	0
9	ELECTION OF DIRECTOR: Nelson Peltz	For	None	3300	0	0	0
10	ELECTION OF DIRECTOR: David S. Taylor	For	None	3300	0	0	0
11	ELECTION OF DIRECTOR: Margaret C. Whitman	For	None	3300	0	0	0
12	ELECTION OF DIRECTOR: Patricia A. Woertz	For	None	3300	0	0	0
13	Ratify Appointment of the Independent Registered Public Accounting Firm.	For	None	3300	0	0	0
14	Advisory Vote to Approve the Company's Executive Compensation (the "Say on Pay" vote).	For	None	3300	0	0	0
15	Approval of The Procter & Gamble Company International Stock Ownership Plan, As Amended and Restated.	For	None	3300	0	0	0
16	Shareholder Proposal - Report on Efforts to Eliminate Deforestation.	Against	None	0	3300	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
17	Shareholder Proposal - Annual Report on Diversity.	Against	None	0	3300	0	0

Proxy Voting Record

ORACLE CORPORATION

Security:	68389X105	Meeting Type:	Annual
Ticker:	ORCL	Meeting Date:	04-Nov-2020
ISIN	US68389X1054	Vote Deadline Date:	03-Nov-2020
Agenda	935274554	Management	Total Ballot Shares: 11207
Last Vote Date:	19-Sep-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Jeffrey S. Berg			7485	0	0	0
	2 Michael J. Boskin			7485	0	0	0
	3 Safra A. Catz			7485	0	0	0
	4 Bruce R. Chizen			7485	0	0	0
	5 George H. Conrades			7485	0	0	0
	6 Lawrence J. Ellison			7485	0	0	0
	7 Rona A. Fairhead			7485	0	0	0
	8 Jeffrey O. Henley			7485	0	0	0
	9 Renee J. James			7485	0	0	0
	10 Charles W. Moorman IV			7485	0	0	0
	11 Leon E. Panetta			7485	0	0	0
	12 William G. Parrett			7485	0	0	0
	13 Naomi O. Seligman			7485	0	0	0
	14 Vishal Sikka			7485	0	0	0
2	Advisory Vote to Approve Compensation of Named Executive Officers.	For	None	7485	0	0	0
3	Approve the Oracle Corporation 2020 Equity Incentive Plan.	For	None	7485	0	0	0
4	Ratification of Selection of Independent Registered Public Accounting Firm.	For	None	7485	0	0	0
5	Stockholder Proposal Regarding Pay Equity Report.	Against	None	0	7485	0	0
6	Stockholder Proposal Regarding Independent Board Chair.	Against	None	0	7485	0	0

Proxy Voting Record

MICROSOFT CORPORATION

Security:	594918104		Meeting Type:	Annual
Ticker:	MSFT		Meeting Date:	02-Dec-2020
ISIN	US5949181045		Vote Deadline Date:	01-Dec-2020
Agenda	935284478	Management	Total Ballot Shares:	129897
Last Vote Date:	21-Oct-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Reid G. Hoffman	For	None	2157	0	0	0
2	Election of Director: Hugh F. Johnston	For	None	2157	0	0	0
3	Election of Director: Teri L. List-Stoll	For	None	2157	0	0	0
4	Election of Director: Satya Nadella	For	None	2157	0	0	0
5	Election of Director: Sandra E. Peterson	For	None	2157	0	0	0
6	Election of Director: Penny S. Pritzker	For	None	2157	0	0	0
7	Election of Director: Charles W. Scharf	For	None	2157	0	0	0
8	Election of Director: Arne M. Sorenson	For	None	2157	0	0	0
9	Election of Director: John W. Stanton	For	None	2157	0	0	0
10	Election of Director: John W. Thompson	For	None	2157	0	0	0
11	Election of Director: Emma N. Walmsley	For	None	2157	0	0	0
12	Election of Director: Padmasree Warrior	For	None	2157	0	0	0
13	Advisory vote to approve named executive officer compensation.	For	None	2157	0	0	0
14	Ratification of Deloitte & Touche LLP as our independent auditor for fiscal year 2021.	For	None	2157	0	0	0
15	Shareholder Proposal - Report on Employee Representation on Board of Directors.	Against	None	0	2157	0	0

Proxy Voting Record

CISCO SYSTEMS, INC.

