

# Proxy Voting Record

Meeting Date Range: 01-Jul-2017 To 30-Jun-2018

Selected Accounts

## REYNOLDS AMERICAN INC.

|                 |              |            |                      |             |
|-----------------|--------------|------------|----------------------|-------------|
| Security:       | 761713106    |            | Meeting Type:        | Special     |
| Ticker:         | RAI          |            | Meeting Date:        | 19-Jul-2017 |
| ISIN            | US7617131062 |            | Vote Deadline Date:  | 18-Jul-2017 |
| Agenda          | 934651414    | Management | Total Ballot Shares: | 48452       |
| Last Vote Date: | 20-Jun-2017  |            |                      |             |

| Item | Proposal  | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|---|----------------|--------------|-------|---------|---------|----------------|
| 1    | APPROVAL OF THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JANUARY 16, 2017, AS IT AND THE PLAN OF MERGER CONTAINED THEREIN WERE AMENDED AS OF JUNE 8, 2017, AND AS IT AND THE PLAN OF MERGER CONTAINED THEREIN MAY BE FURTHER AMENDED FROM TIME TO TIME, REFERRED TO AS THE MERGER AGREEMENT, BY AND AMONG REYNOLDS AMERICAN INC., REFERRED TO AS RAI, BRITISH AMERICAN TOBACCO P.L.C., A PUBLIC LIMITED COMPANY INCORPORATED UNDER THE LAWS OF ENGLAND AND WALES, REFERRED TO AS BAT, ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL). | For            | None         | 48452 | 0       | 0       | 0              |
| 2    | APPROVAL ON A NON-BINDING, ADVISORY BASIS, OF THE COMPENSATION PAYMENTS THAT WILL OR MAY BE PAID BY RAI OR BAT TO RAI'S NAMED EXECUTIVE OFFICERS AND THAT ARE BASED ON OR OTHERWISE RELATE TO THE MERGER AND THE AGREEMENTS AND UNDERSTANDINGS PURSUANT TO WHICH SUCH COMPENSATION MAY BE PAID OR BECOME PAYABLE.   | For            | None         | 48452 | 0       | 0       | 0              |
| 3    | APPROVAL OF THE ADJOURNMENT OF THE SPECIAL MEETING OF RAI SHAREHOLDERS, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE MERGER AGREEMENT.   | For            | None         | 48452 | 0       | 0       | 0              |

## Proxy Voting Record

### NATIONAL GRID PLC

|                 |              |            |                      |             |
|-----------------|--------------|------------|----------------------|-------------|
| Security:       | 636274409    |            | Meeting Type:        | Annual      |
| Ticker:         | NGG          |            | Meeting Date:        | 31-Jul-2017 |
| ISIN            | US6362744095 |            | Vote Deadline Date:  | 20-Jul-2017 |
| Agenda          | 934654814    | Management | Total Ballot Shares: | 35900       |
| Last Vote Date: | 28-Jun-2017  |            |                      |             |

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 1    | TO RECEIVE THE ANNUAL REPORT AND ACCOUNTS  | For            | None         | 35900 | 0       | 0       | 0              |
| 2    | TO DECLARE A FINAL DIVIDEND  | For            | None         | 35900 | 0       | 0       | 0              |
| 3    | TO RE-ELECT SIR PETER GERSHON  | For            | None         | 35900 | 0       | 0       | 0              |
| 4    | TO RE-ELECT JOHN PETTIGREW   | For            | None         | 35900 | 0       | 0       | 0              |
| 5    | TO RE-ELECT ANDREW BONFIELD  | For            | None         | 35900 | 0       | 0       | 0              |
| 6    | TO RE-ELECT DEAN SEAVERS   | For            | None         | 35900 | 0       | 0       | 0              |
| 7    | TO RE-ELECT NICOLA SHAW  | For            | None         | 35900 | 0       | 0       | 0              |
| 8    | TO RE-ELECT NORA MEAD BROWNELL   | For            | None         | 35900 | 0       | 0       | 0              |
| 9    | TO RE-ELECT JONATHAN DAWSON  | For            | None         | 35900 | 0       | 0       | 0              |
| 10   | TO ELECT PIERRE DUFOUR   | For            | None         | 35900 | 0       | 0       | 0              |
| 11   | TO RE-ELECT THERESE ESPERDY  | For            | None         | 35900 | 0       | 0       | 0              |
| 12   | TO RE-ELECT PAUL GOLBY   | For            | None         | 35900 | 0       | 0       | 0              |
| 13   | TO RE-ELECT MARK WILLIAMSON  | For            | None         | 35900 | 0       | 0       | 0              |
| 14   | TO APPOINT THE AUDITORS DELOITTE LLP   | For            | None         | 35900 | 0       | 0       | 0              |
| 15   | TO AUTHORISE THE DIRECTORS TO SET THE AUDITORS' REMUNERATION                               | For            | None         | 35900 | 0       | 0       | 0              |
| 16   | TO APPROVE THE DIRECTORS' REMUNERATION POLICY  | For            | None         | 35900 | 0       | 0       | 0              |
| 17   | TO APPROVE THE DIRECTORS' REMUNERATION REPORT EXCLUDING THE DIRECTORS' REMUNERATION POLICY | For            | None         | 35900 | 0       | 0       | 0              |
| 18   | TO AUTHORISE THE COMPANY TO MAKE POLITICAL DONATIONS                                       | For            | None         | 35900 | 0       | 0       | 0              |

## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 19   | TO AUTHORISE THE DIRECTORS TO ALLOT ORDINARY SHARES  | For            | None         | 35900 | 0       | 0       | 0              |
| 20   | TO DISAPPLY PRE-EMPTION RIGHTS (SPECIAL RESOLUTION)  | For            | None         | 35900 | 0       | 0       | 0              |
| 21   | TO DISAPPLY PRE-EMPTION RIGHTS FOR ACQUISITIONS (SPECIAL RESOLUTION)                             | For            | None         | 35900 | 0       | 0       | 0              |
| 22   | TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN ORDINARY SHARES (SPECIAL RESOLUTION)                | For            | None         | 35900 | 0       | 0       | 0              |
| 23   | TO AUTHORISE THE DIRECTORS TO HOLD GENERAL MEETINGS ON 14 CLEAR DAYS NOTICE (SPECIAL RESOLUTION) | For            | None         | 35900 | 0       | 0       | 0              |

## Proxy Voting Record

### GREAT PLAINS ENERGY INCORPORATED

|                 |              |            |                      |             |
|-----------------|--------------|------------|----------------------|-------------|
| Security:       | 391164100    |            | Meeting Type:        | Special     |
| Ticker:         | GXP          |            | Meeting Date:        | 21-Nov-2017 |
| ISIN            | US3911641005 |            | Vote Deadline Date:  | 20-Nov-2017 |
| Agenda          | 934690238    | Management | Total Ballot Shares: | 64861       |
| Last Vote Date: | 17-Oct-2017  |            |                      |             |

| Item | Proposal  | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|---|----------------|--------------|-------|---------|---------|----------------|
| 1    | TO ADOPT THE AMENDED AND RESTATED AGREEMENT AND PLAN OF MERGER, DATED JULY 9, 2017, BY AND AMONG GREAT PLAINS ENERGY INCORPORATED (THE "COMPANY"), WESTAR ENERGY, INC., MONARCH ENERGY HOLDING, INC., KING ENERGY, INC. AND, SOLELY FOR THE PURPOSES SET FORTH THEREIN, GP STAR, INC. | For            | None         | 64861 | 0       | 0       | 0              |
| 2    | TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE MERGER- RELATED COMPENSATION ARRANGEMENTS OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.  | For            | None         | 64861 | 0       | 0       | 0              |
| 3    | TO APPROVE ANY MOTION TO ADJOURN THE MEETING, IF NECESSARY.   | For            | None         | 64861 | 0       | 0       | 0              |

## Proxy Voting Record

### MICROSOFT CORPORATION

|                 |              |                     |                            |
|-----------------|--------------|---------------------|----------------------------|
| Security:       | 594918104    | Meeting Type:       | Annual                     |
| Ticker:         | MSFT         | Meeting Date:       | 29-Nov-2017                |
| ISIN            | US5949181045 | Vote Deadline Date: | 28-Nov-2017                |
| Agenda          | 934689514    | Management          | Total Ballot Shares: 47658 |
| Last Vote Date: | 23-Nov-2017  |                     |                            |

