

# Proxy Voting Record

Meeting Date Range: 01-Jul-2019 To 30-Jun-2020

Selected Accounts

## BANK OF MONTREAL

Security:	063671101		Meeting Type:	Annual
Ticker:	BMO		Meeting Date:	31-Mar-2020
ISIN	CA0636711016		Vote Deadline Date:	27-Mar-2020
Agenda	935136196	Management	Total Ballot Shares:	29690
Last Vote Date:	07-Mar-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 JANICE M. BABIAK			10356	0	0	0
	2 SOPHIE BROCHU			10356	0	0	0
	3 CRAIG W. BRODERICK			10356	0	0	0
	4 GEORGE A. COPE			10356	0	0	0
	5 CHRISTINE A. EDWARDS			10356	0	0	0
	6 MARTIN S. EICHENBAUM			10356	0	0	0
	7 RONALD H. FARMER			10356	0	0	0
	8 DAVID E. HARQUAIL			10356	0	0	0
	9 LINDA S. HUBER			10356	0	0	0
	10 ERIC R. LA FLÈCHE			10356	0	0	0
	11 LORRAINE MITCHELMORE			10356	0	0	0
	12 DARRYL WHITE			10356	0	0	0
2	APPOINTMENT OF SHAREHOLDERS' AUDITORS	For	None	10356	0	0	0
3	ADVISORY VOTE ON THE BANK'S APPROACH TO EXECUTIVE COMPENSATION	For	None	10356	0	0	0
4	AMENDMENTS TO THE BANK OF MONTREAL AMENDED AND RESTATED STOCK OPTION PLAN	For	None	10356	0	0	0
5	SHAREHOLDER PROPOSAL NO. 1	Against	None	0	10356	0	0
6	SHAREHOLDER PROPOSAL NO. 2	Against	None	0	10356	0	0
7	SHAREHOLDER PROPOSAL NO. 3	Against	None	0	10356	0	0

## Proxy Voting Record

### CANADIAN WESTERN BANK

Security:	13677F101		Meeting Type:	Annual
Ticker:	CBWBF		Meeting Date:	02-Apr-2020
ISIN	CA13677F1018		Vote Deadline Date:	30-Mar-2020
Agenda	935133568	Management	Total Ballot Shares:	31904
Last Vote Date:	27-Feb-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Andrew J. Bibby			31904	0	0	0
	2 Christopher H. Fowler			31904	0	0	0
	3 Linda M.O. Hohol			31904	0	0	0
	4 Robert A. Manning			31904	0	0	0
	5 E. Gay Mitchell			31904	0	0	0
	6 S.A. Morgan-Silvester			31904	0	0	0
	7 Margaret J. Mulligan			31904	0	0	0
	8 Robert L. Phillips			31904	0	0	0
	9 Raymond J. Protti			31904	0	0	0
	10 Ian M. Reid			31904	0	0	0
	11 H. Sanford Riley			31904	0	0	0
2	Appointment of Auditors named in the Management Proxy Circular.	For	None	31904	0	0	0
3	The approach to executive compensation described in the Management Proxy Circular. *Note* this is an advisory vote	For	None	31904	0	0	0