Security:	17275R102		Meeting Type:	Annual
Ticker:	CSCO		Meeting Date:	10-Dec-2020
ISIN	US17275R1023		Vote Deadline Date:	09-Dec-2020
Agenda	935287498	Management	Total Ballot Shares:	78139
Last Vote Date:	23-Oct-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: M. Michele Burns	For	None	11654	0	0	0
2	Election of Director: Wesley G. Bush	For	None	11654	0	0	0
3	Election of Director: Michael D. Capellas	For	None	11654	0	0	0
4	Election of Director: Mark Garrett	For	None	11654	0	0	0
5	Election of Director: Dr. Kristina M. Johnson	For	None	11654	0	0	0
6	Election of Director: Roderick C. McGeary	For	None	11654	0	0	0
7	Election of Director: Charles H. Robbins	For	None	11654	0	0	0
8	Election of Director: Arun Sarin	For	None	11654	0	0	0
9	Election of Director: Brenton L. Saunders	For	None	11654	0	0	0
10	Election of Director: Dr. Lisa T. Su	For	None	11654	0	0	0
11	Approval of the reincorporation of Cisco from California to Delaware.	For	None	11654	0	0	0
12	Approval of amendment and restatement of the 2005 Stock Incentive Plan.	For	None	11654	0	0	0
13	Approval, on an advisory basis, of executive compensation.	For	None	11654	0	0	0
14	Ratification of PricewaterhouseCoopers LLP as Cisco's independent registered public accounting firm for fiscal 2021.	For	None	11654	0	0	0
15	Approval to have Cisco's Board adopt a policy to have an independent Board chairman.	Against	None	0	11654	0	0

Proxy Voting Record

CONOCOPHILLIPS

Security:	20825C104	Meeting Type:	Special
Ticker:	COP	Meeting Date:	15-Jan-2021
ISIN	US20825C1045	Vote Deadline Date:	14-Jan-2021
Agenda	935317962	Management	Total Ballot Shares: 14014
Last Vote Date:	17-Dec-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To approve the issuance of shares of common stock, par value \$0.01 per share, of ConocoPhillips to the stockholders of Concho Resources Inc. ("Concho") in connection with the merger contemplated by the Agreement and Plan of Merger, dated as of October 18, 2020 (as it may be amended from time to time), among ConocoPhillips, Falcon Merger Sub Corp. and Concho.	For	None	12783	0	0	0

Proxy Voting Record

VISA INC.

Security:	92826C839		Meeting Type:	Annual
Ticker:	V		Meeting Date:	26-Jan-2021
ISIN	US92826C8394		Vote Deadline Date:	25-Jan-2021
Agenda	935315576	Management	Total Ballot Shares:	3049
Last Vote Date:	10-Dec-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Lloyd A. Carney	For	None	1000	0	0	0
2	Election of Director: Mary B. Cranston	For	None	1000	0	0	0
3	Election of Director: Francisco Javier Fernández-Carbajal	For	None	1000	0	0	0
4	Election of Director: Alfred F. Kelly, Jr.	For	None	1000	0	0	0
5	Election of Director: Ramon Laguarta	For	None	1000	0	0	0
6	Election of Director: John F. Lundgren	For	None	1000	0	0	0
7	Election of Director: Robert W. Matschullat	For	None	1000	0	0	0
8	Election of Director: Denise M. Morrison	For	None	1000	0	0	0
9	Election of Director: Suzanne Nora Johnson	For	None	1000	0	0	0
10	Election of Director: Linda J. Rendle	For	None	1000	0	0	0
11	Election of Director: John A. C. Swainson	For	None	1000	0	0	0
12	Election of Director: Maynard G. Webb, Jr.	For	None	1000	0	0	0
13	Approval, on an advisory basis, of compensation paid to our named executive officers.	For	None	1000	0	0	0
14	Ratification of the appointment of KPMG LLP as our independent registered public accounting firm for the 2021 fiscal year.	For	None	1000	0	0	0
15	Approval of the Visa Inc. 2007 Equity Incentive Compensation Plan, as amended and restated.	For	None	1000	0	0	0
16	Approval of an amendment to our Certificate of Incorporation to enable the adoption of a special meeting right for Class A common stockholders.	For	None	1000	0	0	0
17	To vote on a stockholder proposal requesting stockholders' right to act by written consent, if properly presented.	Against	None	0	1000	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
18	To vote on a stockholder proposal to amend our principles of executive compensation program, if properly presented.	Against	None	0	1000	0	0

Proxy Voting Record

APPLE INC.