| Item | Proposal  | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|---|----------------|--------------|-------|---------|---------|----------------|
| 1    | ELECTION OF DIRECTOR: WILLIAM H. GATES III                    | For            | None         | 39884 | 0       | 0       | 0              |
| 2    | ELECTION OF DIRECTOR: REID G. HOFFMAN                         | For            | None         | 39884 | 0       | 0       | 0              |
| 3    | ELECTION OF DIRECTOR: HUGH F. JOHNSTON                        | For            | None         | 39884 | 0       | 0       | 0              |
| 4    | ELECTION OF DIRECTOR: TERI L. LIST-STOLL                      | For            | None         | 39884 | 0       | 0       | 0              |
| 5    | ELECTION OF DIRECTOR: SATYA NADELLA                           | For            | None         | 39884 | 0       | 0       | 0              |
| 6    | ELECTION OF DIRECTOR: CHARLES H. NOSKI                        | For            | None         | 39884 | 0       | 0       | 0              |
| 7    | ELECTION OF DIRECTOR: HELMUT PANKE                            | For            | None         | 39884 | 0       | 0       | 0              |
| 8    | ELECTION OF DIRECTOR: SANDRA E. PETERSON                      | For            | None         | 39884 | 0       | 0       | 0              |
| 9    | ELECTION OF DIRECTOR: PENNY S. PRITZKER                       | For            | None         | 39884 | 0       | 0       | 0              |
| 10   | ELECTION OF DIRECTOR: CHARLES W. SCHARF                       | For            | None         | 39884 | 0       | 0       | 0              |
| 11   | ELECTION OF DIRECTOR: ARNE M. SORENSON                        | For            | None         | 39884 | 0       | 0       | 0              |
| 12   | ELECTION OF DIRECTOR: JOHN W. STANTON                         | For            | None         | 39884 | 0       | 0       | 0              |
| 13   | ELECTION OF DIRECTOR: JOHN W. THOMPSON                        | For            | None         | 39884 | 0       | 0       | 0              |
| 14   | ELECTION OF DIRECTOR: PADMASREE WARRIOR                       | For            | None         | 39884 | 0       | 0       | 0              |
| 15   | ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION | For            | None         | 39884 | 0       | 0       | 0              |

| Item | Proposal | Recommendation | Default Vote | 1 Year | 2 Years | 3 Years | Abstain | Take No Action |
|------|----------|----------------|--------------|--------|---------|---------|---------|----------------|
|------|----------|----------------|--------------|--------|---------|---------|---------|----------------|

## Proxy Voting Record

| Item | Proposal  |        | Recommendation | Default Vote | For | Against | Abstain | Take No Action |
|------|---|--------|----------------|--------------|-----|---------|---------|----------------|
| 16   | ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES TO APPROVE EXECUTIVE COMPENSATION | 1 Year | None           | 39884        | 0   | 0       | 0       | 0              |

| Item | Proposal   |  | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|--|----------------|--------------|-------|---------|---------|----------------|
| 17   | RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2018  |  | For            | None         | 39884 | 0       | 0       | 0              |
| 18   | APPROVAL OF MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE EXECUTIVE INCENTIVE PLAN |  | For            | None         | 39884 | 0       | 0       | 0              |
| 19   | APPROVAL OF THE MICROSOFT CORPORATION 2017 STOCK PLAN                                  |  | For            | None         | 39884 | 0       | 0       | 0              |

## Proxy Voting Record

### WESTPAC BANKING CORPORATION

|                 |              |            |                      |             |
|-----------------|--------------|------------|----------------------|-------------|
| Security:       | 961214301    |            | Meeting Type:        | Annual      |
| Ticker:         | WBK          |            | Meeting Date:        | 08-Dec-2017 |
| ISIN            | US9612143019 |            | Vote Deadline Date:  | 29-Nov-2017 |
| Agenda          | 934701702    | Management | Total Ballot Shares: | 137161      |
| Last Vote Date: | 11-Nov-2017  |            |                      |             |