## Proxy Voting Record

### THE TORONTO-DOMINION BANK

Security:	891160509		Meeting Type:	Annual
Ticker:	TD		Meeting Date:	02-Apr-2020
ISIN	CA8911605092		Vote Deadline Date:	31-Mar-2020
Agenda	935131805	Management	Total Ballot Shares:	69473
Last Vote Date:	03-Mar-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 AMY W. BRINKLEY			14544	0	0	0
	2 BRIAN C. FERGUSON			14544	0	0	0
	3 COLLEEN A. GOGGINS			14544	0	0	0
	4 JEAN RENÉ HALDE			14544	0	0	0
	5 DAVID E. KEPLER			14544	0	0	0
	6 BRIAN M. LEVITT			14544	0	0	0
	7 ALAN N. MACGIBBON			14544	0	0	0
	8 KAREN E. MAIDMENT			14544	0	0	0
	9 BHARAT B. MASRANI			14544	0	0	0
	10 IRENE R. MILLER			14544	0	0	0
	11 NADIR H. MOHAMED			14544	0	0	0
	12 CLAUDE MONGEAU			14544	0	0	0
	13 S. JANE ROWE			14544	0	0	0
2	APPOINTMENT OF AUDITOR NAMED IN THE MANAGEMENT PROXY CIRCULAR	For	None	14544	0	0	0
3	APPROACH TO EXECUTIVE COMPENSATION DISCLOSED IN THE REPORT OF THE HUMAN RESOURCES COMMITTEE AND APPROACH TO EXECUTIVE COMPENSATION SECTIONS OF THE MANAGEMENT PROXY CIRCULAR *ADVISORY VOTE*	For	None	14544	0	0	0
4	SHAREHOLDER PROPOSAL A, AS SET OUT IN THE MANAGEMENT PROXY CIRCULAR	Against	None	0	14544	0	0
5	SHAREHOLDER PROPOSAL B, AS SET OUT IN THE MANAGEMENT PROXY CIRCULAR	Against	None	0	14544	0	0
6	SHAREHOLDER PROPOSAL C, AS SET OUT IN THE MANAGEMENT PROXY CIRCULAR	Against	None	0	14544	0	0

## Proxy Voting Record

### THE BANK OF NOVA SCOTIA

Security:	064149107		Meeting Type:	Annual
Ticker:	BNS		Meeting Date:	07-Apr-2020
ISIN	CA0641491075		Vote Deadline Date:	06-Apr-2020
Agenda	935133835	Management	Total Ballot Shares:	30071
Last Vote Date:	05-Mar-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 NORA A. AUFREITER			14696	0	0	0
	2 GUILLERMO E. BABATZ			14696	0	0	0
	3 SCOTT B. BONHAM			14696	0	0	0
	4 CHARLES H. DALLARA			14696	0	0	0
	5 TIFF MACKLEM			14696	0	0	0
	6 MICHAEL D. PENNER			14696	0	0	0
	7 BRIAN J. PORTER			14696	0	0	0
	8 UNA M. POWER			14696	0	0	0
	9 AARON W. REGENT			14696	0	0	0
	10 INDIRA V. SAMARASEKERA			14696	0	0	0
	11 SUSAN L. SEGAL			14696	0	0	0
	12 L. SCOTT THOMSON			14696	0	0	0
	13 BENITA M. WARBOLD			14696	0	0	0
2	APPOINTMENT OF KPMG LLP AS AUDITORS.	For	None	14696	0	0	0
3	ADVISORY VOTE ON NON-BINDING RESOLUTION ON EXECUTIVE COMPENSATION APPROACH.	For	None	14696	0	0	0
4	SHAREHOLDER PROPOSAL 1	Against	None	0	14696	0	0
5	SHAREHOLDER PROPOSAL 2	Against	None	0	14696	0	0
6	SHAREHOLDER PROPOSAL 3	Against	None	0	14696	0	0
7	SHAREHOLDER PROPOSAL 4	Against	None	0	14696	0	0

## Proxy Voting Record

### CANADIAN IMPERIAL BANK OF COMMERCE

Security:	136069101		Meeting Type:	Annual
Ticker:	CM		Meeting Date:	08-Apr-2020
ISIN	CA1360691010		Vote Deadline Date:	06-Apr-2020
Agenda	935144042	Management	Total Ballot Shares:	20003
Last Vote Date:	19-Mar-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 Brent S. Belzberg			9687	0	0	0
	2 Charles J.G. Brindamour			9687	0	0	0
	3 Nanci E. Caldwell			9687	0	0	0
	4 Michelle L. Collins			9687	0	0	0
	5 Patrick D. Daniel			9687	0	0	0
	6 Luc Desjardins			9687	0	0	0
	7 Victor G. Dodig			9687	0	0	0
	8 Kevin J. Kelly			9687	0	0	0
	9 Christine E. Larsen			9687	0	0	0
	10 Nicholas D. Le Pan			9687	0	0	0
	11 John P. Manley			9687	0	0	0
	12 Jane L. Peverett			9687	0	0	0
	13 Katharine B. Stevenson			9687	0	0	0
	14 Martine Turcotte			9687	0	0	0
	15 Barry L. Zubrow			9687	0	0	0
2	Appointment of Ernst & Young LLP as auditors	For	None	9687	0	0	0
3	Advisory resolution on our executive compensation approach	For	None	9687	0	0	0
4	Shareholder Proposal 1	Against	None	0	9687	0	0
5	Shareholder Proposal 2	Against	None	0	9687	0	0
6	Shareholder Proposal 3	Against	None	0	9687	0	0