Security:	037833100		Meeting Type:	Annual
Ticker:	AAPL		Meeting Date:	23-Feb-2021
ISIN	US0378331005		Vote Deadline Date:	22-Feb-2021
Agenda	935323167	Management	Total Ballot Shares:	306912
Last Vote Date:	07-Jan-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: James Bell	For	None	3838	0	0	0
2	Election of Director: Tim Cook	For	None	3838	0	0	0
3	Election of Director: Al Gore	For	None	3838	0	0	0
4	Election of Director: Andrea Jung	For	None	3838	0	0	0
5	Election of Director: Art Levinson	For	None	3838	0	0	0
6	Election of Director: Monica Lozano	For	None	3838	0	0	0
7	Election of Director: Ron Sugar	For	None	3838	0	0	0
8	Election of Director: Sue Wagner	For	None	3838	0	0	0
9	Ratification of the appointment of Ernst & Young LLP as Apple's independent registered public accounting firm for fiscal 2021.	For	None	3838	0	0	0
10	Advisory vote to approve executive compensation.	For	None	3838	0	0	0
11	A shareholder proposal entitled "Shareholder Proxy Access Amendments".	Against	None	0	3838	0	0
12	A shareholder proposal entitled "Shareholder Proposal to Improve Executive Compensation Program".	Against	None	0	3838	0	0

Proxy Voting Record

THE WALT DISNEY COMPANY

Security:	254687106		Meeting Type:	Annual
Ticker:	DIS		Meeting Date:	09-Mar-2021
ISIN	US2546871060		Vote Deadline Date:	08-Mar-2021
Agenda	935328206	Management	Total Ballot Shares:	5641
Last Vote Date:	22-Jan-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Susan E. Arnold	For	None	3430	0	0	0
2	Election of Director: Mary T. Barra	For	None	3430	0	0	0
3	Election of Director: Safra A. Catz	For	None	3430	0	0	0
4	Election of Director: Robert A. Chapek	For	None	3430	0	0	0
5	Election of Director: Francis A. deSouza	For	None	3430	0	0	0
6	Election of Director: Michael B.G. Froman	For	None	3430	0	0	0
7	Election of Director: Robert A. Iger	For	None	3430	0	0	0
8	Election of Director: Maria Elena Lagomasino	For	None	3430	0	0	0
9	Election of Director: Mark G. Parker	For	None	3430	0	0	0
10	Election of Director: Derica W. Rice	For	None	3430	0	0	0
11	To ratify the appointment of PricewaterhouseCoopers LLP as the Company's registered public accountants for fiscal 2021.	For	None	3430	0	0	0
12	To approve the advisory resolution on executive compensation.	For	None	3430	0	0	0
13	Shareholder proposal requesting an annual report disclosing information regarding the Company's lobbying policies and activities.	Against	None	0	3430	0	0
14	Shareholder proposal requesting non-management employees on director nominee candidate lists.	Against	None	0	3430	0	0

Proxy Voting Record

QUALCOMM INCORPORATED

Security: 747525103	Meeting Type: Annual
Ticker: QCOM	Meeting Date: 10-Mar-2021
ISIN: US7475251036	Vote Deadline Date: 09-Mar-2021
Agenda: 935327569 Management	Total Ballot Shares: 26848
Last Vote Date: 22-Jan-2021	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Sylvia Acevedo	For	None	3715	0	0	0
2	Election of Director: Mark Fields	For	None	3715	0	0	0
3	Election of Director: Jeffrey W. Henderson	For	None	3715	0	0	0
4	Election of Director: Gregory N. Johnson	For	None	3715	0	0	0
5	Election of Director: Ann M. Livermore	For	None	3715	0	0	0
6	Election of Director: Harish Manwani	For	None	3715	0	0	0
7	Election of Director: Mark D. McLaughlin	For	None	3715	0	0	0
8	Election of Director: Jamie S. Miller	For	None	3715	0	0	0
9	Election of Director: Steve Mollenkopf	For	None	3715	0	0	0
10	Election of Director: Clark T. Randt, Jr.	For	None	3715	0	0	0
11	Election of Director: Irene B. Rosenfeld	For	None	3715	0	0	0
12	Election of Director: Kornelis "Neil" Smit	For	None	3715	0	0	0
13	Election of Director: Jean-Pascal Tricoire	For	None	3715	0	0	0
14	Election of Director: Anthony J. Vinciguerra	For	None	3715	0	0	0
15	To ratify the selection of PricewaterhouseCoopers LLP as our independent public accountants for our fiscal year ending September 26, 2021.	For	None	3715	0	0	0
16	To approve, on an advisory basis, our executive compensation.	For	None	3715	0	0	0