| Item | Proposal   | Recommendation | Default Vote | For    | Against | Abstain | Take No Action |
|------|--|----------------|--------------|--------|---------|---------|----------------|
| 1    | TO ADOPT THE REMUNERATION REPORT FOR THE YEAR ENDED 30 SEPTEMBER 2017.   | For            | None         | 137161 | 0       | 0       | 0              |
| 2    | TO APPROVE THE GRANT OF SHARES AND PERFORMANCE SHARE RIGHTS TO THE MANAGING DIRECTOR AND CHIEF EXECUTIVE OFFICER (CEO), BRIAN HARTZER, UNDER THE CEO RESTRICTED SHARE PLAN AND LONG TERM INCENTIVE PLAN IN ACCORDANCE WITH THE RULES OF THOSE PLANS AND ON THE TERMS SUMMARISED IN THE EXPLANATORY NOTES IN THIS NOTICE OF MEETING, FOR ALL PURPOSES, INCLUDING ASX LISTING RULE 10.14 AND SECTIONS 200B AND 200E OF THE CORPORATIONS ACT 2001 (CTH).  | For            | None         | 137161 | 0       | 0       | 0              |
| 3    | TO APPROVE THE TERMS AND CONDITIONS OF THE BUY-BACK SCHEMES OF WESTPAC CONVERTIBLE PREFERENCE SHARES (CPS) AS SPECIAL RESOLUTIONS. THE BUY-BACK SCHEMES ARE DESCRIBED IN THE EXPLANATORY NOTES IN THIS NOTICE OF MEETING AND COMPRISE: FIRST BUY-BACK SCHEME - THIS RELATES TO THE BUY-BACK OF CPS AT ANY TIME PRIOR TO THE FIRST OPTIONAL CONVERSION/REDEMPTION DATE OF 31 MARCH 2018. UP TO 100% OF THE CPS THAT REMAIN ON ISSUE MAY BE BOUGHT BACK. | For            | None         | 137161 | 0       | 0       | 0              |
| 4    | TO APPROVE THE TERMS AND CONDITIONS OF THE BUY-BACK SCHEMES OF WESTPAC CONVERTIBLE PREFERENCE SHARES (CPS) AS SPECIAL RESOLUTIONS. THE BUY-BACK SCHEMES ARE DESCRIBED IN THE EXPLANATORY NOTES IN THIS NOTICE OF MEETING AND COMPRISE: SECOND BUY-   | For            | None         | 137161 | 0       | 0       | 0              |

## Proxy Voting Record

| Item | Proposal  | Recommendation | Default Vote | For    | Against | Abstain | Take No Action |
|------|---|----------------|--------------|--------|---------|---------|----------------|
|      | BACK SCHEME - THIS RELATES TO THE BUY-BACK OF CPS ON AN OPTIONAL CONVERSION/REDEMPTION DATE (BEING ANY DIVIDEND PAYMENT DATE FOR THE CPS FALLING ON OR AFTER 31 MARCH 2018) WITHIN 12 MONTHS FROM THE DATE OF THE AGM. UP TO 100% OF THE CPS THAT REMAIN ON ISSUE MAY BE BOUGHT BACK. |                |              |        |         |         |                |
| 5    | TO RE-ELECT LINDSAY MAXSTED AS A DIRECTOR.  | For            | None         | 137161 | 0       | 0       | 0              |
| 6    | TO RE-ELECT PETER HAWKINS AS A DIRECTOR.  | For            | None         | 137161 | 0       | 0       | 0              |
| 7    | TO RE-ELECT ALISON DEANS AS A DIRECTOR.   | For            | None         | 137161 | 0       | 0       | 0              |
| 8    | TO ELECT NERIDA CAESAR AS A DIRECTOR.   | For            | None         | 137161 | 0       | 0       | 0              |



## Proxy Voting Record

### NESTLE SA, CHAM UND VEVEY

|                 |              |                     |                            |
|-----------------|--------------|---------------------|----------------------------|
| Security:       | H57312649    | Meeting Type:       | Annual General Meeting     |
| Ticker:         |              | Meeting Date:       | 12-Apr-2018                |
| ISIN            | CH0038863350 | Vote Deadline Date: | 04-Apr-2018                |
| Agenda          | 709055582    | Management          | Total Ballot Shares: 43206 |
| Last Vote Date: | 15-Mar-2018  |                     |                            |

| Item | Proposal  | Recommendation | Default Vote | For   | Against    | Abstain | Take No Action |
|------|---|----------------|--------------|-------|------------|---------|----------------|
| 1    | PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE | None           | None         |       | Non Voting |         |                |
| 2    | APPROVAL OF THE ANNUAL REVIEW, THE FINANCIAL STATEMENTS OF NESTLE S.A. AND THE CONSOLIDATED FINANCIAL STATEMENTS OF THE NESTLE GROUP FOR 2017   | For            | None         | 43206 | 0          | 0       | 0              |
| 3    | ACCEPTANCE OF THE COMPENSATION REPORT 2017 (ADVISORY VOTE)  | For            | None         | 43206 | 0          | 0       | 0              |
| 4    | DISCHARGE TO THE MEMBERS OF THE BOARD OF DIRECTORS AND OF THE MANAGEMENT  | For            | None         | 43206 | 0          | 0       | 0              |
| 5    | APPROPRIATION OF PROFIT RESULTING FROM THE BALANCE SHEET OF NESTLE S.A. (PROPOSED DIVIDEND) FOR THE FINANCIAL YEAR 2017   | For            | None         | 43206 | 0          | 0       | 0              |

## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 6    | RE-ELECTION AS MEMBER AND CHAIRMAN OF THE BOARD OF DIRECTORS: MR PAUL BULCKE | For            | None         | 43206 | 0       | 0       | 0              |
| 7    | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR ULF MARK SCHNEIDER       | For            | None         | 43206 | 0       | 0       | 0              |
| 8    | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR HENRI DE CASTRIES        | For            | None         | 43206 | 0       | 0       | 0              |
| 9    | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR BEAT W. HESS             | For            | None         | 43206 | 0       | 0       | 0              |
| 10   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR RENATO FASSBIND          | For            | None         | 43206 | 0       | 0       | 0              |
| 11   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR JEAN-PIERRE ROTH         | For            | None         | 43206 | 0       | 0       | 0              |
| 12   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS ANN M. VENEMAN           | For            | None         | 43206 | 0       | 0       | 0              |
| 13   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS EVA CHENG                | For            | None         | 43206 | 0       | 0       | 0              |
| 14   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS RUTH K. ONIANG'O         | For            | None         | 43206 | 0       | 0       | 0              |
| 15   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR PATRICK AEBISCHER        | For            | None         | 43206 | 0       | 0       | 0              |
| 16   | RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MS URSULA M. BURNS          | For            | None         | 43206 | 0       | 0       | 0              |
| 17   | ELECTION TO THE BOARD OF DIRECTORS: MR KASPER RORSTED                        | For            | None         | 43206 | 0       | 0       | 0              |
| 18   | ELECTION TO THE BOARD OF DIRECTORS: MR PABLO ISLA                            | For            | None         | 43206 | 0       | 0       | 0              |
| 19   | ELECTION TO THE BOARD OF DIRECTORS: MS KIMBERLY A. ROSS                      | For            | None         | 43206 | 0       | 0       | 0              |
| 20   | ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR BEAT W. HESS            | For            | None         | 43206 | 0       | 0       | 0              |
| 21   | ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR JEAN-PIERRE ROTH        | For            | None         | 43206 | 0       | 0       | 0              |
| 22   | ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR PATRICK AEBISCHER       | For            | None         | 43206 | 0       | 0       | 0              |

## Proxy Voting Record

| Item | Proposal  | Recommendation | Default Vote | For   | Against | Abstain    | Take No Action |
|------|---|----------------|--------------|-------|---------|------------|----------------|
| 23   | ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MS URSULA M. BURNS  | For            | None         | 43206 | 0       | 0          | 0              |
| 24   | ELECTION OF THE STATUTORY AUDITORS: KPMG SA, GENEVA BRANCH  | For            | None         | 43206 | 0       | 0          | 0              |
| 25   | ELECTION OF THE INDEPENDENT REPRESENTATIVE: HARTMANN DREYER, ATTORNEYS-AT-LAW   | For            | None         | 43206 | 0       | 0          | 0              |
| 26   | APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS  | For            | None         | 43206 | 0       | 0          | 0              |
| 27   | APPROVAL OF THE COMPENSATION OF THE EXECUTIVE BOARD   | For            | None         | 43206 | 0       | 0          | 0              |
| 28   | CAPITAL REDUCTION (BY CANCELLATION OF SHARES)   | For            | None         | 43206 | 0       | 0          | 0              |
| 29   | IN THE EVENT OF ANY YET UNKNOWN NEW OR MODIFIED PROPOSAL BY A SHAREHOLDER DURING THE GENERAL MEETING, I INSTRUCT THE INDEPENDENT REPRESENTATIVE TO VOTE AS FOLLOWS: (YES = VOTE IN FAVOUR OF ANY SUCH YET UNKNOWN PROPOSAL, NO = VOTE AGAINST ANY SUCH YET UNKNOWN PROPOSAL, ABSTAIN = ABSTAIN FROM VOTING) - THE BOARD OF DIRECTORS RECOMMENDS TO VOTE NO ON ANY SUCH YET UNKNOWN PROPOSAL                           | Against        | None         | 0     | 43206   | 0          | 0              |
| 30   | PLEASE FIND BELOW THE LINK FOR NESTLE IN SOCIETY CREATING SHARED VALUE AND MEETING OUR COMMITMENTS 2017:<br><a href="https://www.nestle.com/asset-library/documents/library/documents/corporate_social_responsibility/nestle-in-society-summary-report-2017-en.pdf">HTTPS://WWW.NESTLE.COM/ASSET-LIBRARY/DOCUMENTS/LIBRARY/DOCUMENTS/CORPORATE_SOCIAL_RESPONSIBILITY/NESTLE-IN-SOCIETY-SUMMARY-REPORT-2017-EN.PDF</a> | None           | None         |       |         | Non Voting |                |