## Proxy Voting Record

### ROYAL BANK OF CANADA

Security:	780087102	Meeting Type:	Annual
Ticker:	RY	Meeting Date:	08-Apr-2020
ISIN	CA7800871021	Vote Deadline Date:	03-Apr-2020
Agenda	935134419	Management	Total Ballot Shares: 103174
Last Vote Date:	05-Mar-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 A.A. CHISHOLM			10113	0	0	0
	2 J. CÔTÉ			10113	0	0	0
	3 T.N. DARUVALA			10113	0	0	0
	4 D.F. DENISON			10113	0	0	0
	5 A.D. LABERGE			10113	0	0	0
	6 M.H. MCCAIN			10113	0	0	0
	7 D. MCKAY			10113	0	0	0
	8 H. MUNROE-BLUM			10113	0	0	0
	9 K. TAYLOR			10113	0	0	0
	10 M. TURCKE			10113	0	0	0
	11 B.A. VAN KRALINGEN			10113	0	0	0
	12 T. VANDAL			10113	0	0	0
	13 F. VETTESE			10113	0	0	0
	14 J. YABUKI			10113	0	0	0
2	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP (PWC) AS AUDITOR	For	None	10113	0	0	0
3	ADVISORY VOTE ON THE BANK'S APPROACH TO EXECUTIVE COMPENSATION	For	None	10113	0	0	0
4	SHAREHOLDER PROPOSAL NO. 1	Against	None	0	10113	0	0
5	SHAREHOLDER PROPOSAL NO. 2	Against	None	0	10113	0	0

## Proxy Voting Record

### NATIONAL BANK OF CANADA

Security:	633067103		Meeting Type:	Annual
Ticker:	NTIOF		Meeting Date:	24-Apr-2020
ISIN	CA6330671034		Vote Deadline Date:	21-Apr-2020
Agenda	935137388	Management	Total Ballot Shares:	50096
Last Vote Date:	24-Mar-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 RAYMOND BACHAND			14207	0	0	0
	2 MARYSE BERTRAND			14207	0	0	0
	3 PIERRE BLOUIN			14207	0	0	0
	4 PIERRE BOIVIN			14207	0	0	0
	5 MANON BROUILLETTE			14207	0	0	0
	6 YVON CHAREST			14207	0	0	0
	7 PATRICIA CURADEAU-GROU			14207	0	0	0
	8 JEAN HOUDE			14207	0	0	0
	9 KAREN KINSLEY			14207	0	0	0
	10 REBECCA MCKILLICAN			14207	0	0	0
	11 ROBERT PARÉ			14207	0	0	0
	12 LINO SAPUTO, JR.			14207	0	0	0
	13 ANDRÉE SAVOIE			14207	0	0	0
	14 PIERRE THABET			14207	0	0	0
	15 LOUIS VACHON			14207	0	0	0
2	ADVISORY RESOLUTION TO ACCEPT THE APPROACH TAKEN BY THE BANK'S BOARD OF DIRECTORS WITH RESPECT TO EXECUTIVE COMPENSATION	For	None	14207	0	0	0
3	APPOINTMENT OF DELOITTE LLP AS INDEPENDENT AUDITOR	For	None	14207	0	0	0
4	SHAREHOLDER PROPOSAL NO. 1	Against	None	0	14207	0	0