Proxy Voting Record

STARBUCKS CORPORATION

Security:	855244109		Meeting Type:	Annual
Ticker:	SBUX		Meeting Date:	17-Mar-2021
ISIN	US8552441094		Vote Deadline Date:	16-Mar-2021
Agenda	935326935	Management	Total Ballot Shares:	28014
Last Vote Date:	23-Jan-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Richard E. Allison, Jr.	For	None	5044	0	0	0
2	Election of Director: Rosalind G. Brewer (Withdrawn)	For	None	5044	0	0	0
3	Election of Director: Andrew Champion	For	None	5044	0	0	0
4	Election of Director: Mary N. Dillon	For	None	5044	0	0	0
5	Election of Director: Isabel Ge Mahe	For	None	5044	0	0	0
6	Election of Director: Mellody Hobson	For	None	5044	0	0	0
7	Election of Director: Kevin R. Johnson	For	None	5044	0	0	0
8	Election of Director: Jørgen Vig Knudstorp	For	None	5044	0	0	0
9	Election of Director: Satya Nadella	For	None	5044	0	0	0
10	Election of Director: Joshua Cooper Ramo	For	None	5044	0	0	0
11	Election of Director: Clara Shih	For	None	5044	0	0	0
12	Election of Director: Javier G. Teruel	For	None	5044	0	0	0
13	Advisory resolution to approve our executive officer compensation.	For	None	5044	0	0	0
14	Ratification of selection of Deloitte & Touche LLP as our independent registered public accounting firm for fiscal 2021.	For	None	5044	0	0	0
15	Employee Board Representation.	Against	None	0	5044	0	0

Proxy Voting Record

ADOBE INC

Security:	00724F101		Meeting Type:	Annual
Ticker:	ADBE		Meeting Date:	20-Apr-2021
ISIN	US00724F1012		Vote Deadline Date:	19-Apr-2021
Agenda	935343412	Management	Total Ballot Shares:	13453
Last Vote Date:	12-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director for a term of one year: Amy Banse	For	None	886	0	0	0
2	Election of Director for a term of one year: Melanie Boulden	For	None	886	0	0	0
3	Election of Director for a term of one year: Frank Calderoni	For	None	886	0	0	0
4	Election of Director for a term of one year: James Daley	For	None	886	0	0	0
5	Election of Director for a term of one year: Laura Desmond	For	None	886	0	0	0
6	Election of Director for a term of one year: Shantanu Narayen	For	None	886	0	0	0
7	Election of Director for a term of one year: Kathleen Oberg	For	None	886	0	0	0
8	Election of Director for a term of one year: Dheeraj Pandey	For	None	886	0	0	0
9	Election of Director for a term of one year: David Ricks	For	None	886	0	0	0
10	Election of Director for a term of one year: Daniel Rosensweig	For	None	886	0	0	0
11	Election of Director for a term of one year: John Warnock	For	None	886	0	0	0
12	Approve the Adobe Inc. 2019 Equity Incentive Plan, as amended, to increase the available share reserve by 6 million shares.	For	None	886	0	0	0
13	Ratify the appointment of KPMG LLP as our independent registered public accounting firm for our fiscal year ending on December 3, 2021.	For	None	886	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
14	Approve, on an advisory basis, the compensation of our named executive officers.	For	None	886	0	0	0

Proxy Voting Record

BANK OF AMERICA CORPORATION

Security: 060505104	Meeting Type: Annual
Ticker: BAC	Meeting Date: 20-Apr-2021
ISIN: US0605051046	Vote Deadline Date: 19-Apr-2021
Agenda: 935345670 Management	Total Ballot Shares: 28812
Last Vote Date: 09-Mar-2021	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Sharon L. Allen	For	None	17854	0	0	0
2	Election of Director: Susan S. Bies	For	None	17854	0	0	0
3	Election of Director: Frank P. Bramble, Sr.	For	None	17854	0	0	0
4	Election of Director: Pierre J.P. de Weck	For	None	17854	0	0	0
5	Election of Director: Arnold W. Donald	For	None	17854	0	0	0
6	Election of Director: Linda P. Hudson	For	None	17854	0	0	0
7	Election of Director: Monica C. Lozano	For	None	17854	0	0	0
8	Election of Director: Thomas J. May	For	None	17854	0	0	0
9	Election of Director: Brian T. Moynihan	For	None	17854	0	0	0
10	Election of Director: Lionel L. Nowell III	For	None	17854	0	0	0
11	Election of Director: Denise L. Ramos	For	None	17854	0	0	0
12	Election of Director: Clayton S. Rose	For	None	17854	0	0	0
13	Election of Director: Michael D. White	For	None	17854	0	0	0
14	Election of Director: Thomas D. Woods	For	None	17854	0	0	0
15	Election of Director: R. David Yost	For	None	17854	0	0	0
16	Election of Director: Maria T. Zuber	For	None	17854	0	0	0
17	Approving our executive compensation (an advisory, nonbinding "Say on Pay" resolution).	For	None	17854	0	0	0
18	Ratifying the appointment of our independent registered public accounting firm for 2021.	For	None	17854	0	0	0
19	Amending and restating the Bank of America Corporation Key Employee Equity Plan.	For	None	17854	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
20	Shareholder proposal requesting amendments to our proxy access by law.	Against	None	0	17854	0	0
21	Shareholder proposal requesting amendments to allow shareholders to act by written consent.	Against	None	0	17854	0	0
22	Shareholder proposal requesting a change in organizational form.	Against	None	0	17854	0	0
23	Shareholder proposal requesting a racial equity audit.	Against	None	0	17854	0	0