## Proxy Voting Record

**AXA SA**

|                 |              |                     |                            |
|-----------------|--------------|---------------------|----------------------------|
| Security:       | F06106102    | Meeting Type:       | MIX                        |
| Ticker:         |              | Meeting Date:       | 25-Apr-2018                |
| ISIN            | FR0000120628 | Vote Deadline Date: | 18-Apr-2018                |
| Agenda          | 708991802    | Management          | Total Ballot Shares: 97937 |
| Last Vote Date: | 24-Feb-2018  |                     |                            |

| Item | Proposal  | Recommendation | Default Vote | For | Against | Abstain    | Take No Action |
|------|---|----------------|--------------|-----|---------|------------|----------------|
| 1    | PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR" AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.   | None           | None         |     |         | Non Voting |                |
| 2    | THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE  | None           | None         |     |         | Non Voting |                |
| 3    | IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU   | None           | None         |     |         | Non Voting |                |
| 4    | 21 MAR 2018: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS AVAILABLE BY CLICKING ON THE MATERIAL URL LINK:<br><a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0223/201802231800320.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0223/201802231800320.pdf</a> AND <a href="https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0321/201803211800666.pdf">https://www.journal-officiel.gouv.fr/publications/balo/pdf/2018/0321/201803211800666.pdf</a> . PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF THE URL LINK. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU | None           | None         |     |         | Non Voting |                |

## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 5    | APPROVAL OF THE CORPORATE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2017   | For            | None         | 97937 | 0       | 0       | 0              |
| 6    | APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2017  | For            | None         | 97937 | 0       | 0       | 0              |
| 7    | ALLOCATION OF INCOME THE FINANCIAL YEAR 2017 AND SETTING OF THE DIVIDEND AT 1.26 EURO PER SHARE  | For            | None         | 97937 | 0       | 0       | 0              |
| 8    | APPROVAL OF THE INDIVIDUAL COMPENSATION OF MR. DENIS DUVERNE AS CHAIRMAN OF THE BOARD OF DIRECTORS   | For            | None         | 97937 | 0       | 0       | 0              |
| 9    | APPROVAL OF THE INDIVIDUAL COMPENSATION OF MR. THOMAS BUBERL AS CHIEF EXECUTIVE OFFICER  | For            | None         | 97937 | 0       | 0       | 0              |
| 10   | APPROVAL OF THE PRINCIPLES AND CRITERIA OF DETERMINATION, DISTRIBUTION AND ALLOCATION OF FIXED, VARIABLE AND EXCEPTIONAL COMPONENTS MAKING UP THE TOTAL COMPENSATION AND BENEFITS OF ANY KIND ATTRIBUTABLE TO THE CHAIRMAN OF THE BOARD OF DIRECTORS | For            | None         | 97937 | 0       | 0       | 0              |
| 11   | APPROVAL OF THE PRINCIPLES AND CRITERIA OF DETERMINATION, DISTRIBUTION AND ALLOCATION OF FIXED, VARIABLE AND EXCEPTIONAL COMPONENTS MAKING UP THE TOTAL COMPENSATION AND BENEFITS OF ANY KIND ATTRIBUTABLE TO THE CHIEF EXECUTIVE OFFICER            | For            | None         | 97937 | 0       | 0       | 0              |
| 12   | STATUTORY AUDITORS' SPECIAL REPORT ON THE AGREEMENTS AND COMMITMENTS REFERRED TO IN ARTICLES L.225-38 AND FOLLOWING OF THE FRENCH COMMERCIAL CODE  | For            | None         | 97937 | 0       | 0       | 0              |
| 13   | APPROVAL OF THE REGULATED COMMITMENTS REFERRED TO IN ARTICLE L.225-42-1 OF THE FRENCH COMMERCIAL CODE TAKEN IN FAVOUR OF MR. THOMAS BUBERL IN THE EVENT OF TERMINATION OF HIS DUTIES   | For            | None         | 97937 | 0       | 0       | 0              |

