

Proxy Voting Record

Meeting Date Range: 01-Jul-2019 To 30-Jun-2020

Selected Accounts

HORIZONS CDN SELECT UNIVERSE BOND ETF

Security:	44052E104	Meeting Type:	Special	
Ticker:		Meeting Date:	12-Nov-2019	
ISIN	CA44052E1043	Vote Deadline Date:	07-Nov-2019	
Agenda	935088749	Management	Total Ballot Shares:	157628
Last Vote Date:	12-Oct-2019			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	62879	0	0	0

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HORIZONS EURO STOXX 50® INDEX ETF

Security:	44053C107	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44053C1077	Vote Deadline Date:	07-Nov-2019
Agenda	935088751	Management	Total Ballot Shares: 87004
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	<p>The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.</p>	For	None	10145	0	0	0

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HORIZONS INTL DEV MKTS EQ INDEX ETF

Security:	44053N103	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44053N1033	Vote Deadline Date:	07-Nov-2019
Agenda	935088698	Total Ballot Shares:	162044
Last Vote Date:	19-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	28927	0	0	0

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HORIZONS NASDAQ-100® INDEX ETF

Security:	44053P108	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44053P1080	Vote Deadline Date:	07-Nov-2019
Agenda	935088674	Management	Total Ballot Shares: 116592
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	<p>The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.</p>	For	None	18815	0	0	0

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HORIZONS S&P 500 CAD HEDGED INDEX ETF

Security:	44053X101	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44053X1015	Vote Deadline Date:	07-Nov-2019
Agenda	935088713	Total Ballot Shares:	45341
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	3164	0	0	0

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HORIZONS S&P 500® INDEX ETF

Security:	44051U208	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44051U2083	Vote Deadline Date:	07-Nov-2019
Agenda	935088662	Total Ballot Shares:	74552
	Management		
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	16543	0	0	0

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HORIZONS US 7-10 YEAR TR BOND CAD HG ETF

Security:	44053M105	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44053M1059	Vote Deadline Date:	07-Nov-2019
Agenda	935088775	Management	Total Ballot Shares: 17391
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	6217	0	0	0

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HORIZONS US 7-10 YEAR TREASURY BOND ETF

Security:	44052Q107	Meeting Type:	Special
Ticker:		Meeting Date:	12-Nov-2019
ISIN	CA44052Q1072	Vote Deadline Date:	07-Nov-2019
Agenda	935088686	Management	Total Ballot Shares: 106753
Last Vote Date:	12-Oct-2019		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	The special resolution as set forth in Schedule "A" to the Circular, and the matters related thereto, authorizing the Manager to effect a merger (each, a "Merger" and, collectively, the "Mergers") of the applicable listed ETF or ETFs, each currently structured as a trust, into a corresponding class or classes of ETF shares (each, a "Continuing Corporate Class ETF" and, collectively, the "Continuing Corporate Class ETFs") of a new multi-class mutual fund corporation to be managed by the Manager, all as more particularly described in the Circular.	For	None	20735	0	0	0

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ENWAVE CORPORATION

Security:	29410K108	Meeting Type:	Annual and Special Meeting
Ticker:	NWVCF	Meeting Date:	20-Mar-2020
ISIN	CA29410K1084	Vote Deadline Date:	17-Mar-2020
Agenda	935132631	Management	Total Ballot Shares: 1023599
Last Vote Date:	26-Feb-2020		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To set the number of Directors at seven (7).	For	None	1491	0	0	0
2	DIRECTOR	For	None				
	1 John P.A. Budreski			1491	0	0	0
	2 Brent Charleton			1491	0	0	0
	3 Dr. Stewart Ritchie			1491	0	0	0
	4 Hugh McKinnon			1491	0	0	0
	5 Mary C. Ritchie			1491	0	0	0
	6 Stephen Sanford			1491	0	0	0
	7 Patrick Turpin			1491	0	0	0
3	Appointment of PricewaterhouseCoopers LLP as Auditors of the Company for the ensuing year and authorizing the Directors to fix their remuneration.	For	None	1491	0	0	0
4	To consider and, if deemed appropriate, to pass, with or without variation, an ordinary resolution to re-approve the Company's Stock Option Plan, as more fully described in the accompanying Management Information Circular.	For	None	1491	0	0	0
5	To consider and, if deemed appropriate, to pass, with or without variation, an ordinary resolution to amend the Company's Restricted Share Plan to increase the maximum number of common shares which may be reserved for issuance from treasury by the Company pursuant to such plan and to make two housekeeping amendments, all as more fully described in the accompanying Management Information Circular.	For	None	1491	0	0	0

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	To consider and, if deemed appropriate, to pass, with or without variation, an ordinary resolution ratifying and confirming the Company's Shareholder Rights Plan, as more particularly described in the accompanying Management Information Circular.	For	None	1491	0	0	0
7	To transact such other business as may properly come before the Meeting or any adjournment(s) thereof.	For	None	1491	0	0	0