Proxy Voting Record

THE BOEING COMPANY

Security: 097023105	Meeting Type: Annual
Ticker: BA	Meeting Date: 20-Apr-2021
ISIN: US0970231058	Vote Deadline Date: 19-Apr-2021
Agenda: 935340884 Management	Total Ballot Shares: 3418
Last Vote Date: 10-Mar-2021	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Robert A. Bradway	For	None	2688	0	0	0
2	Election of Director: David L. Calhoun	For	None	2688	0	0	0
3	Election of Director: Lynne M. Doughtie	For	None	2688	0	0	0
4	Election of Director: Edmund P. Giambastiani Jr.	For	None	2688	0	0	0
5	Election of Director: Lynn J. Good	For	None	2688	0	0	0
6	Election of Director: Akhil Johri	For	None	2688	0	0	0
7	Election of Director: Lawrence W. Kellner	For	None	2688	0	0	0
8	Election of Director: Steven M. Mollenkopf	For	None	2688	0	0	0
9	Election of Director: John M. Richardson	For	None	2688	0	0	0
10	Election of Director: Ronald A. Williams	For	None	2688	0	0	0
11	Approve, on an Advisory Basis, Named Executive Officer Compensation.	For	None	2688	0	0	0
12	Ratify the Appointment of Deloitte & Touche LLP as Independent Auditor for 2021.	For	None	2688	0	0	0
13	Additional Report on Lobbying Activities.	Against	None	0	2688	0	0
14	Written Consent.	Against	None	0	2688	0	0

Proxy Voting Record

THE COCA-COLA COMPANY

Security: 191216100	Meeting Type: Annual
Ticker: KO	Meeting Date: 20-Apr-2021
ISIN: US1912161007	Vote Deadline Date: 19-Apr-2021
Agenda: 935342547 Management	Total Ballot Shares: 13605
Last Vote Date: 12-Mar-2021	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Herbert A. Allen	For	None	8609	0	0	0
2	Election of Director: Marc Bolland	For	None	8609	0	0	0
3	Election of Director: Ana Botín	For	None	8609	0	0	0
4	Election of Director: Christopher C. Davis	For	None	8609	0	0	0
5	Election of Director: Barry Diller	For	None	8609	0	0	0
6	Election of Director: Helene D. Gayle	For	None	8609	0	0	0
7	Election of Director: Alexis M. Herman	For	None	8609	0	0	0
8	Election of Director: Robert A. Kotick	For	None	8609	0	0	0
9	Election of Director: Maria Elena Lagomasino	For	None	8609	0	0	0
10	Election of Director: James Quincey	For	None	8609	0	0	0
11	Election of Director: Caroline J. Tsay	For	None	8609	0	0	0
12	Election of Director: David B. Weinberg	For	None	8609	0	0	0
13	Advisory vote to approve executive compensation.	For	None	8609	0	0	0
14	Ratification of the appointment of Ernst & Young LLP as Independent Auditors.	For	None	8609	0	0	0
15	Shareowner proposal on sugar and public health.	Against	None	0	8609	0	0

Proxy Voting Record

JOHNSON & JOHNSON

Security:	478160104		Meeting Type:	Annual
Ticker:	JNJ		Meeting Date:	22-Apr-2021
ISIN	US4781601046		Vote Deadline Date:	21-Apr-2021
Agenda	935345214	Management	Total Ballot Shares:	39610
Last Vote Date:	11-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Mary C. Beckerle	For	None	2881	0	0	0
2	Election of Director: D. Scott Davis	For	None	2881	0	0	0
3	Election of Director: Ian E. L. Davis	For	None	2881	0	0	0
4	Election of Director: Jennifer A. Doudna	For	None	2881	0	0	0
5	Election of Director: Alex Gorsky	For	None	2881	0	0	0
6	Election of Director: Marillyn A. Hewson	For	None	2881	0	0	0
7	Election of Director: Hubert Joly	For	None	2881	0	0	0
8	Election of Director: Mark B. McClellan	For	None	2881	0	0	0
9	Election of Director: Anne M. Mulcahy	For	None	2881	0	0	0
10	Election of Director: Charles Prince	For	None	2881	0	0	0
11	Election of Director: A. Eugene Washington	For	None	2881	0	0	0
12	Election of Director: Mark A. Weinberger	For	None	2881	0	0	0
13	Election of Director: Nadja Y. West	For	None	2881	0	0	0
14	Election of Director: Ronald A. Williams	For	None	2881	0	0	0
15	Advisory Vote to Approve Named Executive Officer Compensation.	For	None	2881	0	0	0
16	Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2021.	For	None	2881	0	0	0
17	Report on Government Financial Support and Access to COVID-19 Vaccines and Therapeutics.	Against	None	0	2881	0	0
18	Independent Board Chair.	Against	None	0	2881	0	0
19	Civil Rights Audit.	Against	None	0	2881	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
20	Executive Compensation Bonus Deferral.	Against	None	0	2881	0	0

Proxy Voting Record

PFIZER INC.