## Proxy Voting Record

| Item | Proposal  | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|---|----------------|--------------|-------|---------|---------|----------------|
| 14   | RENEWAL OF THE TERM OF OFFICE OF MR. DENIS DUVERNE AS DIRECTOR  | For            | None         | 97937 | 0       | 0       | 0              |
| 15   | RENEWAL OF THE TERM OF OFFICE OF MR. THOMAS BUBERL AS DIRECTOR  | For            | None         | 97937 | 0       | 0       | 0              |
| 16   | RENEWAL OF THE TERM OF OFFICE OF MR. ANDRE FRANCOIS-PONCET AS DIRECTOR  | For            | None         | 97937 | 0       | 0       | 0              |
| 17   | APPOINTMENT OF MRS. PATRICIA BARBIZET AS DIRECTOR, AS A REPLACEMENT FOR MRS. ISABELLE KOCHER  | For            | None         | 97937 | 0       | 0       | 0              |
| 18   | APPOINTMENT OF MRS. RACHEL DUAN AS DIRECTOR, AS A REPLACEMENT FOR MRS. SUET FERN LEE  | For            | None         | 97937 | 0       | 0       | 0              |
| 19   | RENEWAL OF THE TERM OF OFFICE OF CABINET PRICEWATERHOUSECOOPERS AUDIT AS STATUTORY AUDITOR  | For            | None         | 97937 | 0       | 0       | 0              |
| 20   | APPOINTMENT OF MR. PATRICE MOROT AS DEPUTY STATUTORY AUDITOR, AS A REPLACEMENT FOR MR. YVES NICOLAS   | For            | None         | 97937 | 0       | 0       | 0              |
| 21   | SETTING OF THE ANNUAL AMOUNT OF THE ATTENDANCE FEES TO BE ALLOCATED TO THE BOARD OF DIRECTORS' MEMBERS  | For            | None         | 97937 | 0       | 0       | 0              |
| 22   | AUTHORIZATION GRANTED TO THE BOARD OF DIRECTORS TO PURCHASE COMMON SHARES OF THE COMPANY  | For            | None         | 97937 | 0       | 0       | 0              |
| 23   | DELEGATION OF AUTHORITY GRANTED TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL BY ISSUING COMMON SHARES OR TRANSFERABLE SECURITIES GRANTING ACCESS TO COMMON SHARES OF THE COMPANY RESERVED FOR MEMBERS OF A COMPANY SAVINGS PLAN, WITHOUT THE SHAREHOLDERS' PRE-EMPTIVE SUBSCRIPTION RIGHTS | For            | None         | 97937 | 0       | 0       | 0              |
| 24   | DELEGATION OF AUTHORITY GRANTED TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL BY ISSUING OF COMMON SHARES, WITHOUT THE SHAREHOLDERS' PRE-EMPTIVE SUBSCRIPTION RIGHTS, IN FAVOUR OF A PARTICULAR CATEGORY OF BENEFICIARIES   | For            | None         | 97937 | 0       | 0       | 0              |

## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 25   | AUTHORIZATION GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE SHARE CAPITAL BY CANCELLATION OF COMMON SHARES | For            | None         | 97937 | 0       | 0       | 0              |
| 26   | STATUTORY AMENDMENT TO DETERMINE THE PROCEDURES OF APPOINTMENT OF THE DIRECTORS REPRESENTING EMPLOYEES       | For            | None         | 97937 | 0       | 0       | 0              |
| 27   | POWERS TO CARRY OUT ALL LEGAL FORMALITIES  | For            | None         | 97937 | 0       | 0       | 0              |

## Proxy Voting Record

**BASF SE**

|                 |              |                     |                            |
|-----------------|--------------|---------------------|----------------------------|
| Security:       | D06216317    | Meeting Type:       | Annual General Meeting     |
| Ticker:         |              | Meeting Date:       | 04-May-2018                |
| ISIN            | DE000BASF111 | Vote Deadline Date: | 24-Apr-2018                |
| Agenda          | 709126076    | Management          | Total Ballot Shares: 26295 |
| Last Vote Date: | 28-Mar-2018  |                     |                            |