## Proxy Voting Record

### SUN LIFE FINANCIAL INC.

Security:	866796105	Meeting Type:	Annual
Ticker:	SLF	Meeting Date:	05-May-2020
ISIN	CA8667961053	Vote Deadline Date:	30-Apr-2020
Agenda	935157544	Management	Total Ballot Shares: 37543
Last Vote Date:	31-Mar-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 WILLIAM D. ANDERSON			16119	0	0	0
	2 DEAN A. CONNOR			16119	0	0	0
	3 STEPHANIE L. COYLES			16119	0	0	0
	4 MARTIN J. G. GLYNN			16119	0	0	0
	5 ASHOK K. GUPTA			16119	0	0	0
	6 M. MARIANNE HARRIS			16119	0	0	0
	7 SARA GROOTWASSINK LEWIS			16119	0	0	0
	8 JAMES M. PECK			16119	0	0	0
	9 SCOTT F. POWERS			16119	0	0	0
	10 HUGH D. SEGAL			16119	0	0	0
	11 BARBARA G. STYMIEST			16119	0	0	0
2	APPOINTMENT OF DELOITTE LLP AS AUDITOR.	For	None	16119	0	0	0
3	NON-BINDING ADVISORY VOTE ON APPROACH TO EXECUTIVE COMPENSATION.	For	None	16119	0	0	0



## Proxy Voting Record

### INTACT FINANCIAL CORPORATION

Security:	45823T106		Meeting Type:	Annual and Special Meeting
Ticker:	IFCZF		Meeting Date:	06-May-2020
ISIN	CA45823T1066		Vote Deadline Date:	05-May-2020
Agenda	935182282	Management	Total Ballot Shares:	14756
Last Vote Date:	15-Apr-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 CHARLES BRINDAMOUR			6984	0	0	0
	2 JANET DE SILVA			6984	0	0	0
	3 CLAUDE DUSSAULT			6984	0	0	0
	4 JANE E. KINNEY			6984	0	0	0
	5 ROBERT G. LEARY			6984	0	0	0
	6 SYLVIE PAQUETTE			6984	0	0	0
	7 TIMOTHY H. PENNER			6984	0	0	0
	8 STUART J. RUSSELL			6984	0	0	0
	9 FREDERICK SINGER			6984	0	0	0
	10 -----			6984	0	0	0
	11 CAROL STEPHENSON			6984	0	0	0
	12 WILLIAM L. YOUNG			6984	0	0	0
2	APPOINTMENT OF AUDITOR APPOINTMENT OF ERNST & YOUNG LLP AS AUDITOR OF THE COMPANY	For	None	6984	0	0	0
3	CONFIRMATION OF THE AMENDED AND RESTATED SHAREHOLDER RIGHTS PLAN	For	None	6984	0	0	0
4	ADVISORY RESOLUTION TO ACCEPT THE APPROACH TO EXECUTIVE COMPENSATION	For	None	6984	0	0	0

# Proxy Voting Record

## GREAT-WEST LIFECO INC.

Security:	39138C106	Meeting Type:	Annual
Ticker:	GWLIF	Meeting Date:	07-May-2020
ISIN	CA39138C1068	Vote Deadline Date:	04-May-2020
Agenda	935149535	Management	Total Ballot Shares: 71210
Last Vote Date:	31-Mar-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 MICHAEL R. AMEND			29874	0	0	0
	2 DEBORAH J. BARRETT			29874	0	0	0
	3 ROBIN BIENFAIT			29874	0	0	0
	4 HEATHER E. CONWAY			29874	0	0	0
	5 MARCEL R. COUTU			29874	0	0	0
	6 ANDRÉ DESMARAIS			29874	0	0	0
	7 PAUL DESMARAIS, JR.			29874	0	0	0
	8 GARY A. DOER			29874	0	0	0
	9 DAVID G. FULLER			29874	0	0	0
	10 CLAUDE GÉNÉREUX			29874	0	0	0
	11 J. DAVID A. JACKSON			29874	0	0	0
	12 ELIZABETH C. LEMPRES			29874	0	0	0
	13 PAULA B. MADOFF			29874	0	0	0
	14 PAUL A. MAHON			29874	0	0	0
	15 SUSAN J. MCARTHUR			29874	0	0	0
	16 R. JEFFREY ORR			29874	0	0	0
	17 T. TIMOTHY RYAN			29874	0	0	0
	18 JEROME J. SELITTO			29874	0	0	0
	19 JAMES M. SINGH			29874	0	0	0
	20 GREGORY D. TRETIAK			29874	0	0	0
	21 SIIM A. VANASELJA			29874	0	0	0
	22 BRIAN E. WALSH			29874	0	0	0
2	THE APPOINTMENT OF DELOITTE LLP AS AUDITOR	For	None	29874	0	0	0