Security:	717081103		Meeting Type:	Annual
Ticker:	PFE		Meeting Date:	22-Apr-2021
ISIN	US7170811035		Vote Deadline Date:	21-Apr-2021
Agenda	935344503	Management	Total Ballot Shares:	18821
Last Vote Date:	13-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Ronald E. Blaylock	For	None	11633	0	0	0
2	Election of Director: Albert Bourla	For	None	11633	0	0	0
3	Election of Director: Susan Desmond-Hellmann	For	None	11633	0	0	0
4	Election of Director: Joseph J. Echevarria	For	None	11633	0	0	0
5	Election of Director: Scott Gottlieb	For	None	11633	0	0	0
6	Election of Director: Helen H. Hobbs	For	None	11633	0	0	0
7	Election of Director: Susan Hockfield	For	None	11633	0	0	0
8	Election of Director: Dan R. Littman	For	None	11633	0	0	0
9	Election of Director: Shantanu Narayen	For	None	11633	0	0	0
10	Election of Director: Suzanne Nora Johnson	For	None	11633	0	0	0
11	Election of Director: James Quincey	For	None	11633	0	0	0
12	Election of Director: James C. Smith	For	None	11633	0	0	0
13	Ratify the selection of KPMG LLP as independent registered public accounting firm for 2021.	For	None	11633	0	0	0
14	2021 advisory approval of executive compensation.	For	None	11633	0	0	0
15	Shareholder proposal regarding independent chair policy.	Against	None	0	11633	0	0
16	Shareholder proposal regarding political spending report.	Against	None	0	11633	0	0
17	Shareholder proposal regarding access to COVID-19 products.	Against	None	0	11633	0	0

Proxy Voting Record

RAYTHEON TECHNOLOGIES

Security:	75513E101		Meeting Type:	Annual
Ticker:	RTX		Meeting Date:	26-Apr-2021
ISIN	US75513E1010		Vote Deadline Date:	23-Apr-2021
Agenda	935347218	Management	Total Ballot Shares:	9476
Last Vote Date:	17-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Tracy A. Atkinson	For	None	7296	0	0	0
2	Election of Director: Gregory J. Hayes	For	None	7296	0	0	0
3	Election of Director: Thomas A. Kennedy	For	None	7296	0	0	0
4	Election of Director: Marshall O. Larsen	For	None	7296	0	0	0
5	Election of Director: George R. Oliver	For	None	7296	0	0	0
6	Election of Director: Robert K. (Kelly) Ortberg	For	None	7296	0	0	0
7	Election of Director: Margaret L. O'Sullivan	For	None	7296	0	0	0
8	Election of Director: Dinesh C. Paliwal	For	None	7296	0	0	0
9	Election of Director: Ellen M. Pawlikowski	For	None	7296	0	0	0
10	Election of Director: Denise L. Ramos	For	None	7296	0	0	0
11	Election of Director: Fredric G. Reynolds	For	None	7296	0	0	0
12	Election of Director: Brian C. Rogers	For	None	7296	0	0	0
13	Election of Director: James A. Winnefeld, Jr.	For	None	7296	0	0	0
14	Election of Director: Robert O. Work	For	None	7296	0	0	0
15	Advisory Vote to Approve Executive Compensation.	For	None	7296	0	0	0
16	Appoint PricewaterhouseCoopers LLP to Serve as Independent Auditor for 2021.	For	None	7296	0	0	0
17	Approve Raytheon Technologies Corporation Executive Annual Incentive Plan.	For	None	7296	0	0	0
18	Approve Amendment to the Raytheon Technologies Corporation 2018 Long-Term Incentive Plan.	For	None	7296	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
19	Shareowner Proposal to Amend Proxy Access Bylaw.	Against	None	0	7296	0	0

Proxy Voting Record

CITIGROUP INC.