| Item | Proposal   | Recommendation | Default Vote | For | Against | Abstain    | Take No Action |
|------|--|----------------|--------------|-----|---------|------------|----------------|
| 1    | PLEASE NOTE THAT REREGISTRATION IS NO LONGER REQUIRED TO ENSURE VOTING RIGHTS. FOLLOWING THE AMENDMENT TO PARAGRAPH 21 OF THE SECURITIES TRADE ACT ON 10TH JULY 2015 AND THE OVER-RULING OF THE DISTRICT COURT IN COLOGNE JUDGMENT FROM 6TH JUNE 2012 THE VOTING PROCESS HAS CHANGED WITH REGARD TO THE GERMAN REGISTERED SHARES. AS A RESULT, IT REMAINS EXCLUSIVELY THE RESPONSIBILITY OF THE END-INVESTOR (I.E. FINAL BENEFICIARY) AND NOT THE INTERMEDIARY TO DISCLOSE RESPECTIVE FINAL BENEFICIARY VOTING RIGHTS IF THEY EXCEED RELEVANT REPORTING THRESHOLD OF WPHG (FROM 3 PERCENT OF OUTSTANDING SHARE CAPITAL ONWARDS). | None           | None         |     |         | Non Voting |                |
| 2    | THE VOTE/REGISTRATION DEADLINE AS DISPLAYED ON PROXYEDGE IS SUBJECT TO CHANGE AND WILL BE UPDATED AS SOON AS BROADRIDGE RECEIVES CONFIRMATION FROM THE SUB CUSTODIANS REGARDING THEIR INSTRUCTION DEADLINE. FOR ANY QUERIES PLEASE CONTACT YOUR CLIENT SERVICES REPRESENTATIVE.  | None           | None         |     |         | Non Voting |                |
| 3    | ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN   | None           | None         |     |         | Non Voting |                |



## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain    | Take No Action |
|------|--|----------------|--------------|-------|---------|------------|----------------|
|      | SECURITIES TRADING ACT (WPHG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.   |                |              |       |         |            |                |
| 4    | COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 19.04.2018. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.                        | None           | None         |       |         | Non Voting |                |
| 5    | PRESENTATION OF THE ADOPTED FINANCIAL STATEMENTS OF BASF SE AND THE APPROVED CONSOLIDATED FINANCIAL STATEMENTS OF THE BASF GROUP FOR THE FINANCIAL YEAR 2017; PRESENTATION OF THE MANagements REPORTS OF BASF SE AND THE BASF GROUP FOR THE FINANCIAL YEAR 2017 INCLUDING THE EXPLANATORY REPORTS ON THE DATA ACCORDING TO SECTIONS 289A.1 AND 315A.1 OF THE GERMAN COMMERCIAL CODE; PRESENTATION OF THE REPORT OF THE SUPERVISORY BOARD | None           | None         |       |         | Non Voting |                |
| 6    | ADOPTION OF A RESOLUTION ON THE APPROPRIATION OF PROFIT: THE DISTRIBUTABLE PROFIT OF EUR 3,129,844,171.69 SHALL BE APPROPRIATED AS FOLLOWS: PAYMENT OF A DIVIDEND OF EUR 3.10 PER NO-PAR SHARE EUR 282,560,220.29 SHALL BE ALLOTTED TO THE REVENUE RESERVES EX-DIVIDEND DATE: MAY 7, 2018PAYABLE DATE: MAY 9, 2018   | For            | None         | 26295 | 0       | 0          | 0              |
| 7    | ADOPTION OF A RESOLUTION GIVING FORMAL APPROVAL TO THE ACTIONS OF THE MEMBERS OF THE SUPERVISORY BOARD   | For            | None         | 26295 | 0       | 0          | 0              |
| 8    | ADOPTION OF A RESOLUTION GIVING FORMAL APPROVAL TO THE ACTIONS OF THE MEMBERS OF THE BOARD OF EXECUTIVE DIRECTORS  | For            | None         | 26295 | 0       | 0          | 0              |

## Proxy Voting Record

| Item | Proposal   | Recommendation | Default Vote | For   | Against | Abstain | Take No Action |
|------|--|----------------|--------------|-------|---------|---------|----------------|
| 9    | APPOINTMENT OF THE AUDITOR FOR THE FINANCIAL YEAR 2018: KPMG AG WIRTSCHAFTSPRUEFUNGSGESELLSCHAFT               | For            | None         | 26295 | 0       | 0       | 0              |
| 10   | ADOPTION OF A RESOLUTION APPROVING THE COMPENSATION SYSTEM FOR THE MEMBERS OF THE BOARD OF EXECUTIVE DIRECTORS | For            | None         | 26295 | 0       | 0       | 0              |