## Proxy Voting Record

### MANULIFE FINANCIAL CORPORATION

Security:	56501R106	Meeting Type:	Annual
Ticker:	MFC	Meeting Date:	07-May-2020
ISIN	CA56501R1064	Vote Deadline Date:	04-May-2020
Agenda	935157102	Management	Total Ballot Shares: 89505
Last Vote Date:	31-Mar-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 RONALEE H. AMBROSE			37284	0	0	0
	2 GUY L.T. BAINBRIDGE			37284	0	0	0
	3 JOSEPH P. CARON			37284	0	0	0
	4 JOHN M. CASSADAY			37284	0	0	0
	5 SUSAN F. DABARNO			37284	0	0	0
	6 JULIE E. DICKSON			37284	0	0	0
	7 SHEILA S. FRASER			37284	0	0	0
	8 ROY GORI			37284	0	0	0
	9 TSUN-YAN HSIEH			37284	0	0	0
	10 DONALD R. LINDSAY			37284	0	0	0
	11 JOHN R.V. PALMER			37284	0	0	0
	12 C. JAMES PRIEUR			37284	0	0	0
	13 ANDREA S. ROSEN			37284	0	0	0
2	APPOINTMENT OF ERNST & YOUNG LLP AS AUDITORS.	For	None	37284	0	0	0
3	ADVISORY RESOLUTION ACCEPTING APPROACH TO EXECUTIVE COMPENSATION.	For	None	37284	0	0	0

## Proxy Voting Record

### BROOKFIELD ASSET MANAGEMENT INC.

Security:	112585104		Meeting Type:	Annual
Ticker:	BAM		Meeting Date:	12-Jun-2020
ISIN	CA1125851040		Vote Deadline Date:	09-Jun-2020
Agenda	935216247	Management	Total Ballot Shares:	65421
Last Vote Date:	14-May-2020			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	None				
	1 M. ELYSE ALLAN			16028	0	0	0
	2 ANGELA F. BRALY			16028	0	0	0
	3 MURILO FERREIRA			16028	0	0	0
	4 JANICE FUKAKUSA			16028	0	0	0
	5 FRANK J. MCKENNA			16028	0	0	0
	6 RAFAEL MIRANDA			16028	0	0	0
	7 SEEK NGEE HUAT			16028	0	0	0
	8 DIANA L. TAYLOR			16028	0	0	0
2	THE APPOINTMENT OF DELOITTE LLP AS THE EXTERNAL AUDITOR AND AUTHORIZING THE DIRECTORS TO SET ITS REMUNERATION.	For	None	16028	0	0	0
3	THE SAY ON PAY RESOLUTION SET OUT IN THE CORPORATION'S MANAGEMENT INFORMATION CIRCULAR DATED APRIL 27, 2020 (THE "CIRCULAR").	For	None	16028	0	0	0
4	THE SHAREHOLDER PROPOSAL ONE SET OUT IN THE CIRCULAR.	Against	None	0	16028	0	0
5	THE SHAREHOLDER PROPOSAL TWO SET OUT IN THE CIRCULAR.	Against	None	0	16028	0	0

## Proxy Voting Record

### CI FINANCIAL CORP.

Security:	125491100	Meeting Type:	Annual
Ticker:	CIFAF	Meeting Date:	18-Jun-2020
ISIN	CA1254911003	Vote Deadline Date:	15-Jun-2020
Agenda	935216146	Management	Total Ballot Shares: 58775
Last Vote Date:	13-May-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	DIRECTOR	For	For				
	1 William E. Butt			52394	0	0	0
	2 B. Chang-Addorisio			52394	0	0	0
	3 William T. Holland			52394	0	0	0
	4 Kurt MacAlpine			52394	0	0	0
	5 David P. Miller			52394	0	0	0
	6 Tom P. Muir			52394	0	0	0
	7 Sheila A. Murray			52394	0	0	0
	8 Paul J. Perrow			52394	0	0	0
2	To appoint Ernst & Young LLP as auditors for the ensuing year and authorize the directors to fix the auditors' remuneration.	For	For	52394	0	0	0
3	Resolved that, on an advisory basis and not to diminish the role and responsibilities of the Board of Directors, the shareholders accept the approach to executive compensation disclosed in the Management Information Circular.	For	None	52394	0	0	0