Security: 172967424	Meeting Type: Annual
Ticker: C	Meeting Date: 27-Apr-2021
ISIN: US1729674242	Vote Deadline Date: 26-Apr-2021
Agenda: 935349515 Management	Total Ballot Shares: 12968
Last Vote Date: 19-Mar-2021	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Ellen M. Costello	For	None	9982	0	0	0
2	Election of Director: Grace E. Dailey	For	None	9982	0	0	0
3	Election of Director: Barbara J. Desoer	For	None	9982	0	0	0
4	Election of Director: John C. Dugan	For	None	9982	0	0	0
5	Election of Director: Jane N. Fraser	For	None	9982	0	0	0
6	Election of Director: Duncan P. Hennes	For	None	9982	0	0	0
7	Election of Director: Peter B. Henry	For	None	9982	0	0	0
8	Election of Director: S. Leslie Ireland	For	None	9982	0	0	0
9	Election of Director: Lew W. (Jay) Jacobs, IV	For	None	9982	0	0	0
10	Election of Director: Renée J. James	For	None	9982	0	0	0
11	Election of Director: Gary M. Reiner	For	None	9982	0	0	0
12	Election of Director: Diana L. Taylor	For	None	9982	0	0	0
13	Election of Director: James S. Turley	For	None	9982	0	0	0
14	Election of Director: Deborah C. Wright	For	None	9982	0	0	0
15	Election of Director: Alexander R. Wynaendts	For	None	9982	0	0	0
16	Election of Director: Ernesto Zedillo Ponce de Leon	For	None	9982	0	0	0
17	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2021.	For	None	9982	0	0	0
18	Advisory vote to approve Citi's 2020 Executive Compensation.	For	None	9982	0	0	0
19	Approval of additional authorized shares under the Citigroup 2019 Stock Incentive Plan.	For	None	9982	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
20	Stockholder proposal requesting an amendment to Citi's proxy access by-law provisions pertaining to the aggregation limit.	Against	None	0	9982	0	0
21	Stockholder proposal requesting an Independent Board Chairman.	Against	None	0	9982	0	0
22	Stockholder proposal requesting non-management employees on director nominee candidate lists.	Against	None	0	9982	0	0
23	Stockholder proposal requesting a report disclosing information regarding Citi's lobbying payments, policies and activities.	Against	None	0	9982	0	0
24	Stockholder proposal requesting a racial equity audit analyzing Citi's adverse impacts on nonwhite stakeholders and communities of color.	Against	None	0	9982	0	0
25	Stockholder proposal requesting that the Board approve an amendment to Citi's Certificate of Incorporation to become a Public Benefit Corporation and to submit the proposed amendment to stockholders for approval.	Against	None	0	9982	0	0

Proxy Voting Record

International Business Machines Corporation

Security:	459200101	Meeting Type:	Annual	
Ticker:	IBM	Meeting Date:	27-Apr-2021	
ISIN	US4592001014	Vote Deadline Date:	26-Apr-2021	
Agenda	935346949	Management	Total Ballot Shares:	4862
Last Vote Date:	11-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director for one year term: Thomas Buberl	For	None	3527	0	0	0
2	Election of Director for one year term: Michael L. Eskew	For	None	3527	0	0	0
3	Election of Director for one year term: David N. Farr	For	None	3527	0	0	0
4	Election of Director for one year term: Alex Gorsky	For	None	3527	0	0	0
5	Election of Director for one year term: Michelle J. Howard	For	None	3527	0	0	0
6	Election of Director for one year term: Arvind Krishna	For	None	3527	0	0	0
7	Election of Director for one year term: Andrew N. Liveris	For	None	3527	0	0	0
8	Election of Director for one year term: F. William McNabb III	For	None	3527	0	0	0
9	Election of Director for one year term: Martha E. Pollack	For	None	3527	0	0	0
10	Election of Director for one year term: Joseph R. Swedish	For	None	3527	0	0	0
11	Election of Director for one year term: Peter R. Voser	For	None	3527	0	0	0
12	Election of Director for one year term: Frederick H. Waddell	For	None	3527	0	0	0
13	Ratification of Appointment of Independent Registered Public Accounting Firm.	For	None	3527	0	0	0
14	Advisory Vote on Executive Compensation.	For	None	3527	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
15	Stockholder Proposal to Have an Independent Board Chairman.	Against	None	0	3527	0	0
16	Stockholder Proposal on the Right to Act by Written Consent.	Against	None	0	3527	0	0
17	Stockholder Proposal Requesting the Company Publish Annually a Report Assessing its Diversity, Equity and Inclusion Efforts.	For	None	0	3527	0	0

Proxy Voting Record

WELLS FARGO & COMPANY

Security:	949746101		Meeting Type:	Annual
Ticker:	WFC		Meeting Date:	27-Apr-2021
ISIN	US9497461015		Vote Deadline Date:	26-Apr-2021
Agenda	935349363	Management	Total Ballot Shares:	22692
Last Vote Date:	17-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: Steven D. Black	For	None	16680	0	0	0
2	Election of Director: Mark A. Chancy	For	None	16680	0	0	0
3	Election of Director: Celeste A. Clark	For	None	16680	0	0	0
4	Election of Director: Theodore F. Craver, Jr.	For	None	16680	0	0	0
5	Election of Director: Wayne M. Hewett	For	None	16680	0	0	0
6	Election of Director: Maria R. Morris	For	None	16680	0	0	0
7	Election of Director: Charles H. Noski	For	None	16680	0	0	0
8	Election of Director: Richard B. Payne, Jr.	For	None	16680	0	0	0
9	Election of Director: Juan A. Pujadas	For	None	16680	0	0	0
10	Election of Director: Ronald L. Sargent	For	None	16680	0	0	0
11	Election of Director: Charles W. Scharf	For	None	16680	0	0	0
12	Election of Director: Suzanne M. Vautrinot	For	None	16680	0	0	0
13	Advisory resolution to approve executive compensation.	For	None	16680	0	0	0
14	Ratification of the appointment of KPMG LLP as the Company's independent registered public accounting firm for 2021.	For	None	16680	0	0	0
15	Shareholder Proposal - Make Shareholder Proxy Access More Accessible.	Against	None	0	16680	0	0
16	Shareholder Proposal - Amend Certificate of Incorporation to Become a Delaware Public Benefit Corporation.	Against	None	0	16680	0	0
17	Shareholder Proposal - Report on Incentive-Based Compensation and Risks of Material Losses.	Against	None	0	16680	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
18	Shareholder Proposal - Conduct a Racial Equity Audit.	Against	None	0	16680	0	0

Proxy Voting Record

THE GOLDMAN SACHS GROUP, INC.

Security:	38141G104		Meeting Type:	Annual
Ticker:	GS		Meeting Date:	29-Apr-2021
ISIN	US38141G1040		Vote Deadline Date:	28-Apr-2021
Agenda	935349351	Management	Total Ballot Shares:	2645
Last Vote Date:	20-Mar-2021			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Election of Director: M. Michele Burns	For	None	2153	0	0	0
2	Election of Director: Drew G. Faust	For	None	2153	0	0	0
3	Election of Director: Mark A. Flaherty	For	None	2153	0	0	0
4	Election of Director: Ellen J. Kullman	For	None	2153	0	0	0
5	Election of Director: Lakshmi N. Mittal	For	None	2153	0	0	0
6	Election of Director: Adebayo O. Ogunlesi	For	None	2153	0	0	0
7	Election of Director: Peter Oppenheimer	For	None	2153	0	0	0
8	Election of Director: David M. Solomon	For	None	2153	0	0	0
9	Election of Director: Jan E. Tighe	For	None	2153	0	0	0
10	Election of Director: Jessica R. Uhl	For	None	2153	0	0	0
11	Election of Director: David A. Viniar	For	None	2153	0	0	0
12	Election of Director: Mark O. Winkelman	For	None	2153	0	0	0
13	Advisory Vote to Approve Executive Compensation (Say on Pay).	For	None	2153	0	0	0
14	Approval of The Goldman Sachs Amended and Restated Stock Incentive Plan (2021).	For	None	2153	0	0	0
15	Ratification of PricewaterhouseCoopers LLP as our Independent Registered Public Accounting Firm for 2021.	For	None	2153	0	0	0
16	Shareholder Proposal Regarding Shareholder Right to Act by Written Consent.	Against	None	0	2153	0	0
17	Shareholder Proposal Regarding a Report on the Effects of the Use of Mandatory Arbitration.	Against	None	0	2153	0	0
18	Shareholder Proposal Regarding Conversion to a Public Benefit Corporation.	Against	None	0	2153	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
19	Shareholder Proposal Regarding a Racial Equity Audit	Against	None	0	2153	0	0

Proxy Voting Record

BERKSHIRE HATHAWAY INC.

Security:	084670702	Meeting Type:	Annual
Ticker:	BRKB	Meeting Date:	01-May-2021
ISIN	US0846707026	Vote Deadline Date:	30-Apr-2021
Agenda	935351128	Management	Total Ballot Shares: 3901
Last Vote Date:	16-Mar-2021		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Warren E. Buffett			1994	0	0	0
	2 Charles T. Munger			1994	0	0	0
	3 Gregory E. Abel			1994	0	0	0
	4 Howard G. Buffett			1994	0	0	0
	5 Stephen B. Burke			1994	0	0	0
	6 Kenneth I. Chenault			1994	0	0	0
	7 Susan L. Decker			1994	0	0	0
	8 David S. Gottesman			1994	0	0	0
	9 Charlotte Guyman			1994	0	0	0
	10 Ajit Jain			1994	0	0	0
	11 Thomas S. Murphy			1994	0	0	0
	12 Ronald L. Olson			1994	0	0	0
	13 Walter Scott, Jr.			1994	0	0	0
	14 Meryl B. Witmer			1994	0	0	0
2	Shareholder proposal regarding the reporting of climate-related risks and opportunities.	Against	None	0	1994	0	0
3	Shareholder proposal regarding diversity and inclusion reporting.	Against	None	0	1994	0	0